

14 January 2022

FICC Markets Standards Board – AstraZeneca Statement of Commitment - 2020

AstraZeneca (the ‘Company’) acknowledges that the Standards published by the FICC Markets Standards Board (FMSB) represent generally recognised good practice in the wholesale Fixed Income, Currencies and Commodities (FICC) markets. The Company confirms that it is committed to conduct its FICC market activities (its ‘Activities’) in a manner consistent with the Core Principles contained in FMSB Standards, and to have internal policies, procedures and controls reasonably designed to give effect to those Core Principles where they are applicable to its Activities, in a manner that is commensurate with the nature of its Activities in the relevant entity or jurisdiction.

This Statement of Commitment applies to all Standards published by FMSB in final form prior to 31 December 2020 and reaffirms any previous Statements of Commitment made by the Company where appropriate.

The Company determines that this Statement of Commitment and its reaffirmation applies to the following Standards:

Standard	Final release
Secondary Market Trading Error Compensation Standard	28 January 2019
Risk Management Transactions for New Issuance Standard	3 July 2018
New Issue Process standard for the Fixed Income markets	2 May 2017
Reference Price Transactions standard of the Fixed Income markets	21 November 2016

In addition, the Company elects that this Statement of Commitment will also apply to the following Standards published by FMSB in final form prior to 31 July 2021.

Standard	Final release
Standard for the execution of Large Trades in FICC markets	7 May 2021
Standard on use of Term SONIA reference rates	28 July 2021

The Standard on Binary Options for the Commodities markets is not relevant because the Company does not undertake such market activities.

The Company will publish this Statement of Commitment on its public website at the following address: www.astrazeneca.com

The Statement of Commitment is signed by the following on behalf of the Company

Name: Aradhana Sarin

Position: Chief Financial Officer

Signature: 