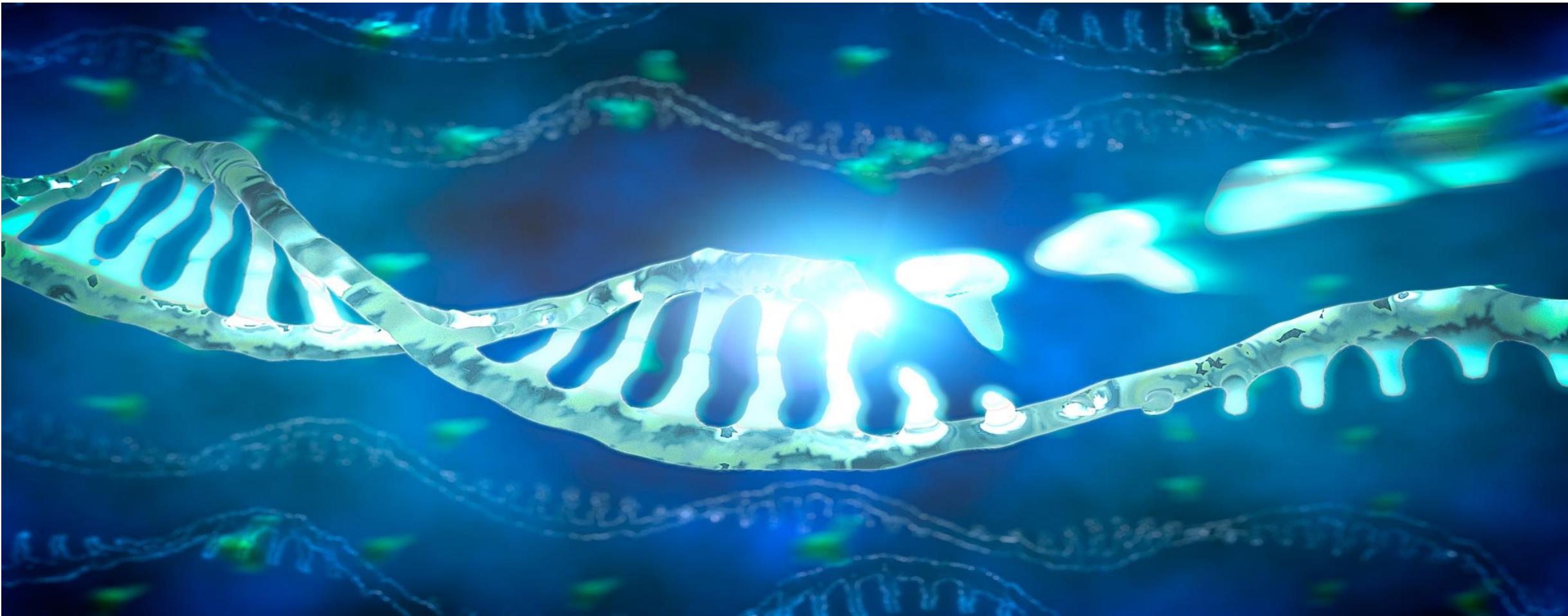


Clinical trials appendix H1 2020 results update

AstraZeneca 
What science can do



Movement since Q1 2020 update

New to Phase I	New to Phase II	New to Pivotal trial	New to registration
	<p>Additional indication brazikumab IL23 ulcerative colitis</p> <p>MEDI3506 IL33 mAb asthma</p> <p>MEDI3506 IL33 mAb COVID-19</p> <p>Lifecycle management Calquence CALAVI-US & CALAVI-RoW BTK inhibitor COVID-19</p>	<p>NME AZD1222# SARS-CoV-2 COVID vaccine</p> <p>brazikumab¶ IL23 crohn's disease</p> <p>Additional indication capivasertib# + fulvestrant CAPtello-291 AKT inhibitor + fulvestrant locally advanced (inoperable) or metastatic breast cancer</p> <p>Lynparza# + Imfinzi# DUO-E PARP inhibitor + PD-L1 mAb 1st-line endometrial cancer</p> <p>Lifecycle management Fasenra NATRON IL-5R mAb hypereosinophilic syndrome</p>	<p>Lifecycle management Enhertu#† DESTINY-Gastric01 [JP] 1 HER2 targeting antibody drug conjugate HER2-overexpressing advanced gastric or gastroesophageal junction adenocarcinoma patients who have progressed on two prior treatment regimens</p>
Removed from Phase I	Removed from Phase II	Removed from Phase III	Removed from registration
<p>NME MEDI5083 CD40 ligand fusion protein solid tumours</p> <p>MEDI7219 anti diabetic type-2 diabetes</p>	<p>NME Imfinzi# + AZD5069 or Imfinzi# + danavatirsen# PD-L1 mAb + CXCR2 antagonist or PD-L1 mAb + STAT3 inhibitor HNSCC, bladder and NSCLC</p> <p>Lynparza# + adavosertib# PARP inhibitor + Wee1 inhibitor solid tumours</p> <p>Lifecycle management Lynparza# + cediranib CONCERTO PARP inhibitor + VEGF inhibitor recurrent platinum-resistant ovarian cancer</p>	<p>Lifecycle management Brilinta/Briliique⁴ HESTIA P2Y12 receptor antagonist prevention of vaso-occlusive crises in paediatric patients with sickle cell disease</p>	<p>Lifecycle management Brilinta/Briliique⁴ THEMIS [US] 2 P2Y12 receptor antagonist cardiovascular outcomes trial in patients with coronary artery disease and type-2 diabetes without a previous history of myocardial infarction or stroke</p> <p>Farxiga/Forxiga³ Dapa-HF [US] 2 SGLT-2 inhibitor worsening heart failure or cardiovascular death in patients with chronic heart failure (HFpEF)</p> <p>Lynparza# PROFOUND [US] 2 PARP inhibitor prostate cancer</p>

¶ Registrational Phase II/III trial * Partnered and/or in collaboration † Submission accepted ‡ Approved ³ Farxiga in the US; Forxiga in ROW ⁴ Brilinta in the US, China, Russia and Japan; Briliique in ROW



Q2 2020 new molecular entity (NME)¹ pipeline

Phase I	Phase II	Phase III	Under Review	
19 New Molecular Entities	24 New Molecular Entities	9 New Molecular Entities	0 New Molecular Entities	
AZD0466 BCL2/xL haematological and solid	<i>Imfinzi#</i> +RT (platform) CLOVER PD-L1+RT HNSCC NSCLC SCLC	adavosertib# Wee1 ovarian cancer, solid tumours	<i>Imfinzi#</i> +oleclumab PD-L1+CD73 solid tumours	capivasertib#+fulvestrant CAPtello-291 AKT+fulvestrant locally-advanced (inoperable) or metastatic breast
AZD1390 glioblastoma	<i>Imfinzi#</i> +tremelimumab PD-L1+CTLA-4 solid tumours	AZD2811 nanoparticle Aurora solid tumours, haematological malignancies	<i>Imfinzi#</i> +tremelimumab PD-L1+CTLA-4 gastric cancer	capivasertib+chemotherapy CAPtello-290 AKT+chemotherapy mTNBC 1L
AZD4573 CDK9 haematological malignancies	<i>Imfinzi#</i> +tremelimumab+chemo PD-L1+CTLA-4 1L PDAC oesophageal SCLC	AZD4635 A2aR inhibitor prostate cancer	<i>Imfinzi#</i> +tremelimumab PD-L1+CTLA-4 biliary tract oesophageal	<i>Imfinzi#</i> +/-tremelimumab+chemo POSEIDON PD-L1+/-CTLA-4+SoC 1L NSCLC
AZD5153 BRD4 haematological and solid tumours	<i>Imfinzi</i> +selumetinib# PD-L1+MEK solid tumours	AZD9833 SERD ER+ breast	<i>Imfinzi</i> + <i>Lynparza</i> # BAYOU PD-L1+PARP bladder	<i>Imfinzi</i> +/-tremelimumab+CRT ADRIATIC PD-L1+/-CTLA-4+CRT LS-SCLC
AZD5991 MCL1 haematological malignancies	IPH5201# CD39 solid tumours	capivasertib# AKT prostate	<i>Lynparza</i> #+AZD6738 VIOLETTE PARP+ATR breast	<i>Imfinzi</i> +tremelimumab HIMALAYA PD-L1+CTLA-4 1L HCC
AZD7648# DNAPK solid and haematological tumours	MEDI1191 IL12 mRNA solid tumours	<i>Imfinzi</i> (platform) HUDSON PD-L1+multiple novel ONC therapies post IO NSCLC	<i>Lynparza</i> #+ <i>Imfinzi</i> MEDIOLA PARP+PD-L1 ovarian breast gastric SCLC	<i>Imfinzi</i> +tremelimumab KESTREL PD-L1+CTLA-4 1L HNSCC
AZD9496 SERD ER+ breast	MEDI2228 BCMA ADC multiple myeloma	<i>Imfinzi</i> # (platform) COAST PD-L1+multiple novel ONC therapies NSCLC	MEDI5752 PD-1/CTLA-4 solid tumours	<i>Imfinzi</i> +tremelimumab+SoC NILE PD-L1+CTLA-4+SoC 1L urothelial cancer
<i>Calquence</i> +cerulasertib BTK+ATR haematological tumours	MEDI5395 rNDV GMCSF solid tumours	<i>Imfinzi</i> # (platform) NeoCOAST PD-L1++multiple novel ONC therapies NSCLC	oleclumab+AZD4635 CD73+A2aR prostate cancer	<i>Lynparza</i> #+ <i>Imfinzi</i> # DUO-E PARP+PD-L1 1L endometrial cancer
<i>Calquence</i> +danavatrisen BTK+STAT3 haematological malignancies	oleclumab+ <i>Tagrisso</i> CD73+EGFR EGFRm NSCLC	<i>Imfinzi</i> #+AZD4635 PD-L1+A2aR prostate cancer	oleclumab+chemo or <i>Imfinzi</i> #+oleclumab+chemo CD73+chemo or PD-L1+CD73+chemo	<i>Lynparza</i> #+ <i>Imfinzi</i> #+bevacizumab DUO-O PARP+PD-L1+VEGF 1L ovarian
<i>Imfinzi</i> #+adavosertib# PD-L1+Wee1 solid tumours		<i>Imfinzi</i> #+ <i>Lynparza</i> # ORION PD-L1+PARP 1L mNSCLC	Post-1L <i>Tagrisso</i> (platform) ORCHARD EGFR+multiple novel ONC therapies EGFRm NSCLC	
		<i>Imfinzi</i> #+MEDI0457# PD-L1+DNA HPV vaccine HNSCC	<i>Tagrisso</i> combo# TATTAN EGFR+PD-L1/MEK/MET NSCLC	
		<i>Imfinzi</i> #+monalizumab# PD-L1+NKG2a solid tumours	<i>Tagrisso</i> +savolitinib# SAVANNAH EGFR+MET advanced EGFRm NSCLC	

¹ Includes novel combinations and additional indications for assets where the lead is not yet launched

Partnered and/or in collaboration; ¹ Registrational Phase II/III trial



Q2 2020 new molecular entity (NME)¹ pipeline

Phase I

16 New Molecular Entities

AZD0284 ROR γ psoriasis / respiratory	AZD8154 Inhaled PI3Kgd asthma
AZD0449 Inhaled JAK inhibitor asthma	AZD8233 hypercholesterolemia cardiovascular
AZD1402# inhaled IL-4Ra asthma	AZD9977 MCR cardiovascular
AZD2373 Podocyte health nephropathy	MEDI0618# PAR2 antagonist mAb osteoarthritis pain
AZD2693 nonalcoholic steatohepatitis	MEDI1341# alpha synuclein parkinson's disease
AZD4041# orexin 1 receptor antagonist opioid use disorder	MEDI1814# amyloid β alzheimer's disease
AZD5634 inhaled ENaC cystic fibrosis	MEDI5117# China IL6 YTE rheumatoid arthritis
AZD6615 hypercholesterolemia CV disease	MEDI6570 LOX-1 CV disease

Phase II

21 New Molecular Entities

abediterol# LABA asthma / COPD	MEDI3506 Diabetic kidney disease
anifrolumab# Type I IFN receptor SLE SC	MEDI3506 IL33 AD / COPD / asthma / COVID-19
anifrolumab# Type I IFN receptor lupus nephritis	MEDI5884# cholesterol modulation cardiovascular
AZD4831 MPO HFpEF	MEDI6012 LCAT cardiovascular
AZD5718 FLAP coronary artery disease	MEDI7352 NGF/TNF OA pain / painful diabetic neuropathy
AZD7986# DPP1 COPD	navafenterol (AZD8871)# MABA COPD
AZD8601# VEGF-A cardiovascular	survatorumab α -Toxin Staphylococcus pneumonia
AZD9567 SGRM RA / respiratory	tezepelumab# TSLP atopic dermatitis
brazikumab IL23 ulcerative colitis	tezepelumab# TSLP COPD
cotadutide GLP-1/glucagon type-2 diabetes / obesity / NASH	velsecorat (AZD7594) Inhaled SGRM asthma / COPD
	verinurad URAT-1 chronic kidney disease

Phase III

6 New Molecular Entities

anifrolumab# TULIP Type I IFN receptor SLE
AZD1222# SARS-CoV-2 COVID vaccine
brazikumab# IL23 crohns disease
nirsevimab# RSV mAb-YTE passive RSV immunisation
PT027 ICS/SABA asthma
tezepelumab# NAVIGATOR SOURCE TSLP severe uncontrolled asthma

Under Review

0 New Molecular Entities



Q2 2020 lifecycle management (LCM)¹ pipeline

Phase I	Phase II	Phase III	Under Review	
1 Project	8 Projects	23 Projects	1 Project	
<i>Imfinzi</i> #+azacitidine# PD-L1+azacitidine MDS	<i>Calquence</i> CALAVI-US & CALAVI-RoW BTK inhibitor COVID-19	<i>Calquence</i> # BTK inhibitor 1st line MCL	<i>Imfinzi</i> # post-SBRT PACIFIC-4 PD-L1 post-SBRT stage I/II NSCLC	<i>Imfinzi</i> #+VEGF+TACE EMERALD-1 PD-L1+VEGF+TACE locoregional HCC
	<i>Enhertu</i> # DESTINY-CRC-01 ADC colorectal cancer	<i>Calquence</i> # BTK inhibitor r/r CLL, high risk	<i>Imfinzi</i> # POTOMAC PD-L1 non muscle invasive bladder cancer	<i>Lynparza</i> # OlympiA PARP gBRCA adjuvant breast
	<i>Enhertu</i> # DESTINY-Gastric02 ADC gastric	<i>Calquence</i> #+venetoclax+obinutuzumab BTK+BCL-2+anti-CD20 1st line CLL	<i>Imfinzi</i> #+CRT PACIFIC-2 PD-L1+CRT NSCLC	<i>Lynparza</i> # SOLO-3 PARP BRCAm PSR ovarian
	<i>Enhertu</i> # U204 ADC NSCLC	<i>Enhertu</i> # DESTINY-Breast02 ADC breast	<i>Imfinzi</i> #+CRT PACIFIC-5 (China) PD-L1+CRT locally-advanced stage III	<i>Lynparza</i> +abiraterone# PROpel PARP+NHA prostate cancer
	<i>Imfinzi</i> # (platform) BEGONIA PD-L1 1L mTNBC	<i>Enhertu</i> # DESTINY-Breast03 ADC breast	<i>Imfinzi</i> #+CTx neoadjuvant AEGEAN PD-L1+CTx locally-advanced stage III	<i>Tagrisso</i> ADAURA EGFR adj. EGFRm NSCLC
	<i>Imfinzi</i> # (platform) MAGELLAN PD-L1 1L mNSCLC	<i>Enhertu</i> # DESTINY-Breast04 ADC breast	<i>Imfinzi</i> #+CTx NIAGARA PD-L1+CTx muscle invasive bladder cancer	<i>Tagrisso</i> LAURA EGFRm locally-advanced unresectable NSCLC
	<i>Imfinzi</i> +FOLFOX+bevacizumab (platform) COLUMBIA1 PD-L1+ chemo + VEGF + multiple novel ONC therapies 1L MSS-CRC	<i>Imfinzi</i> # CALLA PD-L1 adj. locally-advanced cervical cancer	<i>Imfinzi</i> #+CTx TOPAZ-1 PD-L1+CTx 1L biliary tract cancer	<i>Tagrisso</i> +chemo FLAURA2 EGFR+chemo 1L adv EGFRm NSCLC
	<i>Lynparza</i> # (basket) MK-7339-002/LYNK002 PARP HRRm cancer	<i>Imfinzi</i> # PEARL PD-L1 1L metastatic NSCLC	<i>Imfinzi</i> #+VEGF EMERALD-2 PD-L1+VEGF adjuvant HCC	



Q2 2020 lifecycle management (LCM)¹ pipeline

Phase I	Phase II	Phase III	Under Review
0 Projects	2 Projects	9 Projects	3 Projects
	<i>Breztri</i> LABA/LAMA/ICS asthma	<i>Farxiga/Forxiga</i> Dapa-CKD SGLT2 CKD	<i>Brilinta/Brilique</i> THALES P2Y12 stroke
	<i>roxadustat#</i> HIF-PH inhibitor chemo induced anaemia	<i>Farxiga/Forxiga</i> DELIVER SGLT2 HFpEF	<i>Nexium</i> (CN only) stress ulcer prophylaxis
		<i>Farxiga/Forxiga</i> DETERMINE-Preserved SGLT2 HFpEF	<i>Symbicort</i> SYGMA as needed in mild asthma
		<i>Farxiga/Forxiga</i> DETERMINE-Reduced SGLT2 HFrEF	
		<i>Fasenra</i> NATRON IL-5R hypereosinophilic syndrome	
		<i>Fasenra</i> MANDARA IL-5R EGPA	
		<i>Fasenra#</i> OSTRO, ORCHID IL-5R nasal polyposis	
		<i>Fasenra#</i> RESOLUTE IL-5R COPD	
		<i>roxadustat#</i> HIFPH anaemia MDS	



Estimated key regulatory submission acceptances

NME

anifrolumab SLE TULIP
AZD1222 (ChAdOx1) SARS-CoV-2
<i>Imfinzi</i> + tremelimumab HCC HIMALAYA
H2 2020

tezepelumab asthma NAVIGATOR
<i>Imfinzi</i> + tremelimumab HNSCC KESTREL
H1 2021

PT027 asthma
<i>Imfinzi</i> +/- tremelimumab NSCLC POSEIDON
H2 2021
<i>Calquence</i> r/r CLL, high risk ELEVATE-RR
<i>Enhertu</i> DESTINY-Breast03
<i>Imfinzi</i> NSCLC PEARL
<i>Lynparza</i> breast OLYMPIA
<i>Lynparza</i> + abiraterone prostate PROPEL
<i>Imfinzi</i> + VEGF + TACE locoregional HCC EMERALD-1
<i>Duaklir</i> Genuair COPD (China)
<i>Farxiga</i> HF (HFpEF) DELIVER

capivasertib + fulvestrant locally advanced or mBC CAPItello-291
capivasertib + CTx 1L mTNBC CAPItello-290
<i>Imfinzi</i> + tremelimumab + SoC urothelial NILE
<i>Imfinzi</i> + tremelimumab + CRT LDS-SCLC ADRIATIC
2021+
<i>Imfinzi</i> cervical CALLA
<i>Imfinzi</i> non muscle invasive bladder POTOMAC
Tagrisso locally adv. unresectable NSCLC LAURA
Tagrisso + CTx EGFRm NSCLC FLAURA2
roxadustat anemia in MDS
<i>Bydureon</i> Bcise type-2 diabetes (China)
<i>Xigduo</i> XR/Xigduo type-2 diabetes (China)
<i>Fasenra</i> COPD RESOLUTE
<i>Fasenra</i> EGPA MANDARA
<i>Fasenra</i> HES NATRON
<i>Fasenra</i> nasal polyposis ORCHID (China / Japan)



Designations

5

Accelerated approvals

<i>Lynparza</i> ovarian cancer SOLO-2 (US)
<i>Tagrisso</i> EGFRm T790M NSCLC (US)
<i>Imfinzi</i> bladder cancer (US)
<i>Calquence</i> MCL (US)
<i>Enhertu</i> unresectable or HER2+ MBC 3L (DESTINY-Breast01) (US)

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Breakthrough / PRIME¹ / Sakigake²

<i>Tagrisso</i> EGFRm T790M NSCLC (US)
<i>Lynparza</i> prostate cancer PROFOUND (US)
<i>Imfinzi</i> bladder cancer 1L (US)
<i>Calquence</i> MCL (US)
<i>Imfinzi</i> stage III NSCLC 1L PACIFIC (US)
<i>Tagrisso</i> NSCLC 1L FLAURA (US)
tezepelumab asthma (US)
nirsevimab (MEDI8897) RSV mAB (US)
nirsevimab (MEDI8897) RSV mAB (EU) ¹
selumetinib NFI type 1 SPRINT (US)
<i>Enhertu</i> DESINTY-BREAST01 (US)
<i>Calquence</i> CLL (US)
<i>Enhertu</i> gastric cancer (JP) ²
<i>Enhertu</i> HER2+/HER2low gastric 3L DESTINY-Gastric01 (US)
<i>Enhertu</i> HER2mut NSCLC 2L+ DESTINY-Lung01 (US)

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Fast Track

MEDI3902 Psl-PcrV pneumo Px (US)
savatotuximab Staph HAP (US)
<i>Imfinzi</i> NSCLC (US)
nirsevimab (MEDI8897) RSV mAB (US)
<i>Imfinzi</i> HNSCC HAWK (US)
anifrolumab SLE (US)
<i>Lynparza</i> ovarian cancer SOLO-2 (US)
<i>Tagrisso</i> EGFRm T790M NSCLC (CN)
<i>Faxiga</i> HFrEF (US)
<i>Faxiga</i> chronic kidney disease (US)
cotadutide non-alcoholic steatohepatitis (US)
<i>Faxiga</i> MI RRCT DAPA-MI (US)

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Priority Review / RTOR³

<i>Tagrisso</i> EGFRm T790M NSCLC (JP)
<i>Tagrisso</i> EGFRm T790M NSCLC (US)
<i>Imfinzi</i> bladder cancer 2L (US)
<i>Tagrisso</i> NSCLC AURA3 (US)
<i>Calquence</i> MCL (US)
<i>Lynparza</i> breast cancer OLYMPIAD (US)
roxadustat CKD (CN)
<i>Tagrisso</i> NSCLC FLAURA (US)
<i>Imfinzi</i> stage III NSCLC PACIFIC (EU)
<i>Imfinzi</i> stage III NSCLC PACIFIC (JP)
<i>Lynparza</i> tablet (US)
<i>Lynparza</i> tablet (CN)
<i>Lynparza</i> breast cancer OLYMPIAD (JP)
<i>Tagrisso</i> NSCLC 1L FLAURA (JP)
<i>Lumoxiti</i> HCL PLAiT (US)
<i>Lynparza</i> ovarian SOLO-1 (US)
<i>Lynparza</i> ovarian SOLO-1 (CN)
Breztri AerospHERE (PT010) COPD (CN)
<i>Tagrisso</i> NSCLC 1L FLAURA (CN)
Breztri AerospHERE (PT010) (CN)
<i>Lokelma</i> hyperkalaemia (CN)
<i>Lynparza</i> pancreatic 1L (US)
<i>Enhertu</i> DESINTY-BREAST01 (US)
<i>Faxiga</i> HF DAPA-HF (US)
<i>Imfinzi</i> +/-treme+SOC SCLC 1L CASPIAN (US)
<i>Fasenra</i> EoE (US)
<i>Imfinzi</i> +treme HCC 1L HIMALAYA (US)
<i>Lynparza</i> pancreatic cancer POLO (JP)
<i>Enhertu</i> HER2+/HER2low gastric 3L DESTINY-Gastric01 (US)
<i>Koselugo</i> /selumetinib NFI type 1 SPRINT (JP)

ACCELERATED APPROVAL, these regulations allowed medicines for serious conditions that addressed an unmet medical need to be approved based on a surrogate endpoint.

BREAKTHROUGH DESIGNATION is a process designed to expedite the development and review of medicines which may demonstrate substantial improvement over available therapy. ¹PRIME is a scheme launched by the EMA to enhance support for the development of medicines that target an unmet medical need. ²SAKIGAKE is aimed at early introduction of innovative medicines, medical devices, etc. that are initially developed in Japan.

FAST TRACK is a process designed to facilitate the development, and expedite the review of medicines to treat serious conditions and fill an unmet medical need. ³REAL-TIME ONCOLOGY REVIEW (RTOR) and Project Orbis is an initiative of the FDA Oncology Centre of Excellence (OCE) providing a framework for concurrent submission and review of oncology products among international partners.

PRIORITY REVIEW DESIGNATION is the US FDA's goal to take action on an application within 6 months.

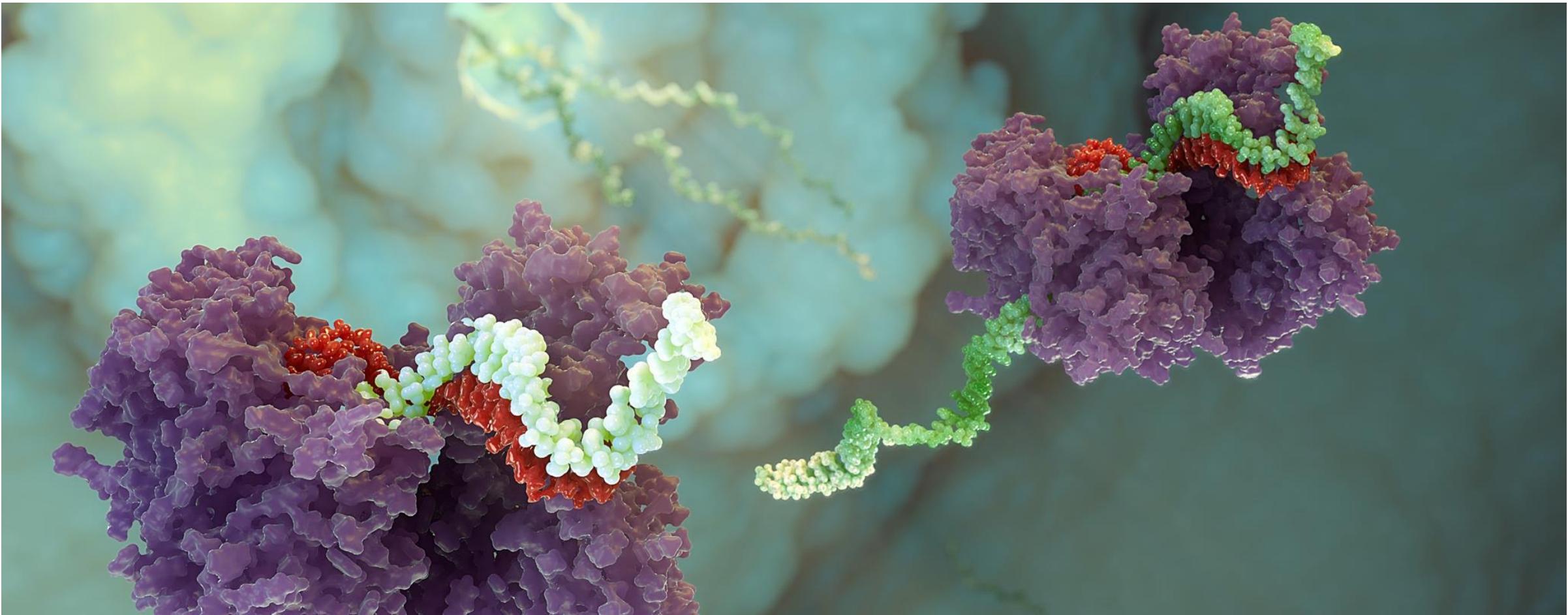
ORPHAN DRUG DESIGNATION, intended for treatment, diagnosis or prevention of rare diseases/disorders that affect fewer than 200,000 patients in the US, or that affect more than 200,000 patients but are not expected to recover the costs of developing and marketing a treatment drug.

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Orphan



Oncology - approved medicines and late-stage pipeline



Tagrisso (highly-selective, irreversible EGFRi)

NSCLC

Trial	Population	Patients	Design	Endpoints	Status
Phase III ADAURA NCT02511106	Adjuvant EGFRm NSCLC	682	<ul style="list-style-type: none"> Arm 1: Tagrisso QD following complete tumour resection, with or without chemo Arm 2: placebo Global trial - 25 countries	<ul style="list-style-type: none"> Primary endpoint: DFS Secondary endpoints: DFS Rate, OS, OS Rate, QoL 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q1 2019 Data readout: Q1 2020 Trial unblinded due to efficacy
Phase III LAURA NCT03521154	Maintenance therapy in patients with locally advanced, unresectable EGFRm Stage III NSCLC whose disease has not progressed following platinum-based chemoradiation therapy	200	<ul style="list-style-type: none"> Arm 1: Tagrisso Arm 2: placebo Global trial - 11 countries	<ul style="list-style-type: none"> Primary endpoint: PFS (BICR) Secondary endpoints: CNS PFS, OS, DoR, ORR, DCR 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: 2021+
Phase III ASTRIS NCT02474355	Real world setting in adult patients with advanced or metastatic, EGFRm T790M+ NSCLC	3,020	Single-arm trial - Tagrisso Global trial - 16 countries	<ul style="list-style-type: none"> Primary endpoints: OS and safety Secondary endpoint: PFS 	<ul style="list-style-type: none"> FPCD: Q3 2015 LPCD: Q4 2017
Phase II ELIOS NCT03239340	EGFR TKI treatment-naïve patients with locally-advanced or metastatic EGFRm NSCLC	150	Single arm trial - Tagrisso Global trial - five countries	<ul style="list-style-type: none"> Primary Endpoint: proportion of patients with a given tumour genetic and proteomic marker at the point of disease progression as defined by the investigator Secondary endpoint: PFS, ORR, DoR 	<ul style="list-style-type: none"> FPCD: Q2 2018
Phase I ODIN-BM NCT03463525	Patients with EGFRm NSCLC with brain metastases	8	Single-arm trial - Tagrisso	<ul style="list-style-type: none"> Primary Endpoints: assessments of brain standard uptake value (SUV) and pharmacokinetics (PK) Secondary endpoints: PK 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q2 2020 Data anticipated: H2 2021



Tagrisso (highly-selective, irreversible EGFRi)

NSCLC, combinations

Trial	Population	Patients	Design	Endpoints	Status
Phase III NeoADAURA NCT04351555	Neoadjuvant EGFRm NSCLC	351	Arm 1: placebo plus plus Pemetrexed/Carboplatin or Pemetrexed/Cisplatin Arm 2: Tagrisso plus Pemetrexed/Carboplatin or Pemetrexed/Cisplatin Arm 3: Tagrisso Global trial – 12+ countries	<ul style="list-style-type: none"> Primary endpoint: mPR Secondary endpoints cPR, EFS, DFS, OS 	<ul style="list-style-type: none"> FPCD Q2 2020 Data anticipated: 2021+
Phase III FLAURA2 NCT04035486	1st-line EGFRm NSCLC	586	Arm 1: Tagrisso plus Pemetrexed/Carboplatin or Pemetrexed/Cisplatin Arm 2: Tagrisso Global trial – 5+ countries	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, LOS, ORR DoR, Depth of response, PFS2. QoL, PK 	<ul style="list-style-type: none"> FPCD: Q4 2019 Data anticipated: 2021+
Phase II ORCHARD NCT03944772	Advanced EGFRm NSCLC patients who have progressed on first line Tagrisso treatment	150	Modular design platform trial: <ul style="list-style-type: none"> Module 1: Tagrisso + savolitinib Module 2: Tagrisso + gefitinib Module 3: Tagrisso + necitumumab Module 4: carboplatin + pemetrexed + Imfinzi No intervention: observational cohort – Global trial - 8 countries 	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: PFS, DoR, OS, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: 2021+
Phase II SAVANNAH NCT03778229	EGFRm / MET+, locally advanced or metastatic NSCLC who have progressed following treatment with Tagrisso	172	<ul style="list-style-type: none"> Single arm trial: Tagrisso + savolitinib Global trial	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints include PFS, DoR and OS 	<ul style="list-style-type: none"> FPCD Q1 2019 Data anticipated: 2021+
Phase Ib TATTION NCT02143466	Advanced EGFRm NSCLC TKI failure	344	<ul style="list-style-type: none"> Arm 1: Tagrisso + Imfinzi Arm 2: Tagrisso + savolitinib Arm 3: Tagrisso + selumetinib Enrolment to Tagrisso + Imfinzi arm will not restart Global trial	<ul style="list-style-type: none"> Safety, tolerability, pharmacokinetics and preliminary anti-tumour activity 	<ul style="list-style-type: none"> FPCD: Q3 2014 Data anticipated: H2 2020



Imfinzi (PD-L1 mAb)

NSCLC, early disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III MERMAID-1 NCT04385368	Completely resected Stage II and III NSCLC	332	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + SoCd chemo Arm 2: placebo + SoC chemo 	Primary endpoint: <ul style="list-style-type: none"> DFS Secondary endpoint <ul style="list-style-type: none"> DFS, OS, 	<ul style="list-style-type: none"> Initiating Data anticipated: 2021+
Phase III AEGEAN NCT03800134	Neoadjuvant NSCLC patients Stage II and III resected NSCLC (incl. EGFR/ALK positive)	800	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + platinum-based chemo Arm 2: placebo + platinum-based chemo 	Primary endpoint: <ul style="list-style-type: none"> mPR, EFS Secondary endpoint <ul style="list-style-type: none"> pCR 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2021+
Phase III ADJUVANT BR.31 NCT02273375 Partnered	Adjuvant NSCLC patients Ib ($\geq 4\text{cm}$) – stage IIIa resected NSCLC (incl. EGFR/ALK positive)	1,360	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> mg/kg i.v. Q4W x 12m Arm 2: placebo <p>Global trial</p>	Primary endpoint: <ul style="list-style-type: none"> DFS Secondary endpoint: <ul style="list-style-type: none"> OS 	<ul style="list-style-type: none"> FPCD: Q1 2015 LPCD Q1 2020 Data anticipated: 2021+
Phase III PACIFIC-2 NCT03519971	Unresected, locally-advanced NSCLC	300	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> i.v. Q4W + chemo/RT Arm 2: placebo + chemo/RT <p>ex US global trial</p>	Primary endpoint: <ul style="list-style-type: none"> PFS ORR Secondary endpoint: <ul style="list-style-type: none"> OS 	<ul style="list-style-type: none"> FPCD: Q2 2018 LPCD: Q3 2019 Data anticipated: H2 2020
Phase III PACIFIC-4 NCT03833154	<i>Imfinzi</i> with SBRT in unresected, Stage I/II NSCLC	630	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> i.v. Q4W with definitive SBRT Arm 2: placebo with definitive SBRT 	Primary endpoint: <ul style="list-style-type: none"> PFS Secondary endpoint: <ul style="list-style-type: none"> OS 	<ul style="list-style-type: none"> FPCD: Q2 2019 Data anticipated: 2021+
Phase III PACIFIC-5 NCT03706690	Unresected, locally-advanced NSCLC	360	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> i.v. Q4W following chemo/RT Arm 2: placebo following chemo/RT <p>ex US global trial, China focus</p>	Primary endpoint: <ul style="list-style-type: none"> PFS Secondary endpoint: <ul style="list-style-type: none"> OS 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2021+
Phase II/III Lung Master Protocol NCT02154490 Partnered	Stage IV squamous NSCLC patients Biomarker-targeted 2L therapy	140	<ul style="list-style-type: none"> Subtrial A: <i>Imfinzi</i> (non-match for other biomarker driven subtrials) i.v. Q2W single arm <i>Imfinzi</i> Phase II only Subtrial B: PI3K inhibitor vs. docetaxel Subtrial C: CDK4/6 inhibitor vs. docetaxel Subtrial D: AZD4547 (FGFR inhibitor) vs. docetaxel Subtrial E: C-MET/HGFR Inhibitor + erlotinib vs. erlotinib 	Primary endpoints: <ul style="list-style-type: none"> ORR PFS OS 	<ul style="list-style-type: none"> FPCD: Q2 2014 Data anticipated: 2021+

Imfinzi (PD-L1 mAb) +/- tremelimumab (CTLA-4 mAb)

Lung cancer, advanced disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III PEARL NCT03003962	NSCLC 1L	650	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> Q4W Arm 2: chemotherapy <p>Asia trial</p>	Primary endpoint: <ul style="list-style-type: none"> OS 	<ul style="list-style-type: none"> FPCD: Q1 2017 LPCD: Q1 2019 Data anticipated: H1 2021
Phase III POSEIDON NCT03164616	NSCLC 1L	1,000	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + chemo Arm 2: <i>Imfinzi</i> + tremelimumab + chemo Arm 3: SoC 	Primary endpoint: <ul style="list-style-type: none"> OS PFS 	<ul style="list-style-type: none"> FPCD: Q2 2017 LPCD: Q4 2018 Data readout: Q4 2019 PFS primary endpoint met OS data anticipated: H2 2021
Phase II MAGELLAN NCT03819465	NSCLC 1L	200	<ul style="list-style-type: none"> Arm A1: <i>Imfinzi</i> Arm A2: <i>Imfinzi</i> + danavatirsen Arm A3: <i>Imfinzi</i> + oleclumab Arm B1: <i>Imfinzi</i> + Investigator's choice of chemo Arm B2: <i>Imfinzi</i> + danavatirsen + Investigator's choice of chemo Arm B3: <i>Imfinzi</i> + oleclumab + Investigator's choice of chemo 	Primary endpoint: <ul style="list-style-type: none"> Safety & tolerability Secondary endpoint: <ul style="list-style-type: none"> ORR, DoR, PFS, OS, PK, ADA 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: H2 2020
Phase III ADRIATIC NCT03703297	Limited stage SCLC 1L following platinum-based concurrent chemoradiation therapy	600	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab (4 doses) Arm 2: <i>Imfinzi</i> Arm 3: placebo 	Primary endpoints: <ul style="list-style-type: none"> PFS OS 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: 2021+
Phase III CASPIAN NCT03043872	Extensive stage SCLC 1L	795	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab + EP (carboplatin or cisplatin + etoposide) Arm 2: <i>Imfinzi</i> + EP (carboplatin or cisplatin + etoposide) Arm 3: EP (carboplatin or cisplatin + etoposide) 	Primary endpoint: <ul style="list-style-type: none"> OS 	<ul style="list-style-type: none"> FPCD: Q1 2017 LPCD: Q2 2018 Data readout: Q2 2019 OS Primary endpoint met for <i>Imfinzi</i> monotherapy OS primary endpoint not met for <i>Imfinzi</i> + tremelimumab
Phase II BALTIC NCT02937818	SCLC	80	<ul style="list-style-type: none"> Arm A: <i>Imfinzi</i> + tremelimumab Q4W Arm B: adavosertib and carboplatin BID Arm C: AZD6738 and Lynparza 	<ul style="list-style-type: none"> Primary endpoint: ORR 	<ul style="list-style-type: none"> FPCD: Q4 2016 Data anticipated: H1 2021



Imfinzi (PD-L1 mAb)

Other cancers, early disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III POTOMAC NCT03528694	Non-muscle invasive bladder cancer	975	<ul style="list-style-type: none"> Arm 1: BCG (Induction + maintenance) Arm 2: <i>Imfinzi</i> + BCG (Induction only) Arm 3: <i>Imfinzi</i> + BCG (Induction + maintenance) 	Primary endpoints: <ul style="list-style-type: none"> DFS 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: 2021+
Phase III NIAGARA NCT03732677	Muscle-invasive bladder cancer	960	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> in combination with gemcitabine + cisplatin, <i>Imfinzi</i> maintenance Arm 2: gemcitabine + cisplatin 	Coprimary endpoints: <ul style="list-style-type: none"> pCR EFS 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: H2 2021
Phase III EMERALD-1 NCT03778957	Locoregional HCC	600	<ul style="list-style-type: none"> Arm A: TACE in combination with <i>Imfinzi</i> Arm B: TACE in combination with <i>Imfinzi</i> + bevacizumab Arm C: TACE in combination with placebo 	Primary endpoint PFS for Arm A vs Arm C Secondary endpoint PFS for Arm B vs Arm C , OS	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: H2 2021
Phase III EMERALD-2 NCT03847428	Adjuvant therapy in HCC	888	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + bevacizumab Arm 2: <i>Imfinzi</i> + placebo Arm 3: placebo + placebo 	Primary endpoint: <ul style="list-style-type: none"> RFS for Arm 2 vs Arm 3 Secondary endpoint: <ul style="list-style-type: none"> RFS Arm 1 vs Arm 3, OS, RFS at 24 mos 	<ul style="list-style-type: none"> FPCD: Q2 2019 Data anticipated: 2021+



Imfinzi (PD-L1 mAb) +/- tremelimumab (CTLA-4 mAb)

Other cancers, advanced disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III DANUBE NCT02516241	Cis-eligible and ineligible bladder cancer 1L	1,005	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab Arm 2: <i>Imfinzi</i> Arm 3: SoC 	Primary endpoints: <ul style="list-style-type: none"> OS 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q1 2017 Data readout: Q1 2020 Primary endpoints not met
Phase III NILE NCT03682068	Bladder cancer 1L	885	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab + SoC Arm 2: <i>Imfinzi</i> + SoC Arm 3: SoC 	Primary endpoints: <ul style="list-style-type: none"> PFS OS 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: 2021+
Phase III KESTREL NCT02551159	HNSCC 1L	823	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> Arm 2: <i>Imfinzi</i> + tremelimumab Arm 3: SoC 	Primary endpoints: <ul style="list-style-type: none"> OS Secondary endpoint: <ul style="list-style-type: none"> PFS, ORR, DoR, safety, biomarkers 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD Q1 2017 Data anticipated: H1 2021
Phase III HIMALAYA NCT03298451	HCC 1L	1,310	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab Arm 2: <i>Imfinzi</i> Arm 3: sorafenib 	Primary endpoint: <ul style="list-style-type: none"> OS Secondary endpoint: <ul style="list-style-type: none"> PFS, TTP, ORR 	<ul style="list-style-type: none"> FPCD: Q4 2017 LPCD: Q4 2019 Data anticipated: H2 2020
Phase II NCT02527434	Urothelial bladder cancer triple-negative breast cancer pancreatic ductal-adenocarcinoma	76	<ul style="list-style-type: none"> Arm 1 tremelimumab (urothelial bladder cancer) Arm 2 tremelimumab (triple-negative breast cancer) Arm 3 tremelimumab (pancreatic ductal-adenocarcinoma) 	Primary endpoint: <ul style="list-style-type: none"> ORR Secondary endpoints: <ul style="list-style-type: none"> Safety, DoR 	<ul style="list-style-type: none"> FPCD: Q4 2015 Data readout: Q4 2018
Phase III TOPAZ-1 NCT03875235	BTC 1L	474	<ul style="list-style-type: none"> Treatment Arm 1 <i>Imfinzi</i> + gemcitabine + cisplatin Treatment Arm 2 placebo + gemcitabine + cisplatin <p>Global trial</p>	Primary endpoint: <ul style="list-style-type: none"> OS Secondary endpoint: <ul style="list-style-type: none"> PFS, ORR, DoR 	<ul style="list-style-type: none"> FPCD Q2 2019 Data anticipated: H2 2021
Phase III CALLA NCT03830866	Locally advanced cervical cancer	714	<ul style="list-style-type: none"> Arm 1 <i>Imfinzi</i> + EBRT + brachytherapy with platinum Arm 2 placebo + EBRT + brachytherapy with platinum <p>Global trial</p>	Primary <ul style="list-style-type: none"> PFS Secondary <ul style="list-style-type: none"> OS, CR rate, DoR, ORR, safety/tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2021+



Imfinzi (PD-L1 mAb) +/- tremelimumab (CTLA-4 mAb)

Other cancers, advanced disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III STRONG NCT03084471	Advanced solid malignancies	1,200	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> Arm 2: <i>Imfinzi</i> + tremelimumab 	<ul style="list-style-type: none"> Primary endpoint: Safety 	<ul style="list-style-type: none"> FPCD: Q2 2017 Data anticipated: 2021+
Phase I Combination in Advanced Solid Tumours NCT02658214	Solid tumours	80	<ul style="list-style-type: none"> Arm 2 SCLC: <i>Imfinzi</i> + tremelimumab + carboplatin + etoposide Arm 3 TNBC: <i>Imfinzi</i> + tremelimumab + chemo Arm 4 TNBC: <i>Imfinzi</i> + tremelimumab + chemo Arm 5 GEJ: <i>Imfinzi</i> + tremelimumab + oxaliplatin + 5-FU + leucovorin Arm 6 PDAC: <i>Imfinzi</i> + tremelimumab + chemo Arm 7 ESSC: <i>Imfinzi</i> + tremelimumab + chemo 	<ul style="list-style-type: none"> Safety 	<ul style="list-style-type: none"> FPCD: Q1 2016 LPCD: Q1 2019 Data anticipated: 2021+
Phase I Immunotherapy in Combination With Chemoradiation in Patients With Advanced Solid Tumours CLOVER NCT03509012	HNSCC, NSCLC, SCLC	300	<ul style="list-style-type: none"> HNSCC Arm 1 NSCLC Arm 1 NSCLC Arm 2 NSCLC Arm 3 SCLC Arm 2 SCLC Arm 3 SCLC Arm 4 	<ul style="list-style-type: none"> Safety 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: 2021+
Phase II BEGONIA NCT03742102	mTNBC 1L	110	<ul style="list-style-type: none"> Arm 1 <i>Imfinzi</i> + paclitaxel Arm 2 <i>Imfinzi</i> + paclitaxel + capivasertib Arm 4 <i>Imfinzi</i> + paclitaxel + danvatirsen Arm 5 <i>Imfinzi</i> + paclitaxel + oleclumab <p>Global trial</p>	<p>Primary endpoint:</p> <ul style="list-style-type: none"> Safety and tolerability <p>Secondary endpoint:</p> <ul style="list-style-type: none"> ORR, PFS, DoR, OS, PK, ADA 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2021



Lynparza (PARP inhibitor)

Other cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase III OlympiA NCT02032823 Partnered	BRCAm adjuvant breast cancer	1,836	<ul style="list-style-type: none"> Arm 1: Lynparza BiD 12 month duration Arm 2: placebo 12-month duration Global trial partnership with BIG and NCI/NRG	<ul style="list-style-type: none"> Primary endpoint: invasive disease-free survival (IDFS) Secondary endpoint: distant disease-free survival and OS 	<ul style="list-style-type: none"> FPCD: Q2 2014 LPCD: Q2 2019 Data anticipated: H1 2021
Phase III POLO NCT02184195	gBRCAm pancreatic cancer	154	<ul style="list-style-type: none"> Arm 1: Lynparza tablets 300mg twice daily as maintenance therapy until progression Arm 2: placebo tablets BiD Global trial	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q1 2015 LPCD: Q1 2019 Data readout: Q1 2019 Primary endpoint met
Phase III PROfound NCT02987543	Metastatic castration-resistant prostate cancer HRM, 2L+	387	<ul style="list-style-type: none"> Arm 1: Lynparza BiD Arm 2: physician's choice: enzalutamide 160mg once daily or abiraterone acetate 1,000mg once daily Global trial	<ul style="list-style-type: none"> Primary endpoint: radiologic PFS Secondary endpoints: ORR, Time to Pain Progression, OS 	<ul style="list-style-type: none"> FPCD: Q2 2017 LPCD: Q4 2018 Data readout : Q3 2019 Primary endpoint met



Lynparza (PARP inhibitor)

Imfinzi combinations

Trial	Population	Patients	Design	Endpoints	Status
Phase III DuO-O NCT03737643	Advanced ovarian cancer 1L	1,256	Non tBRCAm (tumour BRCA) patients • Arm 1: bevacizumab • Arm 2: bevacizumab + <i>Imfinzi</i> • Arm 3: bevacizumab + <i>Imfinzi</i> + <i>Lynparza</i> tBRCAm patients • bevacizumab (optional) + <i>Imfinzi</i> + <i>Lynparza</i> Global trial	Primary endpoint: • PFS Secondary endpoints: • OS, PFS2	• FPCD: Q1 2019 • Data anticipated: 2021+
Phase III DUO-E NCT04269200	Advanced and recurrent endometrial cancer 1L	699	<ul style="list-style-type: none"> Arm 1: Chemo + <i>Imfinzi</i> placebo followed by <i>Imfinzi</i> placebo and <i>Lynparza</i> placebo Arm 2: Chemo + <i>Imfinzi</i> followed by <i>Imfinzi</i> + <i>Lynparza</i> placebo Arm 3: Chemo + <i>Imfinzi</i> followed by <i>Imfinzi</i> + <i>Lynparza</i> Global Trial	Primary endpoint • PFS Secondary endpoints: • OS, PFS2, ORR, DoR	• FPCD: Q2 2020 • Data anticipated: 2021+
Phase II ORION NCT03775486	Stage IV NSCLC whose disease has not progressed following SoC chemo + <i>Imfinzi</i> Maintenance therapy 1L	250	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + <i>Lynparza</i> Arm 2: <i>Imfinzi</i> + placebo Global trial	Primary endpoint: • PFS Secondary endpoints: • OS, ORR, DoR, PFS in HRRm, PK, ADA	• FPCD Q1 2019 • Data anticipated: 2021
Phase II BAYOU NCT03459846	Platinum-Ineligible unresectable Stage IV urothelial cancer	154	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + <i>Lynparza</i> Arm 2: <i>Imfinzi</i> + placebo Global trial	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, DoR, ORR, PFS in HRRm, PFS6, PK, ADA, PRO 	• FPCD: Q2 2018 • Data anticipated : H2 2020
Phase I / II MEDIOLA NCT02734004	gBRCAm ovarian cancer 2L+ gBRCAm HER2-negative breast cancer 1-3L SCLC 2L+ Gastric cancer 2L+	148	<ul style="list-style-type: none"> Arm 1: <i>Lynparza</i> + <i>Imfinzi</i> Dose until progression Global trial	Primary endpoints: • DCR at 12 weeks • Safety and tolerability	• FPCD: Q2 2016 • LPCD: Q2 2017
Phase I / II MEDIOLA (Ovarian expansion) NCT02734004	gBRCAm ovarian cancer 2L+ Non-gBRCAm ovarian cancer 2L+ Non-gBRCAm ovarian cancer 2L+	140	<ul style="list-style-type: none"> Arm 1: <i>Lynparza</i> + <i>Imfinzi</i> Arm 2: <i>Lynparza</i> + <i>Imfinzi</i> Arm 3: <i>Lynparza</i> + <i>Imfinzi</i> + bevacizumab Dose until progression Global trial	Primary endpoints: • DCR at 12 weeks • ORR • Safety and tolerability	• FPCD: Q2 2018



Lynparza (PARP inhibitor)

Other combinations

Trial	Population	Patients	Design	Endpoints	Status
Phase III PAOLA-1 NCT02477644 Externally sponsored	Advanced ovarian cancer 1L maintenance	806	<ul style="list-style-type: none"> Arm 1: Lynparza maintenance therapy for two years or until disease progression Arm 2: placebo for two years or until disease progression Global trial	Primary endpoint: <ul style="list-style-type: none"> PFS Secondary endpoints: <ul style="list-style-type: none"> OS, PFS2 	<ul style="list-style-type: none"> FPCD: Q2 2015 LPCD: Q2 2018 Data readout: Q3 2019 Primary endpoint met
Phase III PROpel NCT03732820	Metastatic castration-resistant prostate cancer 1L	720	<ul style="list-style-type: none"> Arm 1: Lynparza + abiraterone Arm 2: placebo + abiraterone Global trial	Primary Endpoint: <ul style="list-style-type: none"> rPFS Secondary endpoints: <ul style="list-style-type: none"> TFST, TPP, OS 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: H2 2021
Phase II VIOLETTE NCT03330847	TNBC	450	<ul style="list-style-type: none"> Arm 1: AZD6738 + Lynparza Arm 2: Lynparza Trial conducted in 15 countries: North America, Europe and Asia	<ul style="list-style-type: none"> PFS ORR / OS Safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: H2 2021
Phase II/III GY005 NCT02502266 Externally sponsored	Recurrent platinum resistant/refractory ovarian cancer	680	<ul style="list-style-type: none"> Arm 1: chemo Arm 2: cediranib + Lynparza Arm 3: cediranib Arm 4: Lynparza US/Canada sites 	Primary endpoints: <ul style="list-style-type: none"> PFS, OS Secondary endpoints: <ul style="list-style-type: none"> ORR, QoL, safety 	<ul style="list-style-type: none"> FPCD: Q2 2016 Data anticipated: 2021+
Phase II LYNK-002 NCT03742895 Partnered	HRM or HRD-positive advanced cancer	370	<ul style="list-style-type: none"> Arm 1: Lynparza Trial conducted in 15 countries worldwide	Primary endpoints: <ul style="list-style-type: none"> ORR Secondary endpoints: <ul style="list-style-type: none"> DOR, OS, PFS, AE, Prog by CA-125 	<ul style="list-style-type: none"> FPCD: Q1 2019
Phase III LYNK-003 NCT04456699 Partnered	Advanced colorectal cancer 1L	525	<ul style="list-style-type: none"> Arm 1: bevacizumab + 5-FU maintenance Arm 2: bevacizumab + Lynparza maintenance Arm 3: Lynparza maintenance Trial conducted in 15 countries worldwide	Primary endpoints: <ul style="list-style-type: none"> PFS Secondary endpoints: <ul style="list-style-type: none"> OS, ORR, DoR, AEs 	<ul style="list-style-type: none"> Initiating Data anticipated: 2021+





Enhertu (trastuzumab deruxtecan, HER2 ADC)

Breast cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase II DESTINY-Breast01 NCT03248492 Partnered	HER2-positive, unresectable and/or metastatic breast cancer patients previously treated with trastuzumab emtansine	230	Randomised, open label, sequential assignment • <i>Enhertu</i>	Primary endpoint ORR Secondary end points DoR, CBR, CBR, PFS, OS	<ul style="list-style-type: none"> • FPCD: Q4 2017 • LPCD: Q4 2018 • Data readout: Q2 2019 • Primary objective met
Phase III DESTINY-Breast02 NCT03523585 Partnered	HER2-positive, unresectable and/or metastatic breast cancer pretreated with prior standard of care HER2 therapies, including trastuzumab emtansine	600	Randomised open label parallel assignment • <i>Enhertu</i> Physicians choice of • Lapatinib + capecitabine • Trastuzumab + capecitabine	Primacy endpoint PFS Secondary endpoints OS, ORR, DoR, CBR	<ul style="list-style-type: none"> • FPCD: Q4 2018 • Data anticipated H2 2021
Phase III DESTINY-Breast03 NCT03529110 Partnered	HER2-positive, unresectable and/or metastatic breast cancer patients previously treated with trastuzumab and taxane	500	Randomised open label parallel assignment • <i>Enhertu</i> • Ado-trastuzumab emtansine	Primary endpoint PFS Secondary endpoints OS, ORR, DoR, CBR	<ul style="list-style-type: none"> • FPCD: Q4 2018 • Data anticipated H2 2021
Phase III DESTINY-Breast04 NCT03734029 Partnered	HER2-low, unresectable and/or metastatic breast cancer patients	540	Randomised open label parallel assignment • <i>Enhertu</i> • Physicians choice of SoC chemo (choice of capecitabine, eribulin, gemcitabine, paclitaxel or nab-paclitaxel)	Primary end point PFS Secondary end points OS, DoR, ORR	<ul style="list-style-type: none"> • FPCD: Q4 2018 • Data anticipated H2 2021



Enhertu (trastuzumab deruxtecan, HER2 ADC)

Gastric cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase II DESTINY-Gastric01 NCT03329690 Partnered	HER2-overexpressing advanced gastric or gastroesophageal junction adenocarcinoma patients who have progressed on two prior treatment regimens	220	Randomised open label parallel assignment • <i>Enhertu</i> • SoC chemo Asian trial 2 countries	Primary end point ORR Secondary end points PFS, OS, DoR, DCR, TTF, range of PK endpoints	• FPCD: Q4 2017 • LPCD: Q2 2019 • Data readout Q1 2020 • Primary endpoint met
Phase II DESTINY-Gastric02 NCT04014075 Partnered	HER2-positive gastric cancer that cannot be surgically removed or has spread	72	Open label single group assignment • <i>Enhertu</i> Global trial 5 countries	Primary endpoint ORR Secondary endpoints PFS, ORR, OS, DoR	• FPCD: Q4 2019
Phase Ib/II DESTINY-Gastric03 NCT04379596	HER2-overexpressing gastric or gastro-oesophageal junction cancer patients	220	<ul style="list-style-type: none"> • Open label parallel assignment • Part 1: To determine recommended Phase 2 dose • 5 Arms combine <i>Enhertu</i> with standard of care chemotherapies (5-FU, capecitabine, oxaliplatin) and / or durvalumab • Part 2: To assess efficacy of the selected combinations • Arm 2A Standard chemotherapy (control) • Arm 2B <i>Enhertu</i> monotherapy • Arm 2C <i>Enhertu</i> with chemotherapy • Arm 2D <i>Enhertu</i> with chemotherapy and durvalumab Global trial 8 countries	Part 1 Primary endpoint safety Part 2 Primary endpoint ORR Secondary end points DoR, DCR, PFS, OS, range of PK endpoints, ADAs	• FPCD Q2 2020

Enhertu (trastuzumab deruxtecan, HER2 ADC)

Other cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase II DPT02 NCT04482309	HER2 expressing tumours	280	Non randomised single group assignment • <i>Enhertu</i>	Primary endpoint: ORR Secondary endpoints: DoR, DCR, PFS, OS	• Initiating
Phase II DESTINY-CRC01 NCT03384940 Partnered	HER2-expressing advanced colorectal cancer	90	Non randomised single group assignment • <i>Enhertu</i>	Primary endpoint ORR Secondary endpoints PFS, OS, DoR, range of PK endpoints	• FPCD Q1 2018 • LPCD Q2 2019 • Data readout: Q2 2020 • Primary endpoint met
Phase II DESTINY-Lung01 NCT03505710 Partnered	HER2-over-expressing or mutated, unresectable and/or metastatic NSCLC	130	Non randomised parallel group assignment • <i>Enhertu</i>	Primary endpoint ORR Secondary endpoints DoR, PFS, OS	• FPCD Q2 2018 • Data anticipated H2 2021
Phase I J101 NCT02564900 Partnered	Advanced solid malignant tumours	278	Non randomised single group assignment • <i>Enhertu</i>	Primary endpoints number of subjects with AEs, tumour response Secondary endpoints PK	• FPCD Q3 2015 • Data read out Q3 2018
Phase I NCT04042701 Partnered	Locally Advanced/Metastatic Breast or Non-Small Cell Lung Cancer	115	• Non randomised parallel group assignment • <i>Enhertu</i> • Pembrolizumab Global trial 2 countries	Primary endpoints DLT, ORR Secondary endpoints DoR, DCR, PFS, TTR, OS	• FPCD Q1 2020
Phase I NCT03523572 Partnered	HER2-expressing breast and urothelial cancer	99	• Non randomised sequential assignment • <i>Enhertu</i> • Nivolumab Global trial 7 countries	Primary endpoints DLT, ORR, TEAEs Secondary endpoints DoR, DCR, PFS, TTR, OS, ORR (investigator)	• FPCD Q2 2018

Calquence (BTK inhibitor)

Blood cancers

Trial	Population	Patients	Design	Endpoint(s)	Status
Phase III ACE-CL-007 (ELEVATE-TN) NCT02475681	Previously untreated CLL	535	<ul style="list-style-type: none"> Arm A: chlorambucil + obinutuzumab Arm B: Calquence + obinutuzumab Arm C: Calquence 	<ul style="list-style-type: none"> Primary endpoint: PFS (Arm A vs. Arm B) Secondary endpoints: IRC (independent review committee) assessed ORR, OS (Arm A vs. Arm B vs. Arm C) 	<ul style="list-style-type: none"> FPCD: Q2 2015 Data readout: Q2 2019 Primary endpoint met
Phase III ACE-CL-311 NCT03836261	Previously untreated CLL fit	780	<ul style="list-style-type: none"> Arm A: Calquence + venetoclax Arm B: Calquence + venetoclax + obinutuzumab Arm C: FCR or BR 	<ul style="list-style-type: none"> Primary – IRC assessed PFS (arm A vs arm C) Secondary - IRC assessed PFS (arm B vs arm C); INV assessed PFS (arm A vs arm C; arm B vs arm C) 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2021+
Phase III ACE-CL-309 (ASCEND) NCT02970318	Relapsed/refractory CLL	306	<ul style="list-style-type: none"> Arm A: Calquence Arm B: rituximab + idelalisib or bendamustine (investigator's choice) 	<ul style="list-style-type: none"> Primary endpoint: IRC assessed PFS (arm A vs. Arm B) Secondary endpoints: INV-assessed ORR, OS, DoR, PROs 	<ul style="list-style-type: none"> FPCD Q3 2016 Data readout: Q2 2019 Primary endpoint met
Phase III ACE-CL-006 (ELEVATE-RR) NCT02477696	Relapsed/refractory high risk CLL	533	<ul style="list-style-type: none"> Arm A: Calquence Arm B: ibrutinib 	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: comparison of incidence of infections, RTs (Richter's Transformation) and atrial fibrillation, OS 	<ul style="list-style-type: none"> FPCD: Q2 2015 Data anticipated: H2 2021
Phase III ACE-LY-308 NCT02972840	Previously untreated MCL	546	<ul style="list-style-type: none"> Arm A: Calquence + bendamustine + rituximab Arm B: bendamustine + rituximab 	<ul style="list-style-type: none"> Primary endpoint: PFS by Lugano Classification for NHL Secondary endpoints: IA, PFS, ORR; IRC-assessed ORR, DoR, time to response, OS 	<ul style="list-style-type: none"> FPCD: Q1 2017 Data anticipated: 2021+
Phase II ACE-CL-208 NCT02717611	Relapsed/ refractory CLL, intolerant to ibrutinib	60	Calquence monotherapy	ORR at 36 cycles	<ul style="list-style-type: none"> FPCD: Q1 2016 Data anticipated: H1 2020
Phase II 15-H-0016 NCT02337829	Relapsed/refractory and treatment naïve/del17p CLL/SLL	48	<ul style="list-style-type: none"> Calquence monotherapy Arm A: lymph node biopsy Arm B: bone marrow biopsy 	ORR	<ul style="list-style-type: none"> FPCD: Q4 2014 Data anticipated: 2021+
Phase I/II ACE-CL-001 NCT02029443	CLL/SLL/Richter's transformation	306	<ul style="list-style-type: none"> Calquence monotherapy Dose escalation and expansion 	Safety, PK, PD	<ul style="list-style-type: none"> FPCD: Q1 2014 Data anticipated: 2021

Calquence (BTK inhibitor)

Blood cancers

Trial	Population	Patients	Design	Endpoint(s)	Status
Phase I/II ACE-LY-001 NCT02328014	B-cell malignancies	40	Dose escalation and expansion trial of the combination of Calquence and ACP-319 (Pi3K inhibitor)	• Safety • ORR	• FPCD: Q1 2015 • Data anticipated: H1 2020
Phase I/II ACE-LY-005 NCT02362035	Haematological malignancies	161	Calquence + pembrolizumab	• Safety • Secondary endpoints: ORR, DoR, PFS, OS, TTNT (time to next therapy)	• FPCD: Q1 2015 • Data anticipated: 2021
Phase I/II ACE-WM-001 NCT02180724	Waldenstrom microglobulinaemia	106	Calquence monotherapy	• ORR	• FPCD: Q3 2014 • Data readout: Q4 2019
Phase Ib ACE-LY-002 NCT02112526	Relapsed/refractory de novo activated B-cell DLBCL	21	Calquence monotherapy	• Safety	• FPCD: Q3 2014 • Data anticipated: H2 2019
Phase Ib ACE-LY-106 NCT02717624	MCL	70	Calquence in combination with bendamustine and rituximab • Arm A: treatment naïve • Arm B: relapsed/refractory • Arm C: treatment naïve: Calquence + venetoclax + rituximab	• Safety	• FPCD: Q1 2016 • Data anticipated: 2021+
Phase Ib ACE-MY-001 NCT02211014	Relapsed/refractory MM	28	• Arm A: Calquence • Arm B: Calquence + dexamethasone	• Safety	• FPCD: Q1 2015 • Data readout: Q2 2019
Phase I ACE-LY-003 NCT02180711	Relapsed/refractory follicular lymphoma	80	• Arm A: Calquence • Arm B: Calquence + rituximab • Arm C: Calquence + rituximab + lenolidomide	• Safety	• FPCD: Q1 2015 • Data anticipated: 2021+
Phase I ACE-CL-002 NCT02157324	Relapsed/refractory CLL/ SLL	12	Calquence in combination with ACP-319 dose escalation	• Safety, PK, PD	• FPCD: Q3 2014 • Data anticipated: H2 2020
Phase I ACE-CL-003 NCT02296918	CLL/SLL/PLL	69	Calquence + obinutuzumab • Arm A: relapsed/refractory • Arm B: treatment naïve Calquence + venetoclax + rituximab • Arm C: relapsed/refractory • Arm D: treatment naïve	• Safety, ORR • Secondary endpoints: PD, PFS, TTNT, OS	• FPCD: Q4 2014 • Data anticipated: 2021+

Calquence (BTK inhibitor)

Blood and other cancers

Trial	Population	Patients	Design	Endpoint(s)	Status
Phase I NCT03198650	Japanese adults with advanced B-cell malignancies	34	<ul style="list-style-type: none"> Calquence monotherapy Dose confirmation and expansion Calquence + obinutuzumab 	<ul style="list-style-type: none"> Safety PK 	<ul style="list-style-type: none"> FPCD: Q2 2017 Data anticipated: 2021+
Phase I/II CL-110 NCT03328273	CLL r/r	62	<ul style="list-style-type: none"> Arm A: ceralasertib (AZD6738) monotherapy Arm B: Calquence + ceralasertib (AZD6738) 	<ul style="list-style-type: none"> Identify dose of ceralasertib and safety of co-administration of Calquence + ceralasertib 	FPCD: Q1 2018 Data anticipated: H1 2020
Phase I/II LY-110 NCT03205046	B-cell malignancies r/r	25	<ul style="list-style-type: none"> Part 1: Calquence daily + vistusertib daily Part 2: Calquence daily + vistusertib 5 days on/2 days off 	<ul style="list-style-type: none"> MTD and optimal dosing schedule Safety 	FPCD: Q3 2017 Data anticipated: H2 2020
Phase III CL-312 NCT04008706	CLL TN and r/r	600	<ul style="list-style-type: none"> Arm A: treatment naïve Arm B: relapsed/refractory Arm C: prior BTKi therapy Arm D: concomitant vitamin K antagonists 	<ul style="list-style-type: none"> Safety 	Data anticipated: 2021+
Phase Ib/II PRISM NCT03527147	Relapsed/refractory aggressive NHL	88	<ul style="list-style-type: none"> Arm 1: Calquence + danavatirsen Arm 2: Calquence + AZD6738 Arm 3: Calquence + Hu5F9G4 + Rituxan Arm 4: Calquence + AZD5153 <p>An open-label platform trial with trial centres in US and UK</p>	<ul style="list-style-type: none"> Primary outcome; safety & tolerability Secondary outcomes; ORR, DOR, PFS, OS 	FPCD: Q2 2018 Data anticipated: 2021
Phase Ib/II ACE-ST-209 NCT02586857	≥ 2L glioblastoma multiforme	52	<ul style="list-style-type: none"> Arm A: Calquence 200mg BID Arm B: Calquence 400mg QD 	<ul style="list-style-type: none"> Safety, ORR 	<ul style="list-style-type: none"> FPCD: Q1 2016 Data anticipated: H2 2019



Koselugo (selumetinib, MEK inhibitor)

Paediatric neurofibromatosis type 1, solid tumours

Trial	Population	Patients	Design	Endpoints	Status
Phase II SPRINT NCT01362803 Partnered	Paediatric NF1	50 (stratum 1) 25 (Stratum 2)	<ul style="list-style-type: none"> Single arm: <i>Koselugo</i> 25mg/m² BID with 2 strata: <ul style="list-style-type: none"> Stratum 1: PN related morbidity present at enrolment Stratum 2: no PN related morbidity present at enrolment 	<ul style="list-style-type: none"> Complete partial and complete response rate measured by volumetric MRI; Duration of response and functional outcomes/QoL 	<ul style="list-style-type: none"> FPCD: Q3 2015 LPCD: Q4 2016 Data readout: Q1 2019 Primary endpoint met
Phase Ib <i>Koselugo</i> + MK-8353 (ERK inhibitor) NCT03745989 Partnered (Merck Lead trial)	Advanced solid tumours	80 (dose escalation trial)	Phase Ib open-label trial of MK-8353 in combination with <i>Koselugo</i> in participants with advanced solid tumours	<ul style="list-style-type: none"> DLTs AEs Trial drug discontinuations due to an AE 	<ul style="list-style-type: none"> FPCD: Q1 2019



Lumoxiti (moxetumomab pasudotox, CD22 mAb)

Blood cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase III PLAIT NCT01829711 Partnered	Adults with relapsed or refractory HCL	80	<ul style="list-style-type: none"> Multicentre, single-arm, open-label Phase III trial <i>Lumoxiti</i> i.v. at the recommended dose 	<ul style="list-style-type: none"> Primary endpoint: rate of durable CR (complete response): CR maintained for > 180 days Secondary endpoints <ul style="list-style-type: none"> Efficacy: CR rate, ORR, Duration of CR and ORR, TTR, PFS Safety and tolerability PK and immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2013 Data readout: Q3 2017 Primary endpoint met



Savolitinib (MET inhibitor)

NSCLC and other cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT01985555 Partnered	Advanced NSCLC (all comers)	85	<ul style="list-style-type: none"> Dose escalation trial <p>Conducted in China</p>	<ul style="list-style-type: none"> Primary endpoint: safety and tolerability Secondary endpoint: PK profile 	<ul style="list-style-type: none"> FPCD: Q2 2013 Data anticipated: H2 2020
Phase II NCT02897479 Partnered	Lung PSC and other NSCLC	65	<ul style="list-style-type: none"> Single arm trial: savolitinib QD <p>Conducted in China</p>	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoint: PFS, safety parameters 	<ul style="list-style-type: none"> FPCD: Q1 2017 Data anticipated: H1 2020



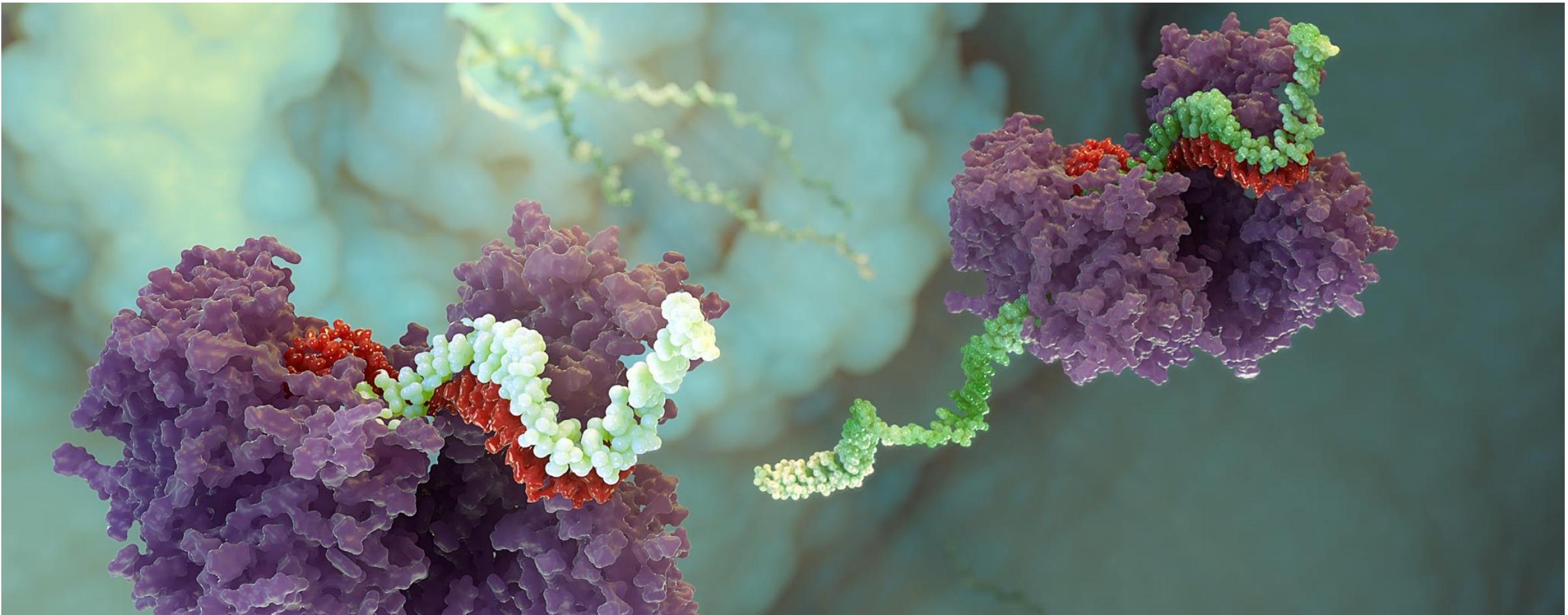


Capivasertib (AKT inhibitor)

Breast cancer, prostate cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase III CAPItello-290 NCT03997123	Locally advanced or metastatic TNBC	800	Double-blind randomised comparative trial • Arm 1: capivasertib + paclitaxel • Arm 2: placebo + paclitaxel	• PFS • OS	• FPCD Q3 2019 • Data anticipated: 2021+
Phase III CAPItello-291 NCT04305496	Locally advanced (Inoperable) or metastatic HR+/HER2- breast cancer	834	Double-blind randomised comparative trial • Arm 1: capivasertib + Faslodex • Arm 2: placebo + Faslodex	• PFS	• FPCD Q2 2020 • Data anticipated: 2021+
Phase II (ESR) PROCAID NCT02121639	Metastatic castration resistant prostate cancer eligible for treatment with docetaxel chemotherapy	150	Randomised comparative • Arm 1: docetaxel + prednisolone + capivasertib • Arm 2: docetaxel + prednisolone + placebo	• PFS	• FPCD Q1 2014 • Data anticipated: Q2 2020

Oncology - early-stage development



Imfinzi (PD-L1 mAb)

Cancer

Trial	Compound	Population	Patients	Design	Endpoints	Status
Phase I/II STUDY 1108 NCT01693562	<i>Imfinzi</i>	Solid tumours	1,022	<ul style="list-style-type: none"> Dose escalation: 5 cohorts at Q2W and 1 cohort at Q3W Dose expansion: 16 tumour type cohorts at the Q2W MTD defined during dose escalation Dose exploration: cohort at 20mg Q4W <p>Global trial - nine countries</p>	<ul style="list-style-type: none"> Safety Optimal biologic dose Secondary endpoints include PK, immunogenicity and antitumour activity 	<ul style="list-style-type: none"> FPCD: Q3 2012 LPCD: Q4 2016 Data readout: Q2 2020
Phase I NCT02117219	<i>Imfinzi</i> , azacitidine	Myelodysplastic syndrome	79	<p>Dose escalation and dose expansion trial</p> <ul style="list-style-type: none"> Part 1: <i>Imfinzi</i> Part 2 Arm 1: <i>Imfinzi</i> and tremelimumab Part 2 Arm 2: <i>Imfinzi</i>, tremelimumab and azacitidine <p>Global trial - four countries</p>	<ul style="list-style-type: none"> Safety and tolerability of monotherapy and combination Secondary endpoints include duration of response, PFS and OS, PK and immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2014 Data anticipated: H2 2020
Phase I NCT02900157	MEDI9090	Solid tumours	42	<p>Multi-centre, open-label, single-arm trial for adult subjects</p> <p>US and Japan trial centers</p>	<ul style="list-style-type: none"> Safety, PK, number of subjects reporting infusion related reaction 	<ul style="list-style-type: none"> FPCD: Q3 2016 Data readout: Q2 2020
Phase II HUDSON NCT03334617	<i>Imfinzi</i> <i>Lynparza</i> vistusertib ceralasertib (AZD6738) danvatirsen oleclumab <i>Enhertu</i> cediranib	NSCLC	320	<p>5 modules encompassing 13 cohorts</p> <ul style="list-style-type: none"> Module 1: <i>Imfinzi</i> and <i>Lynparza</i> Module 2: <i>Imfinzi</i> and danvatirsen Module 3: <i>Imfinzi</i> and ceralasertib (AZD6738) Module 4: <i>Imfinzi</i> and vistusertib Module 5: <i>Imfinzi</i> and oleclumab Module 6: <i>Imfinzi</i> and <i>Enhertu</i> Module 7: <i>Imfinzi</i> and cediranib <p>Open-label, biomarker-directed, multi-centre Phase II umbrella trial in patients with NSCLC, who progressed on an anti-PD-1/PD-L1 containing therapy</p>	<ul style="list-style-type: none"> Primary outcome: ORR Secondary outcomes: efficacy including OS, PFS, DCR, and safety and tolerability, DoR 	<ul style="list-style-type: none"> FPCD: Q1 2018 Data anticipated: 2021+
Phase II COAST NCT03822351	<i>Imfinzi</i>	Stage III NSCLC unresectable	300	<ul style="list-style-type: none"> Arm A: <i>Imfinzi</i> Arm B: <i>Imfinzi</i> + oleclumab Arm C: <i>Imfinzi</i> + monalizumab 	<p>Primary</p> <ul style="list-style-type: none"> OR per RECIST v1.1 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: H2 2020
Phase II NeoCOAST NCT03794544	<i>Imfinzi</i>	Resectable, early stage NSCLC	160	<ul style="list-style-type: none"> Arm A: <i>Imfinzi</i> Arm B: <i>Imfinzi</i> + oleclumab Arm C: <i>Imfinzi</i> + monalizumab Arm D: <i>Imfinzi</i> + danvatirsen 	<p>Primary</p> <ul style="list-style-type: none"> Major pathological response rate 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: H2 2020



Imfinzi (PD-L1 mAb)

Cancer

Trial	Compound	Population	Patients	Design	Endpoints	Status
Phase Ib/II COLUMBIA 1 NCT04068610	<i>Imfinzi</i>	1L metastatic MSS-CRC	112	<ul style="list-style-type: none"> Part 1 S1 FOLFOX + bevacizumab + <i>Imfinzi</i> + oleclumab Part 2 Control 1 FOLFOX + bevacizumab Part 2 E1 FOLFOX + bevacizumab + <i>Imfinzi</i> + oleclumab 	Primary <ul style="list-style-type: none"> Part 1: Safety Part 2: Efficacy - OR Secondary <ul style="list-style-type: none"> Part 1: Efficacy – OR, BOR, DoR, PFS Part 2: Safety and Efficacy (BOR, DoR, DC, PFS, OS) 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: H2 2020



Imfinzi (PD-L1 mAb) + tremelimumab (CTLA-4 mAb)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase Ib/II STUDY 22 NCT02519348	Hepatocellular carcinoma	545	<ul style="list-style-type: none"> Arm A: <i>Imfinzi</i> + tremelimumab Arm B: <i>Imfinzi</i> 2L Arm C: tremelimumab 2L Arm D: <i>Imfinzi</i> + tremelimumab Arm E: <i>Imfinzi</i> in combination with bevacizumab 	<ul style="list-style-type: none"> Primary endpoints: Safety & tolerability, DLTs Secondary endpoints: ORR, DoR, OS 	<ul style="list-style-type: none"> FPCD: Q4 2015 Data anticipated: H2 2020
Phase Ib STUDY 006 NCT02000947	NSCLC (Immunotx naïve and Immunotx pretreated patient cohorts)	459	<ul style="list-style-type: none"> Dose escalation: minimum 5 cohorts exploring various tremelimumab Q4W and <i>Imfinzi</i> i.v. Q4W dose combinations, higher dose levels and alternate Q2 schedule added with amendment Dose expansion: MTD for the combination in escalation to be explored in expansion <p>North American, EU and ROW trial centres</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> Safety Optimal biologic dose for the combination OR <p>Secondary endpoints include antitumour activity, PK and immunogenicity</p>	<ul style="list-style-type: none"> FPCD: Q4 2013 LPCD: Q4 2016 Data anticipated: H1 2020
Phase I STUDY 10 NCT02261220	Solid tumours (basket trial)	380	<ul style="list-style-type: none"> Dose expansion: MTD for the combination in escalation to be explored in expansion cohorts specific for each of 7 tumour types Dose exploration: 2 cohorts exploring various Q4W tremelimumab and <i>Imfinzi</i> dose combinations and 2 cohorts exploring various Q2W tremelimumab and <i>Imfinzi</i> dose combinations <p>North American, EU and ROW trial centres</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> Safety Optimal biologic dose for the combination <p>Secondary endpoints include anti-tumour activity, PK/PD and immunogenicity</p>	<ul style="list-style-type: none"> FPCD: Q4 2014 LPCD: Q2 2017 Data anticipated: Q2 2020



Imfinzi (PD-L1 mAb) + monalizumab (NKG2a mAb)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I/II NCT02671435	Advanced solid tumours	381	<p>Escalation phase</p> <ul style="list-style-type: none"> • monalizumab + <i>Imfinzi</i> i.v. <p>Expansion phase</p> <ul style="list-style-type: none"> • monalizumab + <i>Imfinzi</i> i.v. recommended dose <p>Exploration phase</p> <ul style="list-style-type: none"> • monalizumab + <i>Imfinzi</i> i.v. recommended dose + SoC systemic therapy with or without biologic agent and monalizumab in combination with a biologic agent in adult subjects with CRC <p>Global trial</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> • Safety • Exploration Phase: Objective Response per RECIST <p>Secondary endpoints include tumour response (OR, DC, DoR, PFS and OS), immunogenicity, pharmacokinetics, pharmacodynamics</p>	<ul style="list-style-type: none"> • FPCD: Q2 2016 • Data anticipated: 2021+



Imfinzi (PD-L1 mAb) + MEDI0457 (DNA HPV Vaccine)

Head and neck squamous cell carcinoma (HNSCC)

Trial	Population	Patients	Design	Endpoints	Status
Phase Ib/Ila NCT03162224	HPV associated recurrent/metastatic head and neck cancer	50	Multi-centre, open label trial to evaluate the safety and efficacy of combination treatment with MEDI0457 and <i>Imfinzi</i>	Primary endpoints: Safety & Tolerability, ORR Secondary endpoints: PK, ADA, DCR, OS, PFS	<ul style="list-style-type: none"> • FPCD: Q3 2017 • Data anticipated: H2 2020



AZD0466 (Bcl2/xL inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04214093	Advanced hematologic malignancies or solid tumours	102	<p>Monotherapy dose escalation, consisting of two arms:</p> <ul style="list-style-type: none"> Arm A: Patients with low risk for tumour lysis syndrome (solid tumours, lymphomas, myelomas) Arm B: Patients with high risk for tumour lysis syndrome (relapsed/refractory haem malignancies) 	<ul style="list-style-type: none"> Primary: safety Secondary: PK, anti-tumour activity 	<ul style="list-style-type: none"> FPCD: Q4 2019 Data anticipated: 2021+



MEDI1191 (IL12 modRNA)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03946800	Advanced solid tumours	87	First-time-in-human Phase I, open-label, dose-escalation and expansion trial of MEDI1191 administered intratumourally as monotherapy and in combination with <i>Imfinzi</i>	<ul style="list-style-type: none"> Primary endpoint: safety and tolerability Secondary endpoints: PK, immunogenicity and efficacy 	<ul style="list-style-type: none"> FPCD: Q2 2019 Data anticipated: 2021+



AZD1390 (ATM inhibitor)

Cancer

Trial	Population	Subjects	Design	Endpoints	Status
Phase I NCT03423628	Recurrent glioblastoma eligible for re-irradiation, brain metastases and leptomeningeal disease, newly-diagnosed glioblastoma patients	132	<ul style="list-style-type: none"> Designed to evaluate the safety, tolerability and PK of AZD1390 in combination with radiation therapy in patients with GBM and brain metastases from solid tumours Dose and schedule of AZD1390 administration will be adjusted during assessment of safety and tolerability during this Phase I trial <p>Conducted across seven sites in USA and UK</p>	<ul style="list-style-type: none"> Primary: investigate the safety, tolerability, and MTD of AZD1390 administered in combination with radiation therapy in brain malignancies 	<ul style="list-style-type: none"> FPCD Q2 2018 Data anticipated: H2 2021



Adavosertib (AZD1775, WEE-1 inhibitor)

Ovarian cancer, solid tumours

Trial	Population	Patients	Design	Endpoints	Status
Phase II D6010C00004 NCT02272790	Platinum-resistant (PR) ovarian cancer	95	<ul style="list-style-type: none"> Arm B: paclitaxel + adavosertib Arm C: carboplatin + adavosertib Global trial	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: DoR, PFS, OS, DCR, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2015 LPCD: Q2 2018 Data readout: Q3 2019
Phase I D6010C00005 NCT02511795	Advanced solid tumours	128	<ul style="list-style-type: none"> Dose escalation trial to determine MTD (adavosertib + Lynparza) followed by an expansion in SCLC Conducted in US, Canada	<ul style="list-style-type: none"> Safety and tolerability Secondary endpoints: ORR, DCR, DoR, PFS 	<ul style="list-style-type: none"> FPCD: Q3 2015 LPCD: Q4 2018 Data readout Q4 2019
Phase I D6015C00002 NCT02617277	Advanced solid tumours	56	<ul style="list-style-type: none"> Dose escalation trial to determine MTD (adavosertib + Imfinzi) Conducted in US	<ul style="list-style-type: none"> Safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2018 Data readout Q4 2019
Phase I D6014C00006 NCT03333824	Advanced solid tumours	33	Part A: caffeine (200mg), omeprazole (20mg) and midazolam (1mL of 2mg/mL syrup) followed 7-14 days later by adavosertib 225mg bid for 2.5 days plus caffeine (200mg), omeprazole (20mg) and midazolam (1mL of 2mg/mL syrup) on day 3. Part B: 7-14 days after end of Part A, adavosertib 225mg BID for 2.5 days. Conducted in US	<ul style="list-style-type: none"> Primary endpoints: Part A: Plasma AUC, AUC_{0-t} and C_{MAX} for cocktail parent compounds (midazolam, omeprazole and caffeine) Part B: dECG (differentiated ECG) intervals (QTcF) for absolute values and time-matched change from baseline 	<ul style="list-style-type: none"> FPCD: Q4 2017 LPCD: Q4 2018 Data readout Q4 2019
Phase I D6014C00007 NCT03313557	Advanced solid tumours	48	adavosertib monotherapy once daily. Conducted in US and Europe	<ul style="list-style-type: none"> Safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q4 2017 LPCD: Q1 2019 Data readout Q4 2019



MEDI2228 (BCMA antibody drug conjugate)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03489525	Relapsed/refractory multiple myeloma	129	First-time-in-human Phase I, multi-centre, open-label, single-arm, dose-escalation, and dose-expansion trial for adult subjects	Primary endpoints: • Safety • Determination of MTD • Secondary endpoints: pPK, immunogenicity, ORR, DCR, DoR, PFS, OS	<ul style="list-style-type: none"> • FPCD: Q2 2018 • Data anticipated: 2021+



AZD2811NP (AURN)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02579226	Solid tumours	72	<ul style="list-style-type: none"> Arm 1: AZD2811NP dose escalation Arm 2: AZD2811NP dose expansion SCLC 	<ul style="list-style-type: none"> Safety and tolerability PK and efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2015 Data anticipated: H2 2020
Phase I NCT03217838	AML/high-risk MDS	130	<ul style="list-style-type: none"> Part A: AZD2811NP monotherapy / azacitidine combination / venetoclax combination dose escalation cohorts Part B: AZD2811NP monotherapy / azacitidine combination / venetoclax combination dose expansions to further explore the tolerability, PK and clinical activity 	<ul style="list-style-type: none"> Safety and tolerability PK and efficacy 	<ul style="list-style-type: none"> FPCD: Q3 2017 Data anticipated: 2021+



AZD4573 (CDK9 inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03263637	Relapsed/refractory haematological malignancies	45	Dose escalation in relapsed/refractory haematological malignancies AZD4573 will be administered in 2 parallel arms (1-6 cohorts of dose escalations) based on the haematological malignancy	Primary: • safety/PK; Secondary: • efficacy	• FPCD: Q4 2017 • Data anticipated: H2 2020



AZD4635 (A₂AR inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02740985	Phase Ia: patients with advanced solid tumours Phase Ib: Post-immunotherapy NSCLC Other post-immunotherapy solid tumours Immune checkpoint-naïve mCRPC Immune checkpoint-naïve CRC Other immune checkpoint-naïve solid tumours	3067	Phase Ia – solid tumours or mCRPC: <ul style="list-style-type: none">• AZD4635 monotherapy• AZD4635 + <i>Imfinzi</i>• AZD4635 + abiraterone• AZD4635 + enzalutamide• AZD4635 + <i>Imfinzi</i> + oleclumab• AZD4635 + docetaxel. Phase Ib: AZD4635 monotherapy or AZD4635 + <i>Imfinzi</i> dose expansions in NSCLC, mCRPC, CRC and other post-immunotherapy and immune checkpoint-naïve solid tumours Conducted at sites in the US	Primary outcome measure: <ul style="list-style-type: none">• Safety and tolerability Secondary outcome measures: <ul style="list-style-type: none">• Preliminary assessment of anti-tumour activity	• FPCD: Q2 2016
Phase I NCT03710434	Healthy male volunteers	21	<ul style="list-style-type: none">• Part A 2-period randomised crossover trial of single doses of AZD4635, nanosuspension or solid oral formulation in fasted state• Part B, 4-period, open-label, randomised, crossover trial of single doses of AZD4635 in the same subjects from Part A to assess food effect, pH effect and formulation variants Both parts conducted at a site in the UK	Primary outcome measures: <ul style="list-style-type: none">• Cmax and exposure (AUC) of AZD4635 solid oral formulation and nano-suspension	• FPCD: Q4 2018 • LPCD: Q2 2019
Phase II NCT04089553	Prostate cancer	60	ARM 1: AZD4635 + <i>Imfinzi</i> ARM 2: AZD4635 + oleclumab Conducted at sites in the US	<ul style="list-style-type: none">• Primary outcome measure: Efficacy; (ORR and PSA response)• Secondary outcome measure: Efficacy, PK, safety and tolerability	• FPCD: Q3 2019
Phase I NCT03980821	Japanese patients with advanced solid malignancies	12	AZD4635 dose escalation Conducted at sites in Japan	Primary outcome measure: <ul style="list-style-type: none">• Safety and tolerability Secondary outcome measure: <ul style="list-style-type: none">• PK and preliminary anti-tumour activity	• FPCD: Q3 2019



AZD5153 (BRD4 inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I/Ib NCT03205176	Relapsed/refractory solid tumours, lymphomas	60	<p>Monotherapy dose escalation in advanced solid tumours and lymphomas</p> <p>Dose escalation of AZD5153 in combination with <i>Lynparza</i> in platinum resistant/refractory HGS patients.</p>	<ul style="list-style-type: none"> • Primary: safety • Secondary: efficacy, PK 	<ul style="list-style-type: none"> • FPCD: Q2 2017 • Data anticipated: H2 2020



MEDI5395 (rNDV GMCSF)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03889275	Select advanced solid tumours	164	First-time-in-human Phase I, open-label, dose-escalation and expansion arm of MEDI5395 in combination with <i>Imfinzi</i>	<ul style="list-style-type: none"> Primary endpoint: safety and tolerability Secondary endpoints: PK, PD, immunogenicity and efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2019 Data anticipated: 2021+





MEDI5752 (PD-1/CTLA-4 bispecific mAb)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03530397	Advanced solid tumours	272	<p>Open-label, dose-escalation and dose-expansion:</p> <ul style="list-style-type: none"> Dose-escalation: MEDI5752 i.v. Dose-expansion arm: MEDI5752 i.v. in combination with chemotherapy 	<p>Primary endpoints:</p> <ul style="list-style-type: none"> dose-escalation: safety & determination of MTD dose-expansion: assessment of antitumour activity based on OR <p>Secondary endpoints:</p> <ul style="list-style-type: none"> PK, ADA, tumoural baseline PD-L1, assessment of antitumour activity based on OR, DoR, DC, PFS, OS 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: 2021+

AZD5991 (MCL1 inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I/Ib/IIa NCT03218683	Relapsed/refractory haematologic malignancies	177	<ul style="list-style-type: none"> • Arm1: monotherapy dose escalation & expansions in relapsed/refractory haematological malignancies • Arm2: combination dose escalation (AZD5991+venetoclax) in relapsed/refractory AML/MDS; • i.v. route of administration • US only 	<ul style="list-style-type: none"> • Primary: safety • Secondary: PK, efficacy 	<ul style="list-style-type: none"> • FPCD: Q3 2017 • Data anticipated: H2 2021



Ceralasertib (AZD6738, ATR inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02264678	Solid tumours	250	<ul style="list-style-type: none"> • Arm 1: ceralasertib + carboplatin • Arm 2: ceralasertib dose escalation, ceralasertib + <i>Lynparza</i> • Arm 3: ceralasertib + <i>Imfinzi</i> <p>Trial conducted in North America, Europe and South Korea</p>	<ul style="list-style-type: none"> • Safety and tolerability • PK and efficacy 	<ul style="list-style-type: none"> • FPCD: Q4 2014 • Data anticipated: 2021+
Phase I NCT03022409	HNSCC	44	<p>Window of opportunity</p> <ul style="list-style-type: none"> • Arm 1: ceralasertib • Arm 2: <i>Lynparza</i> <p>Trial conducted in US, France, Taiwan and the UK</p>	<ul style="list-style-type: none"> • Biomarker change 	<ul style="list-style-type: none"> • FPCD: Q4 2017 • Data anticipated: H2 2020





AZD7648 (selective DNA-PK inhibitor)

Advanced solid tumours

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03907969	Advanced Malignancies	234	<ul style="list-style-type: none"> This is a modular Phase I/IIa, open-label, multi-centre, trial of AZD7648 administered orally, either as a monotherapy, or in combination with either cytotoxic chemotherapies or novel anti-cancer agents in participants with advanced malignancies. The modular design allows for an escalation of the dose of AZD7648 alone or in combination with either cytotoxic chemotherapies or novel anti-cancer agents, with intensive safety monitoring to ensure the safety of the participants. 	<ul style="list-style-type: none"> Primary outcome measures: safety and tolerability Secondary outcome measures: Cmax, AUC, Cytochromes P450, ORR 	<ul style="list-style-type: none"> Initiating



Danvatirsen (AZD9150, STAT3 inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase Ib/II NCT02499328	HNSCC	339	<p>Dose escalation advanced solid and blood cancers</p> <ul style="list-style-type: none"> • Arm A1: AZD9150/<i>Imfinzi</i> • Arm A2 : AZD5069/<i>Imfinzi</i> • Arm A4: AZD9150/<i>Imfinzi/tremie</i> • Arm A5: AZD5069/<i>Imfinzi/tremie</i> <p>Dose expansion 2L HNSCC:</p> <ul style="list-style-type: none"> • Arm B1: AZD9150 • Arm B2: AZD5069 • Arm B3: AZD9150/<i>Imfinzi</i> • Arm B4: AZD5069/<i>Imfinzi</i> • Arm B5: AZD9150 mono • Arm B6: AZD5069 mono • Arm B7: AZD9150/<i>Imfinzi</i> (1L HNSCC) • Arm B8: AZD9150 Q2W/<i>Imfinzi</i> (1L HNSCC) 	<ul style="list-style-type: none"> • Safety/efficacy trial 	<ul style="list-style-type: none"> • FPCD: Q3 2015 • LPCD: Q2 2019 • Data anticipated: H2 2021
Phase Ib/II NCT03421353	NSCLC, advanced solid tumours	76	<p>Dose escalation advanced solid and blood cancers</p> <ul style="list-style-type: none"> • Arm A1: AZD9150 alternate week/<i>Imfinzi</i> • Arm A2-A5 : AZD9150/<i>Imfinzi</i> + SoC chemo <p>Dose expansion 1L HNSCC:</p> <ul style="list-style-type: none"> • Arm D1/D2/D3: AZD9150 i.v. vs s.c. formulations/<i>Imfinzi</i> (advanced solid tumours) 	<ul style="list-style-type: none"> • Safety/efficacy trial 	<ul style="list-style-type: none"> • FPCD: Q1 2018 • Data anticipated: H2 2021

Oleclumab (MEDI9447, CD73 mAb)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02503774	Advanced malignancies	348	<p>Dose escalation phase</p> <ul style="list-style-type: none"> • oleclumab i.v. • oleclumab i.v. + <i>Imfinzi</i> i.v. <p>Dose expansion phase</p> <ul style="list-style-type: none"> • oleclumab i.v. recommended dose + <i>Imfinzi</i> i.v. <p>US, South Korean and Australian trial centres</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> • Safety • Determination of MTD <p>• Secondary endpoints include preliminary anti-tumour activity, PK, PD, immunogenicity and biomarker activity</p>	<ul style="list-style-type: none"> • FPCD: Q3 2015 • Data anticipated: 2021+
Phase Ib/II NCT03611556	Pancreatic 1L and 2L with prior gemcitabine-based chemotherapy	339	<ul style="list-style-type: none"> • Arm A1: gemcitabine and nab paclitaxel i.v. • Arm A2: gemcitabine and nab paclitaxel i.v. + oleclumab i.v. • Arm A3: gemcitabine and nab paclitaxel i.v. + oleclumab i.v. + <i>Imfinzi</i> i.v. • Arm B1: mFOLFOX (oxaliplatin, leucovorin, 5-FU) i.v. • Arm B2: mFOLFOX (oxaliplatin, leucovorin, 5-FU) i.v. + oleclumab i.v. • Arm B3: mFOLFOX (oxaliplatin, leucovorin, 5-FU) i.v. + oleclumab i.v. + <i>Imfinzi</i> i.v. <p>US, Norway, Spain and Australian trial centres</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> • Safety and anti-tumour activity <p>• Secondary endpoints include PFS, PK, immunogenicity, safety and anti-tumour activity</p>	<ul style="list-style-type: none"> • FPCD: Q2 2018 • Data anticipated: H2 2021
Phase Ib/II NCT03381274	NSCLC	98	<ul style="list-style-type: none"> • Arm A: oleclumab i.v. + <i>Tagrisso</i> <p>US, South Korean and Taiwan trial centres</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> • Safety • ORR <p>Secondary endpoints:</p> <ul style="list-style-type: none"> • DoR, DCR, PFS, OS, PK and immunogenicity 	<ul style="list-style-type: none"> • FPCD: Q2 2018 • Data anticipated: 2021+





AZD9496 (SERD, oral)

Breast cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03236974	ER+ breast cancer	c. 50	<ul style="list-style-type: none"> This is an open label randomised multicentre pre-surgical pharmacodynamics trial to compare and assess the biological effects of AZD9496 and <i>Faslodex</i> in postmenopausal women with ER+, HER2- primary breast cancer. Patients will receive AZD9496 or <i>Faslodex</i> and will have a pre-dose and an on-treatment core biopsy after 5-14 days of commencing treatment. 	<ul style="list-style-type: none"> Primary outcome measures: PD changes to ER expression following treatment with AZD9496 or <i>Faslodex</i> Secondary outcome measures: pharmacodynamics changes to Ki67 and PgR expression following treatment with AZD9496 or <i>Faslodex</i> Safety, tolerability + pharmacokinetics 	<ul style="list-style-type: none"> FPCD: Q4 2017 Data readout: Q1 2020

AZD9833 (SERD, oral)

Breast cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03616587	ER+ breast cancer	240	<ul style="list-style-type: none"> This is a Phase I open label multicentre trial of AZD9833 administered orally in patients with advanced ER+ HER2 negative breast cancer. The trial design allows an escalation of dose with intensive safety monitoring to ensure the safety of patients. The trial will determine the maximum tolerated dose of AZD9833 as monotherapy and in combination with palbociclib. In addition, randomised expansion cohort(s) at potential therapeutic dose(s) in patients will be enrolled to further determine the safety, tolerability, pharmacokinetics and biological activity of AZD9833 alone and in combination with palbociclib 	<ul style="list-style-type: none"> Primary outcome measures: safety and tolerability Secondary outcome measures: multiple dose PK of AZD9833 alone and in combination with palbociclib antitumour activity 	<ul style="list-style-type: none"> FPCD: Q4 2018
Phase II NCT04214288	ER+ breast cancer	288	<ul style="list-style-type: none"> This is a Phase II randomised, open-label, parallel-group, multicentre trial aimed to compare the efficacy and safety of oral AZD9833 versus intramuscular (IM) Faslodex in women with advanced breast cancer. 	<ul style="list-style-type: none"> Primary outcome measure: mPFS 	<ul style="list-style-type: none"> Initiating



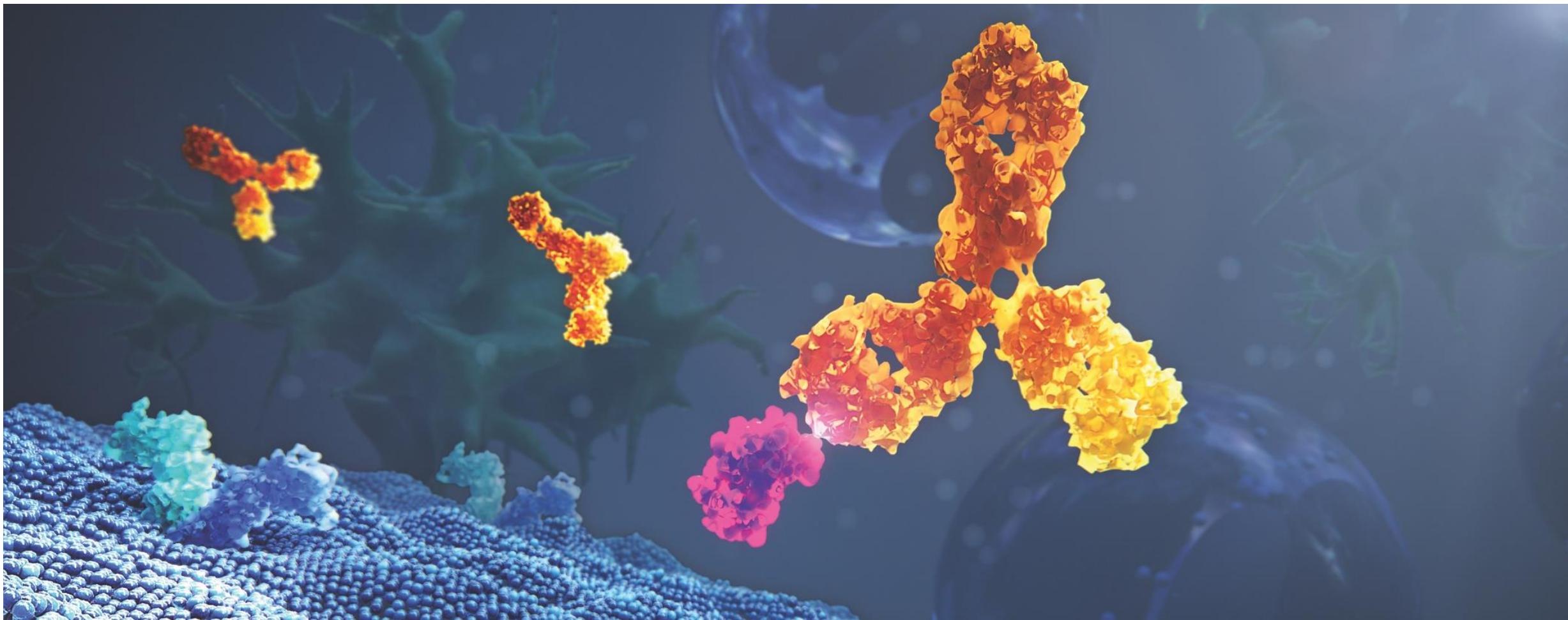
IPH5201 (CD39 mAb)

Solid Tumour

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04261075 Partnered	Advanced Solid tumours	204	<ul style="list-style-type: none"> First time in human Phase I, open-label, dose-escalation trial to determine MTD of IPH5201 as monotherapy, or in combination with <i>Imfinzi</i> +/- oleclumab. Part 1: IPH5201 monotherapy dose escalation to MTD Part 2: IPH5201 + <i>Imfinzi</i> dose escalation to MTD Part 3: IPH5201 + <i>Imfinzi</i> + Oleclumab dose escalation to MTD Route of Administration: IV Geographical Regions: 4 countries - US and 3 in EU. 	Primary endpoints: AE, SAE, DLT Secondary endpoints: OR, DC, PK, ADA	<ul style="list-style-type: none"> FPCD: Q1 2020 Data anticipated: 2021+



BioPharmaceuticals - approved medicines and late-stage pipeline



Farxiga (SGLT2 inhibitor)

Heart failure and chronic kidney disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III Dapa-HF NCT03036124	CHF patients with HFrEF	4,744	<ul style="list-style-type: none"> • Arm 1: <i>Farxiga</i> 10mg or 5 mg QD + SoC therapy • Arm 2: placebo + SoC therapy • Global trial - 20 countries 	<ul style="list-style-type: none"> • Primary endpoint: time to the first occurrence of any of the components of the composite: CV death or hospitalisation for HF or an urgent HF visit 	<ul style="list-style-type: none"> • FPCD: Q1 2017 • LPCD Q4 2018 • Data readout: Q3 2019 • Primary endpoint met
Phase III Dapa-CKD NCT03036150	Patients With CKD	4,000	<ul style="list-style-type: none"> • Arm 1: <i>Farxiga</i> 10mg or 5 mg QD • Arm 2: placebo <p>Global trial - 21 countries</p>	<ul style="list-style-type: none"> • Primary endpoint: time to the first occurrence of any of the components of the composite: ≥50% sustained decline in eGFR or reaching ESRD or CV death or renal death 	<ul style="list-style-type: none"> • FPCD: Q1 2017 • LPCD: Q2 2020 • Data readout: Q1 2020 • Trial stopped early based on IDMC recommendation
Phase III DELIVER NCT03619213	CHF patients with HFpEF	6,100	<ul style="list-style-type: none"> • Arm 1: <i>Farxiga</i> 10mg QD • Arm 2: placebo • Global trial - 21 countries 	<ul style="list-style-type: none"> • Primary endpoint: time to the first occurrence of any of the components of the composite: CV death or hospitalisation for HF or an urgent HF visit 	<ul style="list-style-type: none"> • FPCD: Q4 2018 • Data anticipated: H2 2021
Phase III DETERMINE-preserved NCT03877224	CHF patients with HFpEF	500	<ul style="list-style-type: none"> • Arm 1: <i>Farxiga</i> 10mg QD • Arm 2: placebo • Global trial - 12 countries 	Family of primary endpoints: <ul style="list-style-type: none"> • Change from baseline in the KCCQ-TSS at Week 16. • Change from baseline in the KCCQ-PLS at Week 16. • Change from baseline in 6 min walking distance at Week 16 	<ul style="list-style-type: none"> • FPCD: Q2 2019 • LPCD: Q3 2020 • Data anticipated: H2 2020
Phase III DETERMINE-reduced NCT03877237	CHF patients with HFrEF	300	<ul style="list-style-type: none"> • Arm 1: <i>Farxiga</i> 10mg QD • Arm 2: placebo • Global trial - 9 countries 	Family of primary endpoints: <ul style="list-style-type: none"> • Change from baseline in the KCCQ-TSS at Week 16. • Change from baseline in the KCCQ-PLS at Week 16. • Change from baseline in 6 min walking distance at Week 16 	<ul style="list-style-type: none"> • FPCD: Q2 2019 • LPCD Q1 2020 • Data anticipated: H2 2020



Brilinta (P2Y12 receptor antagonist)

Cardiovascular risk reduction

Trial	Population	Patients	Design	Endpoints (primary)	Status
Phase III THEMIS NCT01991795	Patients with type-2 diabetes and coronary artery disease without a previous history of MI or stroke	19,000	<ul style="list-style-type: none"> Arm 1: Brilinta 60mg BiD Arm 2: placebo BiD on a background of acetylsalicylic acid if not contra-indicated or not tolerated Global trial – 42 countries	<ul style="list-style-type: none"> Primary endpoint: composite of CV death, non-fatal MI and non-fatal stroke <p>Secondary endpoints:</p> <ul style="list-style-type: none"> Prevention of CV death Prevention of MI Prevention of ischaemic stroke Prevention of all-cause death 	<ul style="list-style-type: none"> FPCD: Q1 2014 LPCD: Q2 2016 Data readout: Q1 2019 Primary endpoint met
Phase III THALES NCT03354429	Patients with acute ischaemic stroke or transient ischaemic attack	11,000	<ul style="list-style-type: none"> Arm 1: Brilinta 90mg BiD Arm 2: placebo BiD on a background of acetylsalicylic acid if not contra-indicated or not tolerated Global trial – 28 countries	Primary endpoint: <ul style="list-style-type: none"> Prevention of the composite of subsequent stroke and death at 30 days <p>Secondary endpoints include:</p> <ul style="list-style-type: none"> Prevention of subsequent ischaemic stroke at 30 days Reduction of overall disability at 30 days 	<ul style="list-style-type: none"> FPCD: Q1 2018 LPCD: Q4 2019 Data readout: Q1 2020 Primary endpoint met
Phase III HESTIA3 NCT03615924	Paediatric patients (2-18 years old) with sickle cell disease	182	<ul style="list-style-type: none"> Arm 1: Brilinta 15, 30 or 45mg (dose based on subject weight) Arm 2: placebo Global trial – 18 countries	<ul style="list-style-type: none"> Primary endpoint: the number of vaso-occlusive crisis which is the composite of painful crisis and/or acute chest pain 	<ul style="list-style-type: none"> FPCD: Q3 2018 Data anticipated: H2 2020





Lokelma (sodium zirconium cyclosilicate)

Hyperkalaemia

Trial	Population	Patients	Design	Endpoints	Status
Phase II PRIORITY HF NCT03532009	Patients with chronic heart failure and hyperkalaemia or at high risk of developing hyperkalaemia	182	<ul style="list-style-type: none"> Arm 1: <i>Lokelma</i> 5g QD for 12 weeks. Option to uptitrate to 10 and 15g QD or downtitrade to 5g QOD Arm 2: placebo QD for 12 weeks <p>Global trial – nine countries</p>	<ul style="list-style-type: none"> Primary endpoint: difference between <i>Lokelma</i> and placebo in RAAS (renin–angiotensin–aldosterone system) blockade treatment. 	<ul style="list-style-type: none"> FPCD: Q3 2018 Data readout: H2 2020

Roxadustat (HIF-PH inhibitor)

Anaemia

Trial	Population	Patients	Design	Endpoints	Status
Phase III ANDES NCT01750190 Partnered	Anaemia in CKD in patients not receiving dialysis	922	<ul style="list-style-type: none"> • Arm 1: roxadustat • Arm 2: placebo Global trial	<ul style="list-style-type: none"> • Primary endpoint: Haemoglobin response 	<ul style="list-style-type: none"> • FPCD: Q4 2012 • LPCD: Q3 2018 • Data readout: Q4 2018 • Primary endpoint met Sponsored by FibroGen
Phase III ALPS NCT01887600 Partnered		597	<ul style="list-style-type: none"> • Arm 1: roxadustat • Arm 2: placebo Global trial	<ul style="list-style-type: none"> • Primary endpoint: Haemoglobin response 	<ul style="list-style-type: none"> • FPCD: Q2 2013 • LPCD: Q4 2017 • Data readout: Q3 2018 • Primary endpoint met Sponsored by Astellas
Phase III DOLOMITES NCT02021318 Partnered		616	<ul style="list-style-type: none"> • Arm 1: roxadustat • Arm 2: darbepoetin alfa Global trial	<ul style="list-style-type: none"> • Primary endpoint: Haemoglobin response 	<ul style="list-style-type: none"> • FPCD: Q1 2014 • LPCD: Q4 2019 • Data readout: Q1 2020 • Primary endpoint met Sponsored by Astellas
Phase III OLYMPUS NCT02174627		2,781	<ul style="list-style-type: none"> • Arm 1: roxadustat • Arm 2: placebo Global trial	<ul style="list-style-type: none"> • Primary efficacy endpoint: Haemoglobin response • Primary safety objective: Contribute CV safety data to pooled safety analyses across the Phase III program 	<ul style="list-style-type: none"> • FPCD: Q3 2014 • LPCD: Q4 2018 • Data readout: Q4 2018 • Primary endpoint met Sponsored by AstraZeneca
Phase III ROCKIES NCT02174731	Anaemia in CKD in patients receiving dialysis	2,133	<ul style="list-style-type: none"> • Arm 1: roxadustat • Arm 2: epoetin alfa Global trial	<ul style="list-style-type: none"> • Primary efficacy endpoint: Haemoglobin response • Primary safety objective: Contribute CV safety data to pooled safety analyses across the Phase III program 	<ul style="list-style-type: none"> • FPCD: Q3 2014 • LPCD: Q3 2018 • Data readout: Q4 2018 • Primary endpoint met Sponsored by AstraZeneca
Phase III SIERRAS NCT02273726 Partnered		741	<ul style="list-style-type: none"> • Arm 1: roxadustat • Arm 2: epoetin alfa Global trial	<ul style="list-style-type: none"> • Primary endpoint: Haemoglobin response 	<ul style="list-style-type: none"> • FPCD: Q4 2014 • LPCD: Q3 2018 • Data readout: Q4 2018 • Primary endpoint met Sponsored by FibroGen
Phase III PYRENEES NCT02278341 Partnered		838	<ul style="list-style-type: none"> • Arm 1: roxadustat • Arm 2: epoetin alfa or darbepoetin alfa Global trial	<ul style="list-style-type: none"> • Primary endpoint: Haemoglobin response 	<ul style="list-style-type: none"> • FPCD: Q4 2014 • LPCD: Q3 2018 • Data readout: Q3 2018 • Primary endpoint met Sponsored by Astellas

Roxadustat (HIF-PH inhibitor)

Anaemia

Trial	Population	Patients	Design	Endpoints	Status
Phase III HIMALAYAS NCT02052310 Partnered	Anaemia in newly initiated dialysis patients	1,043	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: epoetin alfa Global trial	<ul style="list-style-type: none"> Primary endpoint: Haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q4 2013 LPCD: Q3 2018 Data readout: Q4 2018 Primary endpoint met Sponsored by FibroGen
Phase III NCT03263091 Partnered	Anaemia in lower risk MDS patients	184	Open label roxadustat lead-in Arm 1: roxadustat Arm 2: placebo US/global trial	<ul style="list-style-type: none"> Primary endpoint: Proportion of patients achieving transfusion independence 	<ul style="list-style-type: none"> FPCD: Q3 2017 Data anticipated: 2021 Sponsored by FibroGen
Phase II/III NCT03303066 Partnered	Anaemia in lower risk MDS patients	175	Open label roxadustat lead-in Arm 1: roxadustat Arm 2: placebo China	<ul style="list-style-type: none"> Primary endpoint: Haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: 2020 Sponsored by FibroGen
Phase II NCT04076943 Partnered	Anemia in patients receiving chemotherapy treatment for non-myeloid malignancies	100	US	<ul style="list-style-type: none"> Primary endpoint: Maximum change in hemoglobin within 16 weeks from baseline without RBC transfusion 	<ul style="list-style-type: none"> FPCD: Q3 2019 LPCD: Q3 2020 Data anticipated: H2 2020 Sponsored by FibroGen



Eklira/ Tudorza (LAMA, DPI)

COPD

Trial	Population	Number of patients	Design	Endpoints	Status
Phase I NCT03276052	Healthy Chinese volunteers	18	<p>Open-label, 2-period ascending dose incomplete block, cross-over trial</p> <ul style="list-style-type: none"> • Arm 1: aclidinium bromide 200 µg DPI • Arm 2: aclidinium bromide 400 µg DPI • Arm 3: aclidinium bromide 800 µg DPI <p>Global trial – one Country</p>	<ul style="list-style-type: none"> • To investigate the PK of aclidinium bromide and its metabolites after single and multiple doses (BID) of aclidinium bromide 200 µg, 400 µg and 800 µg • To evaluate the safety, and tolerability of aclidinium bromide 200 µg, 400 µg and 800 µg after single and multiple dose administration (BID) 	<ul style="list-style-type: none"> • Initiating • Data anticipated: H2 2021



Duaklir Genuair (LAMA/LABA, DPI)

COPD

Trial	Population	Patients	Design	Endpoints	Status
Phase III AVANT NCT03022097	Patients with stable COPD	1,060	<ul style="list-style-type: none"> • Arm 1: <i>Duaklir Genuair</i> 400/12 µg DPI • Arm 2: aclidinium bromide 400 µg DPI • Arm 3: formoterol fumarate 12 µg DPI • Arm 4: tiotropium 18 µg DPI <p>Global trial – five countries</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> • Change from baseline in one hour morning post-dose dose FEV1 <i>Duaklir Genuair</i> 400/12 µg compared to Aclidinium bromide at Week 24 • Change from baseline in morning pre-dose (trough) FEV1 of <i>Duaklir Genuair</i> 400/12 µg compared to Formoterol fumarate at Week 24 • Change from baseline in trough FEV1 of Aclidinium bromide 400 µg compared to placebo at Week 24 	<ul style="list-style-type: none"> • FPCD: Q1 2017 • Data anticipated: H2 2021



Breztri (PT010, LAMA/LABA/ICS, pMDI)

COPD

Trial	Population	Patients	Design	Endpoints	Status
Phase III NCT02536508	Moderate to very severe COPD	500	<p>Treatments (52-week treatment period)</p> <ul style="list-style-type: none"> BGF (budesonide, glycopyrronium, and formoterol fumarate) MDI 320/14.4/9.6µg BID pMDI GFF (glycopyrronium and formoterol fumarate) MDI 14.4/9.6µg BID pMDI BFF (budesonide and formoterol fumarate) MDI 320/9.6µg BID pMDI <p>Randomised, double-blind, chronic-dosing, multi-centre Country – US</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> Bone mineral density sub-trial endpoint: change from baseline in BMD of the lumbar spine measured using DXA (dual energy X-ray absorptiometry) scans of L1-L4 at week 52 Ocular sub-trial safety endpoint change from baseline in LOCS III at week 52 	<ul style="list-style-type: none"> FPCD: Q3 2015 LPCD: Q3 2016 Data readout: Q1 2018 Primary endpoints met
Phase III ETHOS NCT02465567	Moderate to very severe COPD	8,588	<p>Treatments (1-year treatment period)</p> <ul style="list-style-type: none"> BGF MDI 320/14.4/9.6µg BID pMDI BGF MDI 160/14.4/9.6µg BID pMDI BFF MDI 320/9.6µg BID pMDI GFF MDI 14.4/9.6µg BID pMDI <p>Randomised, double-blind, multi-centre and parallel-group Multi-country</p>	<ul style="list-style-type: none"> Primary endpoint: rate of moderate or severe COPD exacerbations Secondary endpoint: time to first moderate or severe COPD exacerbation 	<ul style="list-style-type: none"> FPCD: Q3 2015 LPCD: Q3 2018 Data readout: Q3 2019 Primary endpoint met
Phase III KRONOS NCT02497001	Moderate to very severe COPD	1,800	<p>Treatments (24-week treatment period)</p> <ul style="list-style-type: none"> BGF MDI 320/14.4/9.6µg BID pMDI GFF MDI 14.4/9.6µg BID pMDI BFF MDI 320/9.6µg BID pMDI Symbicort Turbuhaler 400/12µg BID DPI <p>Randomised, double-blind, parallel-group, and chronic dosing and multi-centre Multi-country</p>	<p>Primary Endpoints:</p> <ul style="list-style-type: none"> FEV₁ area under curve from 0 to 4 hours (AUC₀₋₄) over 24 weeks (BGF MDI vs. BFF MDI and BGF MDI vs. Symbicort Turbuhaler) Change from baseline in morning pre-dose trough FEV₁ over 24 weeks (BGF MDI vs. GFF MDI) TDI focal score over 24 weeks (BGF MDI vs. BFF MDI and BGF MDI vs. GFF MDI) 	<ul style="list-style-type: none"> FPCD: Q3 2015 LPCD: Q2 2017 Data readout: Q1 2018 8/9 Primary endpoints met
Phase III NCT03262012	Moderate to very severe COPD	324	<p>Treatments (28-week treatment period)</p> <ul style="list-style-type: none"> BGF MDI 320/14.4/9.6µg BID pMDI GFF MDI 14.4/9.6µg BID pMDI BFF MDI 320/9.6µg BID pMDI Symbicort Turbuhaler 400/12µg BID DPI <p>Randomised, double-blind, parallel-group, chronic dosing, multicenter Country: Japan</p>	<p>Primary outcome measures:</p> <ul style="list-style-type: none"> Long-term safety and tolerability (52 weeks): adverse events, 12-lead ECG, laboratory tests, vital signs 	<ul style="list-style-type: none"> FPCD Q3 2016 LPCD Q4 2017 Data readout: Q3 2018 Primary safety endpoint met

Daliresp/Daxas (PDE4 inhibitor, oral)

COPD

Trial	Population	Patients	Design	Endpoints	Status
Post Launch PASS NCT03381573	COPD	124,080	<ul style="list-style-type: none"> This is a retrospective cohort trial comparing COPD patients aged 40 years and older with new exposure to roflumilast with up to 5 unexposed (i.e., not roflumilast-exposed) COPD controls matched by propensity score (PS), age, sex, and year of cohort entry. The trial is using electronic healthcare databases in the US (Military Health System database), Germany (German Pharmacoepidemiological Research Database), and Sweden (national databases including healthcare, death, and demographics data). 	<ul style="list-style-type: none"> Primary endpoint: all-cause mortality (up to five years) 	<ul style="list-style-type: none"> Data anticipated: 2021+



Fasenra (IL5R mAb)

Severe, uncontrolled asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III MELTEMI NCT02808819	A multi-centre, open-label, safety extension trial with <i>Fasenra</i> for asthmatic adults on ICS plus LABA2 Agonist Age 18-75 years	447	<ul style="list-style-type: none"> • Arm 1: <i>Fasenra</i> 30mg Q4W s.c. • Arm 2: <i>Fasenra</i> 30mg Q8W s.c. Global trial - 15 countries	<ul style="list-style-type: none"> • Primary endpoint: safety and tolerability 	<ul style="list-style-type: none"> • FPCD: Q2 2016 • LPCD: Q3 2019 • Data anticipated: H2 2020
Phase IIb PONENTE NCT03557307	Severe eosinophilic asthmatics receiving HD ICS + LABA and chronic OCS with or without additional asthma controller(s). Age 18 Years and older	598	Arm 1: <i>Fasenra</i> 30mg Q8W s.c. 38-week trial Global trial – 16 countries	<ul style="list-style-type: none"> • Primary endpoint: reduction of oral corticosteroid dose 	<ul style="list-style-type: none"> • FPCD: Q3 2018 • LPCD: Q3 2019 • Data anticipated: H2 2020
D3250C00036 China ICS/LABA Trial (MIRACLE) NCT03186209	Severe, uncontrolled asthma, despite background controller medication, MD & HD ICS + LABA ± chronic OCS Age 12-75 years	666	<ul style="list-style-type: none"> • Arm 1: <i>Fasenra</i> 30mg Q8W s.c. • Arm 2: placebo s.c. 56-week trial Global trial – 4 countries	<ul style="list-style-type: none"> • Primary endpoint: annual asthma exacerbation rate • Secondary endpoints: assess pulmonary function, asthma symptoms, other asthma control metrics 	<ul style="list-style-type: none"> • FPCD: Q4 2017 • Data readout: 2021+



Fasenra (IL5R mAb)

Severe, uncontrolled asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III BORA NCT02258542	Severe asthma, inadequately controlled despite background controller medication, MD & HD ICS + LABA ± chronic OCS Age 12-75 years	2,133	<ul style="list-style-type: none"> • Arm 1: Fasenra 30mg Q4W s.c. • Arm 2: Fasenra 30mg Q8W s.c.* • placebo administered at select interim visits to maintain blind between treatment arms <p>56-week (adults) 108-week (adolescents) Global trial – 24 countries</p>	<ul style="list-style-type: none"> • Primary endpoint: safety and tolerability 	<ul style="list-style-type: none"> • FPCD: Q4 2014 • Data readout: Q3 2018 • Primary endpoint met
Phase III GREGALE NCT02417961	Severe asthma, inadequately controlled despite background controller medication, MD & HD ICS + LABA ± chronic OCS Age 18-75 years	162	<ul style="list-style-type: none"> • Arm 1: Fasenra 30mg Q4W s.c. <p>28-week (adults) Global trial – two countries</p>	<ul style="list-style-type: none"> • Primary endpoint: functionality, reliability, and performance of a pre-filled syringe with Fasenra administered at home 	<ul style="list-style-type: none"> • FPCD: Q2 2015 • Data readout: Q2 2016 • Primary endpoint met
Phase III ARIA NCT02821416	A double-blind, randomised, parallel group, placebo-controlled multi-centre trial to evaluate the effect of Fasenra on allergen-induced inflammation in Mild, atopic asthmatic Age 18-65 years	46	<ul style="list-style-type: none"> • Arm 1 : Fasenra 30mg Q4W s.c. • Arm 2: placebo s.c. <p>37-week trial</p>	<ul style="list-style-type: none"> • Primary endpoint: safety and tolerability • Primary endpoint: the effect of Fasenra on allergen induced eosinophil changes in sputum and allergen-induced late asthmatic response 	<ul style="list-style-type: none"> • FPCD Q4 2016 • Data anticipated: H2 2020
Phase III ALIZE NCT02814643	A multi-centre, randomised, double-blind, parallel group, placebo-controlled, Phase IIIb trial to evaluate the potential effect of Fasenra on the humoral immune response to the seasonal influenza vaccination in adolescent and young adult patients with severe asthma Ages 12-21 years	103	<ul style="list-style-type: none"> • Arm 1: Fasenra 30mg Q4W s.c. with one dose of seasonal influenza virus vaccine IM at week eight • Arm 2: placebo Q4W s.c. with one dose of seasonal influenza virus vaccine intra muscular at week <p>12-week trial</p>	<p>Primary endpoints:</p> <ul style="list-style-type: none"> • Post-dose strain-specific HAI antibody GMFRs • Post-dose strain-specific serum HAI antibody GMTs • Proportion of patients who experience a strain-specific post-dose antibody response with antibody response defined as a ≥4-fold rise in HAI antibody titer 	<ul style="list-style-type: none"> • FPCD: Q3 2016 • Data readout: Q3 2017 • Primary endpoint met



Fasenra (IL5R mAb)

Severe, uncontrolled asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III GRECO NCT02918071	Severe asthma on ICS-LABA Age 18-75 years	121	Open label <i>Fasenra</i> 30mg Q4w 28-week trial Global trial - two countries	<ul style="list-style-type: none"> Primary endpoint: percentage of patients/caregivers who successfully self administer at home 	<ul style="list-style-type: none"> FPCD: Q4 2016 Data readout: Q4 2017 Primary endpoint met
Phase IIIb ANDHI NCT03170271	A multi-centre, randomised, double-blind, parallel group, placebo controlled, Phase IIIb trial to evaluate the safety and efficacy of <i>Fasenra</i> 30 mg s.c. in patients with severe asthma uncontrolled on SoC treatment. Age 18-75	659	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q8W s.c. Arm 2: placebo s.c. 24-week trial Global trial – 15 countries	<ul style="list-style-type: none"> Primary endpoint: rate of asthma exacerbations Secondary outcome measures: Saint George Respiratory Questionnaire (SGRQ) 	<ul style="list-style-type: none"> FPCD: Q3 2017 LPCD: Q1 2019 Data readout: Q4 2019 Primary endpoint met
Phase I AMES NCT02968914	Healthy volunteers age 18-55 years	180	Open label trial to compare 30 mg <i>Fasenra</i> PK administered by APFS or AI device 8-week trial Global trial – two countries	<ul style="list-style-type: none"> Primary endpoint: PK comparability 	<ul style="list-style-type: none"> FPCD: Q1 2017 Data readout: Q3 2017



Fasenra (IL5R mAb)

Nasal polyposis and other eosinophilic diseases

Trial	Population	Patients	Design	Endpoints	Status
Phase III OSTRO NCT03401229	Patients with severe bilateral nasal polyposis who are still symptomatic despite standard of care therapy Age 18-75 years	413	• Arm 1: <i>Fasenra</i> 30mg Q8W s.c. • Arm 2: placebo s.c. 56-week trial Global trial- 8 countries	• Primary endpoint: effect of <i>Fasenra</i> on nasal polyp burden and on patient reported nasal blockage	• FPCD: Q1 2018 • LPCD: Q2 2019 • Data anticipated: H2 2020
Phase III ORCHID NCT04157335	Patients with eosinophilic chronic rhinosinusitis with severe nasal polyposis Age 18-75 years	148	Arm 1: <i>Fasenra</i> 30mg Q8W s.c. Arm 2: placebo Q8W s.c. 56-week trial Asian countries (4 countries)	• Primary endpoint: Change in endoscopic total nasal polyp score and Change in mean nasal blockage score	• FPCD: Q4 2019 • Data anticipated: 2021+
Phase III MANDARA NCT04157348	Patients with relapsing or refractory EGPA on corticosteroid therapy with or without stable immunosuppressive therapy Age 18 years and older	140	• Arm 1: <i>Fasenra</i> 30mg Q4W s.c. • Arm 2: mepolizumab 300mg Q4W s.c. 52-week trial with a minimum 1 year open label extension Global trial- 9 countries	• Primary endpoint: Proportion of patients achieving remission (BVAS=0 and OCS dose ≤ 4mg/day) at both weeks 36 and 48.	• FPCD: Q4 2019 • Data anticipated: 2021+
Phase III NATRON NCT04191304	Patients with HES (history of persistent eosinophilia >1500 cells/µL with evidence of end organ manifestations attributable to eosinophilia) and signs or symptoms of HES worsening/flare at Visit 1 Age 12 years and older	120	• Arm 1: <i>Fasenra</i> 30mg Q4W s.c. • Arm 2: placebo Q4W s.c. 24-week trial with a minimum 1 year open label extension Global trial- 9-12 countries	• Primary endpoint: Time to first HES worsening/flare.	• FPCD Q3 2020 • Data anticipated: 2021+
Phase III MESSINA	Documented diagnosis of EoE Age 12 to 65 years	170	• Arm 1: <i>Fasenra</i> 30mg Q4W s.c. • Arm 2: placebo Q4W s.c. 24-week double blind treatment period and open label period(s)	• Primary endpoints: Histologic response at week 24 Change from baseline in DSQ score at week 24	• Initiating • Data anticipated: 2021+



Fasenra (IL5R mAb)

COPD

Trial	Population	Patients	Design	Endpoints	Status
Phase III RESOLUTE NCT04053634	Patients with moderate to very severe COPD with a history of frequent exacerbations on a background triple therapy (ICS/LABA/LAMA) Age 40-85 years	1216	<ul style="list-style-type: none"> Double-blind, placebo controlled, single dose (100mg q8w) 56-week treatment Global trial 	<ul style="list-style-type: none"> Primary endpoint: annualized rate of moderate or severe exacerbations over 56 weeks 	<ul style="list-style-type: none"> FPCD Q4 2019 Data anticipated: 2021+



Tezepelumab (TSLP mAb)

Severe, uncontrolled asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III NAVIGATOR NCT03347279 Partnered	Severe asthma Age 12-80 years	1,061	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. <p>52 week trial Global trial – 18 countries</p>	<ul style="list-style-type: none"> Primary endpoint: Annual asthma exacerbation rate Secondary endpoints: Change from baseline in pre-BD FEV1, asthma related QoL (AQLQ(S)+12), asthma control (ACQ-6) 	<ul style="list-style-type: none"> FPCD: Q1 2018 LPCD: Q3 2019 Data anticipated: H2 2020
Phase III SOURCE NCT03406078 Partnered	Severe asthma Age 18-80 years	150	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. <p>48 week trial Global trial – seven countries</p>	<ul style="list-style-type: none"> Primary endpoint: Reduction from baseline in daily OCS dose while not losing asthma control Secondary endpoint: Annual asthma exacerbation rate 	<ul style="list-style-type: none"> FPCD: Q2 2018 LPCD: Q4 2019 Data anticipated: H2 2020
Phase III DESTINATION NCT03706079 Partnered	Severe asthma Age 12-80 years	~975	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. <p>Extension trial to NAVIGATOR and SOURCE. 52 week trial (subjects from NAVIGATOR); 56 week trial (subjects from SOURCE) Global trial – ~ 20 countries</p>	<ul style="list-style-type: none"> Primary endpoint: Exposure adjusted rates of AEs/SAEs Secondary endpoints: Annual asthma exacerbation rate 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2021+
Phase III PATH-HOME NCT03968978 Partnered	Severe asthma Age 12-80 years	216	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. via autoinjector (AI) Arm 2: tezepelumab s.c. via accessorized pre-filled syringe (APFS) <p>24 week trial Global trial – 4 countries</p>	Primary endpoint: Proportion of health care professionals and patients /caregivers who successfully administrated tezepelumab in clinic and at home with an APFS or an AI, respectively	<ul style="list-style-type: none"> FPCD: Q2 2019 LPCD: Q3 2019 Data anticipated: H2 2020



Tezepelumab (TSLP mAb)

Severe, uncontrolled asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase II CASCADE NCT03688074 Partnered	Severe asthma Age 18-75 years	116	<ul style="list-style-type: none"> • Arm 1: tezepelumab s.c. • Arm 2: placebo s.c. 28 week trial Global trial – five countries	<ul style="list-style-type: none"> • Primary endpoint: number of airway submucosal inflammatory cells/mm² of bronchoscopic biopsies 	<ul style="list-style-type: none"> • FPCD: Q4 2018 • LPCD: Q4 2019
Phase III DIRECTION NCT03927157 Partnered	Severe asthma Age 18-80 years	396	<ul style="list-style-type: none"> • Arm 1: tezepelumab s.c. • Arm 2: placebo s.c. 52 week trial Regional Asia trial – three countries	<ul style="list-style-type: none"> • Primary endpoint: Annual asthma exacerbation rate • Secondary endpoints: Change from baseline in pre-BD FEV1, asthma related QoL (AQLQ(S)+12), asthma control (ACQ-6) 	<ul style="list-style-type: none"> • FPCD: Q3 2019
Phase III NOZOMI NCT04048343 Partnered	Severe asthma 12-80 years	66	Arm 1: tezepelumab s.c. 52 week trial Local trial - Japan	<ul style="list-style-type: none"> • Primary endpoint: Number of patients with adverse events 	<ul style="list-style-type: none"> • FPCD: Q2 2019 • LPCD: Q4 2019
Phase IIa COURSE NCT04039113 Partnered	Moderate to very severe COPD Age 40-80	282	<ul style="list-style-type: none"> • Arm 1: tezepelumab s.c. • Arm 2: placebo s.c. 52 week trial Global trial – 10 countries	<ul style="list-style-type: none"> • Primary endpoint: Rate of moderate or severe COPD exacerbations 	<ul style="list-style-type: none"> • FPCD Q3 2019 • Data anticipated: 2021+



PT027 (SABA/ICS, pMDI)

Asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III MANDALA NCT03769090 Managed by Avillion	Moderate to severe asthma	3,100	<p>Treatments (minimum 24-week treatment period)</p> <ul style="list-style-type: none"> BDA (budesonide albuterol) MDI 80/180 µg prn BDA MDI 160/180 µg prn AS (albuterol sulphate) MDI 180 µg prn <p>Randomised, double-blind, multi-centre, parallel group</p> <p>Multi-country</p>	<p>Primary endpoint:</p> <ul style="list-style-type: none"> Time to first severe asthma exacerbation <p>Secondary endpoints:</p> <ul style="list-style-type: none"> Severe exacerbation rate (annualised) Total corticosteroid exposure over the treatment period Asthma Control Questionnaire -5 change from baseline and responder analysis at Week 24 Asthma quality of life questionnaire for 12 years and older/paediatric asthma quality of life questionnaire change from baseline and responder analysis at week 24 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: H2 2021
Phase III DENALI NCT03847896 Managed by Avillion	Mild to moderate asthma	600	<p>Treatments (12 week treatment period)</p> <ul style="list-style-type: none"> BDA MDI 80/180 µg QID BDA MDI 160/180 µg QID BD MDI 160 µg QID AS MDI 180 µg QID placebo MDI QID <p>Randomised, double-blind, multi-centre and parallel-group</p> <p>Multi-country</p>	<p>Dual primary endpoints:</p> <ul style="list-style-type: none"> Change from baseline in FEV1 AUC₀₋₆ hours over 12 weeks Change from baseline in trough FEV1 at week 12 	<ul style="list-style-type: none"> FPCD: Q2 2019 Data anticipated: H2 2021
Phase III TYREE NCT04234464 Managed by Avillion	Asthma with exercise induced bronchoconstriction	60	<p>Treatments (single dose)</p> <ul style="list-style-type: none"> BDA MDI 160/180 µg placebo MDI QID <p>Randomised, double-blind, multi-centre crossover</p> <p>Country: US</p>	<p>Primary endpoint:</p> <ul style="list-style-type: none"> The maximum percentage fall from post-dose, pre-exercise baseline in forced expiratory volume in 1 second (FEV1) observed up to 60 minutes post-exercise challenge 	<ul style="list-style-type: none"> FPCD Q1 2019 FPCD: Q1 2020 Data anticipated: H2 2020



Anifrolumab (type I interferon receptor mAb)

Lupus (SLE / LN)

Trial	Population	Patients	Design	Endpoints	Status
Phase III TULIP SLE 1 NCT02446912	Moderate to severe SLE	450	<ul style="list-style-type: none"> Arm 1: 300mg i.v. anifrolumab Q4W for 48 weeks Arm 2: 150mg i.v. anifrolumab Q4W for 48 weeks Arm 3: placebo i.v. Q4W for 48 weeks 	<ul style="list-style-type: none"> Primary endpoint: response in SLE responder index at week 52 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2017 Data readout: Q3 2018 Primary endpoint not met
Phase III TULIP SLE 2 NCT02446899	Moderate to severe SLE	360	<ul style="list-style-type: none"> Arm 1: 300mg i.v. anifrolumab Q4W for 48 weeks Arm 2: placebo i.v. Q4W for 48 weeks 	<ul style="list-style-type: none"> Primary endpoint: response in SLE responder index at week 52 BICLA at week 52 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2017 Data readout: Q3 2019 Primary endpoint met
Phase III TULIP LTE NCT02794285	Moderate to severe SLE	630	<ul style="list-style-type: none"> Arm 1: 300mg i.v. anifrolumab Q4W for 152 weeks Arm 2: placebo i.v. Q4W for 152 weeks 	<ul style="list-style-type: none"> Primary endpoint: extension to evaluate long-term safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q2 2016 LPCD: Q4 2018 Data anticipated: 2021+
Phase II NCT01438489	Moderate to severe SLE patients	307	<ul style="list-style-type: none"> Arm 1: 300mg i.v. anifrolumab Q4W for 48 weeks Arm 2: 1000mg i.v. anifrolumab Q4W for 48 weeks Arm 3: placebo i.v. Q4W for 48 weeks 	<ul style="list-style-type: none"> Primary endpoint: response in SLE responder index at 6 months 	<ul style="list-style-type: none"> FPCD: Q1 2012 LPCD: Q1 2015 Data readout: Q3 2014
Phase II NCT01753193	Moderate to severe SLE patients	218	<ul style="list-style-type: none"> Arm 1: anifrolumab, i.v. Q4W for 104 weeks 	<ul style="list-style-type: none"> Primary endpoint: open-label extension to evaluate long-term safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2013 Data readout: Q4 2018
Phase II NCT02962960	Moderate to severe SLE patients	32	<ul style="list-style-type: none"> Arm 1: 150mg s.c. every other week Arm 2: 300mg s.c. every other week Arm 3: placebo s.c. every other week 	<ul style="list-style-type: none"> PK/PD, safety, tolerability, primary analysis at week 12, secondary analysis at week 52 	<ul style="list-style-type: none"> FPCD: Q1 2017 LPCD: Q4 2017 Data readout: Q1 2018
Phase II TULIP-LN1 NCT02547922	Active Proliferative LN	150	<ul style="list-style-type: none"> Arm 1: 900 mg i.v. Q4W for 12 weeks then 300mg i.v. anifrolumab Q4W for 36 weeks Arm 2: 300 mg i.v. anifrolumab Q4W for 48 weeks Arm 3: placebo i.v. Q4W for 48 weeks 	<ul style="list-style-type: none"> Response in proteinuria at week 52 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2018 Data anticipated: H1 2021



Brazikumab (IL-23 inhibitor)

Inflammatory Bowel Disease (Crohn's Disease, Ulcerative Colitis)

Trial	Population	Patients	Design	Endpoints	Status
Phase IIb / III INTREPID NCT03759288	Crohn's Disease	1,140	<ul style="list-style-type: none"> Arm 1: brazikumab 1440 mg IV induction + 240 mg SC maintenance every 4 weeks Arm 2: brazikumab 720 mg IV induction + 240 mg SC maintenance every 4 weeks Arm 3: adalimumab 160 mg SC on day 1 and 40 mg maintenance every 2 weeks Arm 4: placebo 	Primary <ul style="list-style-type: none"> Endoscopic response and clinical remission at week 12 Secondary <ul style="list-style-type: none"> Endoscope response and clinical remission at both weeks 12 and 52 Endoscopic remission and clinical remission at week 52 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: 2021+
Phase III NCT03961815	Crohn's Disease	1,000	<ul style="list-style-type: none"> Open label extension 	Safety of long-term treatment with brazikumab	<ul style="list-style-type: none"> FPCD: Q1 2020 Data anticipated: 2021+
Phase II EXPEDITION NCT03616821	Ulcerative Colitis	375	<ul style="list-style-type: none"> Arm 1: brazikumab 2100 mg IV on days 1, 15, 43 + 210 or 105 mg SC maintenance every 4 weeks Arm 2: brazikumab 1440 mg IV on days 1, 15, 43 + 210 or 105 mg SC maintenance every 4 weeks Arm 3: brazikumab 720 mg IV on days 1, 15, 43 + 210 or 105 mg SC maintenance every 4 weeks Arm 4: vedolizumab 300 mg IV on days 1, 15, 43, 99 and every 9 weeks maintenance every 2 weeks Arm 5: placebo 	Primary <ul style="list-style-type: none"> Clinical remission at week 10 Secondary <ul style="list-style-type: none"> Sustained clinical remission at week 54 	<ul style="list-style-type: none"> FPCD: Q3 2018 Data anticipated: 2021+
Phase II NCT04277546	Ulcerative Colitis	300	<ul style="list-style-type: none"> Open label extension 	Clinically significant adverse events	<ul style="list-style-type: none"> FPCD: Q1 2020 Data anticipated: 2021+



Nirsevimab (MEDI8897, Respiratory syncytial virus mAb-YTE)

Infection

Trial	Population	Patients	Design	Endpoints	Status
Phase IIb NCT02878330	29-35 WK GA (Gestational age) infants	1,453	<ul style="list-style-type: none"> Randomised, double-blind, placebo-controlled trial Route of administration: intramuscular 	<ul style="list-style-type: none"> Safety and efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2016 LPCD: Q4 2017 Data readout: Q4 2018 Primary endpoint met
Phase II/III MEDLEY NCT03959488	High risk preterm (born 35 weeks 0 day or less GA), CHD and CLD infants eligible to receive palivizumab	1,500	<ul style="list-style-type: none"> Randomized, Double-blind, Palivizumab-controlled Route of administration: intramuscular Global trial – 32 countries 	<ul style="list-style-type: none"> Primary: Safety and tolerability Secondary: PK, ADA and descriptive efficacy 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data readout: 2021+
Phase III MELODY NCT03979313	Healthy infants (born 35 weeks 0 days or greater GA)	3,000	<ul style="list-style-type: none"> Randomized, Double-blind, Placebo-controlled Route of administration: intramuscular Global trial – 31 countries 	<ul style="list-style-type: none"> Primary: Efficacy Secondary: Safety, PK, ADA 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data readout: 2021+



AZD1222 (SARS-CoV-02)

Prevention of COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I/II COV001 (UK) NCT04324606 Partnered	Healthy adults Age 18-55 years	1,077	Single-blinded, randomised, controlled, multi-centre trial • AZD1222 • Control vaccine: MenACWY UK	• Primary endpoint: efficacy and safety • Secondary endpoints: safety, tolerability, reactogenicity, and immunogenicity	• FPCD: Q2 2020 • LPCD: Q2 2020
Phase I/II NCT04444674 Partnered	Healthy adults Age 18-65 years HIV+ subgroup	2,200	Adaptive, double-blinded, randomised placebo-controlled trial • AZD1222 • Placebo South Africa	• Primary endpoint: efficacy, safety, and immunogenicity	• FPCD: Q2 2020
Phase II/III COV002 (UK) NCT04400838 Partnered	Main efficacy trial: healthy adults aged ≥ 18 years Sub-studies: Healthy adults 56 - <70 years Healthy adults ≥ 70 years Healthy children 5 – 12 years	10,260	Single-blinded, randomised, controlled, multi-centre trial with sequential age escalation/de-escalation immunogenicity sub-studies that include prime boost • AZD1222 • Control vaccine: MenACWY UK	• Primary endpoint: efficacy and safety • Secondary endpoints: safety, tolerability, reactogenicity, and immunogenicity	• FPCD: Q2 2020
Phase III D8110C00001 (US)	Healthy adults Age 18-65 years	30,000	Adaptive, double-blinded, randomised placebo-controlled trial • AZD1222 • Placebo	• Initiating	• Initiating
Phase III COV003 NCT# pending Partnered	Health professionals and adults with high potential for exposure to SARS-CoV-2 Age 18-55 years	5,000	Single-blinded, randomised, controlled multi-centre trial • AZD1222 • Control vaccine: MenACWY Brazil	• Primary endpoint: efficacy • Secondary endpoints: safety, tolerability, reactogenicity, and immunogenicity	• FPCD: Q2 2020

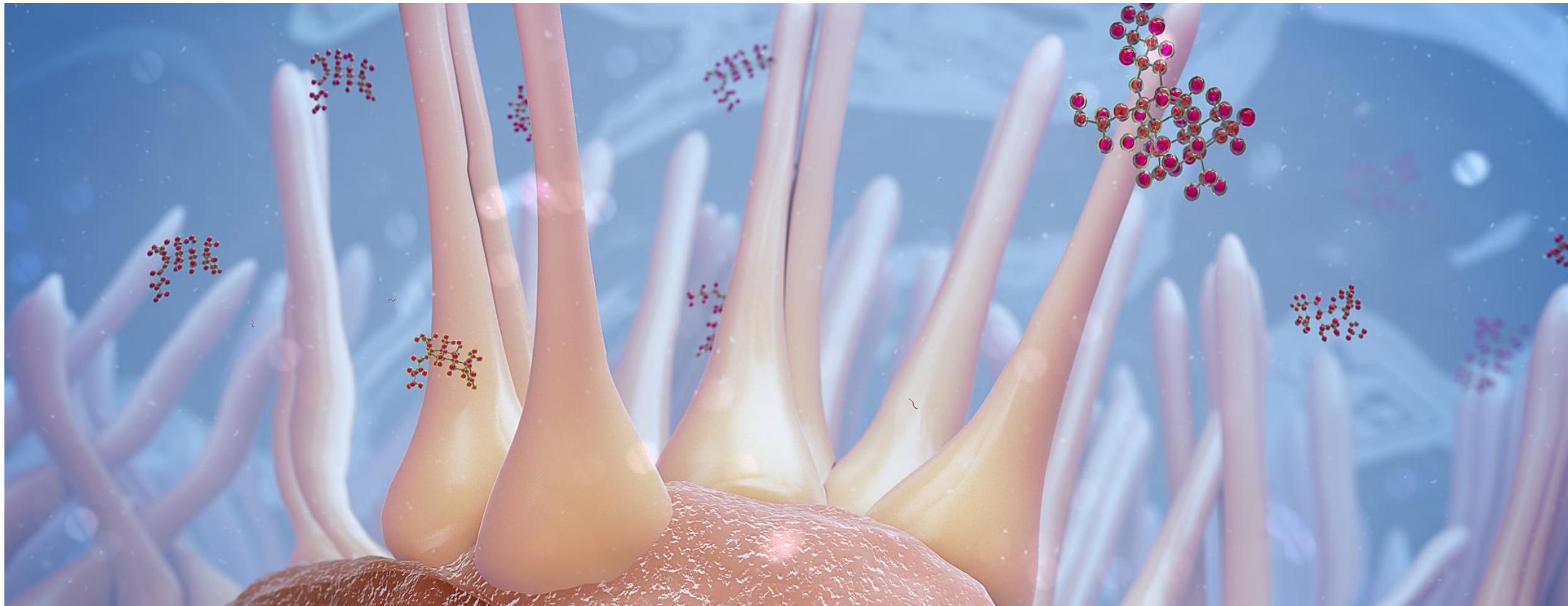


COVID-19 trials

Prevention of COVID-19

Trial	Compound	Population	Patients	Design	Endpoints	Status
Phase IIb CALAVI- US NCT04380688	Calquence	COVID-19	60	<ul style="list-style-type: none"> • Arm 1: Calquence + best supportive care • Arm 2: best supportive care alone 	<ul style="list-style-type: none"> • Primary outcomes: safety, subject alive and free of respiratory failure at Day 28 	<ul style="list-style-type: none"> • FPCD: Q2 2020 • Data anticipated: H2 2020
Phase IIb CALAVI- RoW NCT04346199	Calquence	COVID-19	140	<ul style="list-style-type: none"> • Arm 1: Calquence + best supportive care • Arm 2: best supportive care alone 	<ul style="list-style-type: none"> • Primary outcome: subject alive and free of respiratory failure at Day 14 	<ul style="list-style-type: none"> • FPCD: Pending • Data anticipated: H2 2020
Phase II DARE-19 NCT04350593	Farxiga	COVID-19	900	<ul style="list-style-type: none"> • Current SoC or current SoC + Farxiga 	<ul style="list-style-type: none"> • Primary outcome measures: time to first occurrence of either death from any cause or new/worsened organ dysfunction through 30 days of follow up 	<ul style="list-style-type: none"> • Data anticipated H2 2020
Phase II TACTIC-E NCT04393246	Farxiga	COVID-19	1,407	<ul style="list-style-type: none"> • Current SoC or current SoC + Farxiga + ambrisentan 	<ul style="list-style-type: none"> • Primary Outcome Measures: time to incidence of the composite endpoint of: death, mechanical ventilation, extracorporeal membrane oxygenation, cardiovascular organ support, or renal failure 	<ul style="list-style-type: none"> • Data anticipated H2 2020
Phase IIIa TACTIC-COVID NCT04355637	Pulmicort	COVID-19	300	<ul style="list-style-type: none"> • Current SoC or SoC + Pulmicort 	<ul style="list-style-type: none"> • Primary outcome measures: proportion of patients in both arms fulfilling the criteria for treatment failure 	<ul style="list-style-type: none"> • Data anticipated H2 2020
Phase IIIa STOIC NCT04416399	Pulmicort	COVID-19	478	<ul style="list-style-type: none"> • Current SoC or SoC + Pulmicort 	<ul style="list-style-type: none"> • Primary Outcome Measures: emergency department attendance or hospitalisation related to COVID-19 	<ul style="list-style-type: none"> • Data anticipated H2 2020
Phase IIIa INHASCO NCT04331054	Symbicort	COVID-19	436	<ul style="list-style-type: none"> • Current SoC or SoC + Symbicort 	<ul style="list-style-type: none"> • Primary Outcome Measures: time (in days) to clinical improvement within 30 days after randomisation 	<ul style="list-style-type: none"> • Data anticipated H2 2020
Phase II ACCORD	MEDI3506	COVID-19	180	<ul style="list-style-type: none"> • Current SoC or current SoC + MEDI3506 	<ul style="list-style-type: none"> • Primary endpoints: time to a 2-point improvement on a 9-point category ordinal scale, discharge from hospital, or considered fit for discharge whichever comes first by Day 29 	<ul style="list-style-type: none"> • Data anticipated H2 2020
Phase I	AZD7442	COVID-19	48	<ul style="list-style-type: none"> • Placebo or MEDI7442 	<ul style="list-style-type: none"> • Primary endpoints: Safety, tolerability of AZD7442 	<ul style="list-style-type: none"> • Data anticipated 2021

BioPharmaceuticals - early-stage development



Cotadutide (MEDI0382, GLP-1-glucagon agonist)

Diabetes/obesity

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT03244800	Adults with type-2 diabetes	65	<ul style="list-style-type: none"> Arm1: cotadutide s.c. or placebo Arm2: cotadutide s.c. or placebo Germany 	<ul style="list-style-type: none"> Primary: efficacy MMT glucose AUC, body weight loss Secondary: efficacy HbA1c, fasting plasma glucose Secondary: safety profile in terms of adverse events, heart rate, blood pressure, vital signs, ECG, lab variables 	<ul style="list-style-type: none"> FPCD: Q3 2017 LPCD: Q4 2017 Data readout: Q1 2018
Phase II NCT03235050	Overweight and Obese patients with type-2 diabetes	834	<ul style="list-style-type: none"> Arm1: cotadutide low dose s.c. + metformin Arm2: cotadutide mid dose s.c. + metformin Arm3: cotadutide high dose s.c. + metformin Arm4: placebo s.c. + metformin Arm5: liraglutide s.c. + metformin <p>US, Canada, Bulgaria, Czech Rep, Germany, Mexico, Russia, Slovakia</p>	<ul style="list-style-type: none"> Primary: efficacy HbA1c, body weight loss Secondary: percentage of patients achieving weight loss of $\geq 5\%$ and $\geq 10\%$ Secondary: proportion of patients rescued or discontinued for lack of glycaemic control Secondary: PK and immunogenicity 	<ul style="list-style-type: none"> FPCD: Q3 2017 LPCD: Q1 2018 Data readout Q3 2019
Phase II NCT03444584	Overweight/obese patients with type-2 diabetes	49	<ul style="list-style-type: none"> Arm1: cotadutide + dapagliflozin Arm2: placebo + dapagliflozin Germany, Hungary 	<ul style="list-style-type: none"> Primary: efficacy MMT glucose AUC Secondary: safety Secondary: PK Secondary: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q3 2018 LPCD: Q4 2018 Data readout: Q1 2019
Phase II NCT03550378	Adults with type-2 diabetes and renal impairment	41	<ul style="list-style-type: none"> Cotadutide or placebo s.c. Germany, UK 	<ul style="list-style-type: none"> Primary: efficacy MMT glucose AUC Secondary: safety Secondary: tolerability Secondary: PK Secondary: immunogenicity 	<ul style="list-style-type: none"> FPCD Q2 2018 LPCD: Q4 2018 Data readout: Q1 2019
Phase II NCT03555994	Adults with type-2 diabetes	44	<ul style="list-style-type: none"> Part A: cotadutide or placebo s.c. Part B: cotadutide s.c. or placebo s.c. or liraglutide s.c. Sweden 	<ul style="list-style-type: none"> Primary: change in hepatic glycogen concentration postprandially, adjusted by liver volume Secondary: safety Secondary: tolerability Secondary: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2018 Part A LPCD: Q4 2018 Data readout: Q1 2019 Part B FPCD: Q1 2020 LPCD: H2 2020



Cotadutide (MEDI0382, GLP-1-glucagon agonist)

Diabetes/obesity, NASH

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT03596177	Overweight and obese patients with type-2 diabetes	27	<ul style="list-style-type: none"> Cotadutide or placebo s.c. UK 	<ul style="list-style-type: none"> Primary: efficacy body weight loss Secondary: change in total energy intake Secondary: change in total energy expenditure, active energy expenditure, resting energy expenditure Secondary: safety 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q4 2019 Data anticipated: H2 2020
Phase I NCT03625778	Non-diabetic obese patients	51	<ul style="list-style-type: none"> Cotadutide or placebo s.c. with 7 week, 10 week or 16 week titration period US 	<ul style="list-style-type: none"> Primary: safety, tolerability Secondary: PK Secondary: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q3 2018 LPCD: Q2 2019 Data readout Q3 2019
Phase II NCT03745937	Overweight and obese patients with type-2 diabetes	20	<ul style="list-style-type: none"> Cotadutide or placebo s.c. Germany 	<ul style="list-style-type: none"> Primary: safety, tolerability Secondary: PK Secondary: immunogenicity Secondary: glucose control 	<ul style="list-style-type: none"> FPCD: Q1 2019 LPCD: Q2 2019 Data readout: Q3 2019
Phase II NCT03645421	Japanese preobese or obese patients with type-2 diabetes	61	<ul style="list-style-type: none"> MAD s.c. administration Japan 	<ul style="list-style-type: none"> Primary: safety, glucose AUC, body weight Secondary: HbA1c, FPG, fructosamine Secondary: glucose control Secondary: PK, immunogenicity 	<ul style="list-style-type: none"> FPCD: Q3 2018 LPCD: Q3 2018 Data readout: Q2 2019
Phase II NCT04019561	Obese patients with non-alcoholic fatty liver disease (NAFLD)/non-alcoholic steatohepatitis (NASH)	72	<ul style="list-style-type: none"> Arm1: cotadutide high dose s.c. Arm2: placebo high dose s.c. Arm3: cotadutide low dose s.c. Arm4: placebo low dose s.c. US 	<ul style="list-style-type: none"> Primary: safety and tolerability Secondary: change in hepatic fat fraction, Secondary: change in liver fat volume Secondary: change in visceral adipose tissue 	<ul style="list-style-type: none"> FPCD: Q4 2019 LPCD: H2 2020
Phase I NCT04091373	Healthy adult patients	36		<ul style="list-style-type: none"> Primary: to evaluate exposure following a single s.c of cotadutide at each of 3 different sites of injection Secondary: immunogenicity Secondary: safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q4 2019 LPCD: Q1 2020 Data readout: H2 2020



Verinurad (RDEA3170, URAT1 inhibitor)

CKD

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT03118739	CKD patients with hyperuricaemia, albuminuria, and Type 2 diabetes	60	<ul style="list-style-type: none"> Arm A: verinurad 9 mg and febuxostat 80 mg Arm B: placebo The trial is a multi-centre trial conducted in the US	To assess the effects of intensive uric acid lowering therapy with RDEA3170 and febuxostat on UACR	<ul style="list-style-type: none"> FPCD: Q2 2017 LPCD: Q3 2018 Data readout: Q4 2018
Phase II NCT03316131	Asymptomatic hyperuricaemic patients (sUA (serum uric acid levels) > 6.0 mg/dL)	36	<ul style="list-style-type: none"> Arm A: 9 mg verinurad + 80 mg febuxostat + 10 mg dapagliflozin Arm B: 9 mg verinurad + 80 mg febuxostat + placebo The trial is a two-centre trial conducted in the US	Primary: Peak uric acid excretion during the first 8 hours) on Day 7 of treatment Secondary: serum uric acid levels after 7 days of treatment.	<ul style="list-style-type: none"> FPCD: Q4 2017 LPCD: Q3 2018 Data readout: Q4 2019
Phase II NCT04024501	Healthy volunteers	25	<ul style="list-style-type: none"> Verinurad ER8 capsule formulation (fasted) Verinurad capsule A formulation (fasted) Verinurad capsule A formulation (fed) Verinurad capsule B formulation (fasted) Verinurad capsule B formulation (fed) This trial is a single centre trial conducted in Germany	Relative bioavailability (AUC, Cmax) between the ER8 capsule formulation given under fasted conditions and 2 new capsule formulation of verinurad (A-capsule and B-capsule) given under fed or fasted conditions	<ul style="list-style-type: none"> FPCD: Q3 2019 LPCD: Q3 2019 Data readout: Q4 2019
Phase II NCT03836599	Healthy volunteers of Asian descent	23	<ul style="list-style-type: none"> Arm A: verinurad 24 mg + allopurinol 300 mg Arm B: verinurad 12 mg + allopurinol 300 mg Arm C: Placebo This trial is a single centre trial conducted in the US	Safety analyses (AEs, ECG abnormalities, vital sign abnormalities, laboratory abnormalities) PK outcomes (AUC, Cmax, tmax)	<ul style="list-style-type: none"> FPCD: Q1 2019 LPCD: Q2 2019 Data readout: Q4 2019
Phase II NCT03990363	Patients with: <ul style="list-style-type: none"> sUA ≥6.0 mg/dL eGFR ≥25 mL/min/1.73 m² Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI formula) Mean UACR between 30 mg/g and 5000 mg/g 	725	<ul style="list-style-type: none"> Arm A: Verinurad 12 mg + allopurinol 300 mg Arm B: Verinurad 7.5 mg + allopurinol 300 mg Arm C: Verinurad 3 mg + allopurinol 300 mg Arm D: Verinurad placebo + allopurinol 300 mg Arm E: Verinurad placebo + allopurinol placebo This trial is multi-centre trial conducted in USA, China, Czech Republic, France, Hungary, Israel, Italy, Mexico, Poland, Romania, Slovakia, South Africa, Spain	Ratio of urinary albumin to urinary creatinine Changes in eGFR, Cystatin C, and uric acid	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: H2 2021
Phase I NCT03118739	Healthy volunteers	24	<ul style="list-style-type: none"> Treatment A: Verinurad 24 mg ER8 formulation + 300 mg allopurinol Treatment B: Verinurad 40 mg IR formulation + 300 mg allopurinol Treatment C: Matched placebos for both verinurad and allopurinol The trial is a single-centre, randomised, placebo-controlled, double-blind, 3-period, cross-over trial conducted in Germany	To assess the effect of a single dose of verinurad given as either a 24 mg extended-release (ER8) formulation (therapeutic exposure) or a 40 mg immediate-release (IR) formulation (supra-therapeutic exposure), both in combination with allopurinol 300 mg, on the QT interval corrected for heart rate using Fridericia's formula (QTcF) compared to placebo	<ul style="list-style-type: none"> FPCD: Q3 2020 Data readout: H2 2020

Verinurad (RDEA3170, URAT1 inhibitor)

HFpEF

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT04327024	Patients with heart failure with preserved ejection fraction	435	<ul style="list-style-type: none"> • Arm A: verinurad 12 mg + allopurinol 300 mg • Arm B: verinurad placebo + allopurinol 300 mg • Arm C: verinurad placebo + allopurinol placebo <p>The trial is a multi-centre trial conducted in Argentina, Australia, Austria, Bulgaria, Canada, Germany, Mexico, Poland, Russia, Slovakia South Korea, USA</p>	Peak V02 Change from baseline at Week 28 in exercise capacity Change from baseline at Week 28 in Kansas-City Cardiomyopathy Questionnaire-Total Symptom Score (KCCQ-TSS)	<ul style="list-style-type: none"> • FPCD: Q3 2020 • Data readout: 2021+



AZD2373

Chronic Kidney Disease

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04269031	Healthy volunteers	48	SAD Dose escalation in 6 cohorts with 6 volunteers receiving AZD2373 and 2 volunteers receiving placebo in each cohort Trial conducted in the US	Primary: • Safety and tolerability Secondary; • PK parameters	• FPCD: Q1 2020



AZD2693 (resolution of NASH)

NASH

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04142424	Healthy volunteers	48	SAD 6 cohorts with 6 volunteers receiving AZD2693 and 2 volunteers receiving placebo in each cohort Route of administration: subcutaneous injections Trial conducted in the US.	Primary: • Safety and tolerability Secondary; • PK	• FPCD: Q4 2019 • Data anticipated: H2 2020



MEDI3506 (IL33 ligand mAb)

Diabetic Kidney Disease (DKD)

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT04170543	Adult patients with diabetic kidney disease	168	Randomized, double-blind, placebo-controlled, multicenter trial to evaluate the efficacy, safety, PK, and immunogenicity of MEDI3506 in adult patients with diabetic kidney disease	<ul style="list-style-type: none"> Efficacy and safety 	<ul style="list-style-type: none"> FPCD: Q4 2019



AZD4831 (MPO inhibitor)

Cardiovascular disease

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02712372	Healthy patients	c. 96	SAD trial (one trial site in Germany) • Planned to investigate 6 different dose levels vs. placebo but up to 10 cohort may be used	• Safety and tolerability • PK parameters	• FPCD: Q3 2016 • LPCD: Q4 2016 • Data readout Q2 2017
Phase I NCT03136991	Healthy patients	c. 40	MAD (one trial site in USA) • The planned number of cohorts is four but up to five cohorts may be included	• Safety and tolerability • PK parameters	• FPCD: Q2 2017 • LPCD: Q4 2017 • Data readout: Q1 2018
Phase IIa NCT03756285	HFpEF	96	Arm 1: AZD4831 Arm 2: placebo Global trial – five countries	• Primary endpoint: The change from baseline in MPO activity in % after AZD4831 treatment	• FPCD: Q4 2018 • Data readout: H2 2020
Phase I NCT04232345	Healthy patients	40	SAD trial in Japanese and Chinese patients	• Safety and tolerability	• FPCD Q1 2020



AZD5718 (FLAP inhibitor)

Cardiovascular disease

Trial	Population	Patients	Design	Endpoints	Status
Phase IIa NCT03317002	CAD	129	<ul style="list-style-type: none"> Arm 1: AZD5718 Dose A Arm 2: AZD5718 Dose B Arm 3: placebo <p>Global trial – three countries in Europe</p>	<ul style="list-style-type: none"> Primary endpoint: PD effect of AZD5718 by assessment of u-LTE4 	<ul style="list-style-type: none"> FPCD: Q4 2017 LPCD: Q4 2019
Phase I NCT03948451	Healthy patients	6	<p>hADME trial (one trial site in UK)</p> <ul style="list-style-type: none"> Oral administration <p>Open-label trial to characterize the absorption, distribution, metabolism and excretion following a single oral dose of [14C]AZD5718 in healthy male volunteers</p>	<ul style="list-style-type: none"> Mass balance, with routes and rates of elimination of [14C]AZD5718. Metabolite profiling and structural identification PK and total radioactivity 	<ul style="list-style-type: none"> FPCD: Q2 2019 LPCD: Q2 2019
Phase I NCT04087187	Healthy patients	14	<p>BA trial (one trial site in UK)</p> <p>An open-label, randomized, 3-period, 3-treatment, crossover trial to assess the drug absorption into the blood after administration of 3 doses of AZD5718</p>	<ul style="list-style-type: none"> To evaluate the pharmacokinetics and exposure of 3 different doses of AZD5718 Safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q4 2019 LPCD: Q4 2019
Phase I NCT04210388	Healthy patients	12	<p>BA trial (one trial site in UK)</p> <p>The trial is a randomized, single-dose, open-label, combined 2x2 dose and 3x3 dose crossover design in fixed sequence.</p>	<p>To evaluate:</p> <ul style="list-style-type: none"> The relative bioavailability of different formulations Safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2020 LPCD: Q1 2020



AZD6615 (anti-hypercholesterolemia)

Hypercholesterolemia

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04055168	Healthy volunteers	40	SAD 3 cohorts of non-Asian subjects (Part 1) and 2 cohorts of Japanese subjects (Part 2). 6 subjects receiving AZD6615 and 2 subjects receiving placebo in each cohort. Trial conducted in the US.	Primary: • Safety and tolerability Secondary: • PK and PD parameters	• FPCD: Q3 2019



AZD8233 (anti-hypercholesterolemia)

Hypercholesterolemia

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03593785	Healthy subjects	72	SAD 7 cohorts with 6 subjects receiving AZD8233 and 2 subjects receiving placebo in each cohort Trial conducted in the US.	Primary: <ul style="list-style-type: none">• Safety and tolerability Secondary: <ul style="list-style-type: none">• PK and PD parameters	• FPCD: Q3 2018
Phase I NCT04155645	Dyslipidemia	33	MAD Up to 3 cohorts with 8 subjects receiving AZD8233 and 3 subjects receiving placebo in each cohort Trial conducted in the US	Primary: <ul style="list-style-type: none">• Safety and tolerability Secondary: <ul style="list-style-type: none">• PK and PD parameters	• FPCD Q1 2020



AZD8601 (VEGF-A modified RNA)

Cardiovascular disease

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02935712	Type 2 diabetic patients	c. 60	SAD trial (one trial site in Germany) • Planned to investigate 3 different dose levels vs. placebo but up to 5 cohort may be used	• Safety and tolerability	• FPCD: Q1 2017 • LPCD: Q3 2017 • Data readout: Q1 2018
Phase IIa NCTT03370887	HF	Up to 33	Phase IIa trial (two trial sites in Finland) • Arm 1: AZD8601 Dose A • Arm 2: AZD 8601 Dose B • Arm 3: placebo	• Safety and tolerability	• FPCD: Q1 2018



AZD9977

Heart failure

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03435276	Healthy volunteers	27	MAD Dose escalation in 3 cohorts with 6 subjects receiving AZD9977 and 3 volunteers receiving placebo in each cohort Trial conducted in the UK.	Primary: <ul style="list-style-type: none">• Safety and tolerability Secondary: <ul style="list-style-type: none">• PK parameters	• FPCD: Q1 2018 • LPCD: Q2 2018 • Data readout: Q3 2018
Phase I NCT03450759	Healthy volunteers	12	Bioavailability trial Investigation of four different oral formulations of AZD9977 and influence of food. Trial conducted in the UK.	Primary: <ul style="list-style-type: none">• relative bioavailability vs. oral suspension (reference)• PK parameters	• FPCD: Q2 2018 • LPCD: Q2 2018 • Data readout: Q3 2018
Phase I NCT03682497	HF	60	Proof of differentiation To compare the effect of AZD9977 with spironolactone on serum potassium	Primary: <ul style="list-style-type: none">• serum potassium	• FPCD Q4 2018 • LPCD Q1 2019
Phase I NCT03843060	Healthy volunteers	14	DDI To assess the effect of itraconazole on the pharmacokinetics of AZD9977 Trial conducted in the US	Primary: <ul style="list-style-type: none">• PK parameters Secondary: <ul style="list-style-type: none">• Safety and tolerability	• FPCD: Q1 2019 • LPCD: Q1 2019 • Data readout: Q3 2019
Phase I NCT03801967	Healthy volunteers	45	JSMAD Single and multiple-ascending dose administration in Japanese healthy volunteers. Trial conducted in the UK	Primary: <ul style="list-style-type: none">• Safety and tolerability Secondary: <ul style="list-style-type: none">• PK parameters	• FPCD: Q1 2019 • LPCD: Q2 2019 • Data readout: Q3 2019
Phase I NCT03804645	Healthy volunteers	12	Bioavailability trial Investigation of four different oral formulations of AZD9977 and influence of food. Trial conducted in the UK	Primary: <ul style="list-style-type: none">• relative bioavailability vs. capsule formulation (reference)• PK parameters	• FPCD: Q1 2019 • LPCD: Q2 2019 • Data readout: Q3 2019





Biologics

Cardiovascular & metabolic diseases

Trial	Compound	Population	Patients	Design	Endpoints	Status
Phase IIb EudraCT 2017-004521-32	MEDI6012 rhLCAT	Subjects 30-80 years of age inclusive, presenting with acute STEMI	540	<ul style="list-style-type: none"> Cohort A: 2-dose regimen 300 mg of MEDI6012 or placebo on day 1 (loading dose) prior to pPCI followed by a second inpatient dose of 150 mg or placebo on Day 3 by i.v. push. Cohort B: 6-dose regimen 300 mg of MEDI6012 or placebo on day 1 prior to pPCI followed by a second inpatient dose of 150 mg or placebo on day 3 and outpatient maintenance doses of 100 mg or placebo on days 10, 17, 24, and 31 by i.v. push. 	<p>Primary endpoints: Infarct size as a percentage of left ventricle (LV) mass at 10-12 weeks post-MI (myocardial infarction) compared to placebo</p> <p>Secondary endpoints:</p> <ul style="list-style-type: none"> Ejection Fraction at 10-12 weeks post-MI compared to placebo. Change in NCPV in the coronary arteries from at 10-12 weeks post-MI compared with placebo Myocardial mass and LV volumes at end-systole and end-diastole Incidence of TEAEs and treatment-emergent SAEs. LCAT mass and ADAs 	<ul style="list-style-type: none"> FPCD: Q2 18 Data anticipated: 2021+
Phase IIa NCT03351738	MEDI5884 cholesterol modulation	Adults with stable CHD	133	<ul style="list-style-type: none"> MEDI5884 (5 dose cohorts) vs. placebo in stable CHD patients 	<ul style="list-style-type: none"> Safety profile in terms of AEs, vital signs, ECG, lab variables Changes in HDL-C over time PK, immunogenicity, and Apolipoprotein B 	<ul style="list-style-type: none"> FPCD Q4 2017 Data readout: Q4 2018
Phase I NCT03654313	MEDI6570	Atherosclerotic cardiovascular disease	88	<ul style="list-style-type: none"> SAD followed by multi ascending dose with 3 monthly doses in T2DM subjects 	<ul style="list-style-type: none"> Primary endpoints: Safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: 2021

AZD0449 (inhaled JAK-1 inhibitor)

Asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03766399	Healthy subjects and patients with mild asthma	156	<p>SAD/MAD/Bridge trial (UK)</p> <p>Part 1 SAD</p> <ul style="list-style-type: none"> Dose escalation in 6 cohorts with 6 subjects receiving AZD0449 and 2 subjects receiving placebo in each cohort i.v. cohort with 8 subjects <p>Part 2 MAD:</p> <ul style="list-style-type: none"> 2 cohorts of (6, 6,) mild asthmatics receiving two different doses of AZD0449 and (3,3) patients receiving placebo in each cohort 1 cohort of 6 patients receiving 1 dose of AZD0449 and 2 patients receiving placebo <p>Part 3 bridge</p> <ul style="list-style-type: none"> 1 cohort of 6 patients receiving 1 dose of AZD0449 (DPI formulation) and 2 patients receiving placebo. Up to 18 mild asthmatic patients will receive AZD0449 (DPI) and 18 patients receiving placebo. Interim analysis planned after 9 +9 patients. <p>Trial conducted in the UK</p>	<p>Primary endpoint:</p> <ul style="list-style-type: none"> Safety and tolerability <p>Secondary endpoint:</p> <ul style="list-style-type: none"> PK parameters FENO 	<ul style="list-style-type: none"> FPCD: Q4 2018



AZD1402 (IL4 receptor antagonist)

Asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase Ib NCT03574805 Partnered	Patients with mild asthma	75	PoM. A dose-escalating, single blind trial to assess the safety, tolerability, and pharmacokinetics of multiple doses of PRS-060 administered by oral Inhalation In subjects with mild asthma Australia	Primary endpoint: <ul style="list-style-type: none">Safety and tolerability Secondary endpoint: <ul style="list-style-type: none">PK parametersPotential immunogenicityChange in FENO	• FPCD: Q3 2018



MEDI3506 (IL33 ligand mAb)

COPD, atopic dermatitis

Trial	Population	Patients	Design	Endpoints	Status
Phase I (Combined SAD / MAD) NCT03096795	SAD: healthy subjects with mild atopy J-SD: healthy Japanese subjects MAD: GOLD I-II COPD	SAD: 56 J-SD: 8 MAD: 24	SAD: • 7 sequential placebo-controlled single dose cohorts by either SC or IV route (active N=6 / placebo N = 2 within each cohort) J-SD: • single placebo-controlled single dose cohort by IV route (active N=6 / placebo N = 2 within cohort) MAD: • 3 sequential placebo-controlled multiple dosing cohorts by SC route (active N=6 / placebo N = 2 within each cohort) Conducted in UK	• Safety and tolerability	• FPCD: Q2 2017 • LPCD: Q2 2019 • Data anticipated: H2 2020
Phase II NCT04212169	Adult subjects with atopic dermatitis	152	Randomised, blinded, placebo-controlled trial to determine the efficacy and safety of different strengths of MEDI3506 by SC route Conducted in US, Australia, Germany, Poland & UK	• Primary: change from baseline at week 16 in Eczema Area and Severity Index (EASI) score • Secondary: safety and other efficacy measures	• FPCD: Q4 2019



Velsecorat (AZD7594, SGPM, inhaled)

Asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03976869	Adolescent asthma patients	24	An open-label, multi-centre, Phase I trial to assess the PK, PD and safety of 2-week treatment with inhaled velsecorat in adolescents (12 to 17 years) with asthma	Primary endpoint: • PK, safety and tolerability following 2 weeks treatment with velsecorat Secondary endpoints • Changes from baseline in lung function, asthma control and plasma cortisol on day 15	<ul style="list-style-type: none"> • FPCD: Q3 2019 • Data readout: H2 2020



AZD7986 (DPP1)

COPD

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02653872	Healthy volunteers	15	<p>This is a phase I, non-randomised, fixed sequence, 3-period, drug-drug interaction trial to assess the PK of AZD7986 in healthy subjects when administered alone and in combination with multiple doses of verapamil and itraconazole or diltiazem</p> <ul style="list-style-type: none"> • Arm 1: AZD7986 (alone) treatment period 1 • Arm 2: verapamil (with AZD7986) treatment period 2 • Arm 3: itraconazole (with AZD7986) treatment Period 3 • Arm 4: diltiazem (with AZD7986) treatment period 3 	<ul style="list-style-type: none"> • Safety and tolerability • PK/PD and DDI 	<ul style="list-style-type: none"> • FPCD: Q1 2016 • Data readout: Q2 2016
Phase I NCT02303574	Healthy volunteers	89	<p>A phase I, randomised, single-blind, placebo-controlled, 2-part trial to assess the safety, tolerability, PK and food effect of single and multiple oral doses of AZD7986 in healthy volunteers.</p> <ul style="list-style-type: none"> • Arm 1: AZD7986, single and multiple oral doses • Arm 2: placebo, single and multiple doses 	<ul style="list-style-type: none"> • Safety and tolerability • PK/PD • Bioavailability 	<ul style="list-style-type: none"> • FPCD: Q4 2014 • Data readout: Q3 2016



AZD8154 (PI3K $\gamma\delta$ inhibitor)

Asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03436316	Healthy subjects	78	SAD/MAD A Phase I trial to assess the safety, tolerability and PK of AZD8154 following single dose administration and multiple dose administration in healthy subjects	Primary endpoint: • Safety and tolerability Secondary endpoint: • PK parameters	<ul style="list-style-type: none"> • FPCD: Q3 2018 • LPCD: Q3 2019 • Data readout: Q4 2019



AZD8871 (MABA, inhaled)

Respiratory

Trial	Population	Patients	Design	Endpoints	Status
Phase IIa NCT03645434	Patients with COPD	73	<p>Randomised, double-blind, placebo and active-controlled crossover trial. Eligible patients will be randomised in 1:1:1:1:1:1 ratio to 1 of 6 treatment sequences and will receive 1 of the following 3 treatments sequence in the form of dry powder inhalation:</p> <ul style="list-style-type: none"> • AZD8871 600 µg once daily • Anoro® Ellipta® (55 µg umeclidinium [UMECH]/ 22 µg vilanterol [VI]) once daily • Placebo 	<p>Primary endpoint:</p> <ul style="list-style-type: none"> • Change from baseline in trough FEV₁ on day 15 <p>Secondary endpoints:</p> <ul style="list-style-type: none"> • To characterize the pharmacokinetics of AZD8871 following multiple inhaled doses • To assess safety and tolerability of AZD8871 	<ul style="list-style-type: none"> • FPCD: Q4 2018 • LPCD: Q2 2019 • Data anticipated: Q3 2019



AZD9567 (SGRM, oral)

Respiratory

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02760316	Healthy subjects	71	MAD trial with a total of 6 dose levels of AZD9567: 10 mg, 20mg, 40mg, 80mg and 125 mg as well as with 3 dose levels of prednisolone: 5 mg, 20 mg and 40 mg	Primary endpoint: <ul style="list-style-type: none">To assess the safety and tolerability of AZD9567 following multiple oral ascending doses in subjects with BMI between 28 and 38 kg/m² and with a positive glucose tolerance test (7,8 to 11,0 mmol/L) Secondary endpoints: <ul style="list-style-type: none">To characterise the pharmacokinetics of AZD9567 following multiple oral administration of ascending dosesTo characterise the pharmacodynamics of AZD9567 assessed as effect on glucose homeostasis through OGTT (oral glucose tolerance test) in comparison with prednisolone	<ul style="list-style-type: none">FPCD: Q2 2016Data readout: Q2 2018
Phase IIa NCT03368235	Patients with active RA	21	A randomised, double-blind, parallel trial to assess the efficacy, safety and tolerability of AZD9567 compared to prednisolone 20 mg in patients with active rheumatoid arthritis	Primary endpoint: To assess the efficacy of AZD9567, 40 mg, compared to prednisolone 20 mg in patients with active RA in spite of stable treatment with conventional and/or s.c./i.v. biological DMARDs (Disease-modifying antirheumatic drugs) Secondary endpoints: <ul style="list-style-type: none">To further assess the efficacy of AZD9567, 40 mg, compared to prednisolone 20 mg in patients with active rheumatoid arthritis in spite of stable treatment with conventional and/or s.c./i.v. biological DMARDs (e.g SJC 66/TJC68, ACR response criteria)To evaluate the pharmacokinetic profile of AZD9567	<ul style="list-style-type: none">FPCD: Q1 2018Data readout: Q2 2020



AZD0284 (ROR γ inverse agonist)

Plaque psoriasis vulgaris

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02976831	Healthy subjects	80	Part 1 (SAD) • Seven different dose levels investigated vs. placebo • Oral administration	• Safety and tolerability and PK following oral administration with single ascending dose • Preliminary assessment of the effect of food on the single dose PK parameters of AZD0284	• FPCD: Q3 2016 • LPCD: Q2 2017
			Part 2 (MAD) • Three different dose levels investigated vs. placebo in healthy subjects • Oral administration	• Safety and tolerability & PK in healthy subjects following administration of multiple ascending oral doses • PoM confirmed by demonstrating that oral dosing of AZD0284 reduces IL-17 secretion by ex vivo stimulated whole blood T cells	• FPCD: Q1 2017 • LPCD: Q1 2017
Phase I NCT03029741	Healthy subjects	6	A single centre, open-label, non-randomised, single dose trial performed in 6 healthy male subjects aged 18 to 65 years, inclusive. The trial will assess the absolute bioavailability of a single oral dose of AZD0284 and the pharmacokinetics (PK) of a single intravenous (IV) microdose of [¹⁴ C] AZD0284 in healthy male and female subjects. Oral AZD0284 and [¹⁴ C] AZD0284 intravenous solution are referred to as the investigational products in this trial	• Determination of absolute bioavailability of AZD0284 • Safety and tolerability of AZD0284	• FPCD: Q1 2017 • LPCD: Q1 2017



MEDI0618 (PAR2 antagonist mAb)

Osteoarthritis pain

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02508155	Painful osteoarthritis of the knee	64 (healthy volunteers)	<ul style="list-style-type: none"> SAD Up to 8 i.v. cohorts are planned vs. placebo 1 s.c. cohort is planned vs. placebo Europe only	<ul style="list-style-type: none"> Safety, tolerability and PK 	<ul style="list-style-type: none"> FPCD: Q4 2019



MEDI1341 (alpha-synuclein mAb)

Parkinson's Disease

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03272165	Healthy volunteers	48	<ul style="list-style-type: none"> SAD Up to 6 i.v. cohorts are planned vs. placebo US only	<ul style="list-style-type: none"> Safety, tolerability, PK, PD 	<ul style="list-style-type: none"> FPCD: Q4 2017 Data anticipated: H1 2021



AZD4041 (orexin 1 receptor antagonist)

Opioid use disorder

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04076540 Partnered with Eolas Therapeutics Inc and NIH.	Healthy volunteers	48 healthy volunteers	<ul style="list-style-type: none"> Randomised, double blind, single ascending dose Up to 6 cohorts are planned vs. placebo <p>Single centre in US only</p>	<ul style="list-style-type: none"> Safety, tolerability, PK, PD 	<ul style="list-style-type: none"> FPCD: Q4 2019 Data anticipated: H2 2020



AZD5634 (ENaC, inhaled)

Cystic Fibrosis

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02679729	Healthy volunteers	56	<p>A randomised, single-blind, placebo-controlled trial to assess the safety, tolerability and pharmacokinetics of AZD5634 following single-ascending inhaled doses (Part A) and after single inhaled and intravenous doses (Part B) in healthy subjects</p> <ul style="list-style-type: none"> Arm 1: AZD5634 following inhaled administration of SAD (Part A) and following administration of single inhaled and i.v. doses (Part B) Arm 2: placebo 	<ul style="list-style-type: none"> Safety and tolerability PK/PD 	<ul style="list-style-type: none"> FPCD: Q1 2016 Data readout: Q4 2016
Phase Ib NCT02679729	Patients with cystic fibrosis	9	<p>A randomised blinded placebo-controlled, cross-over trial to assess the effect of AZD5634 on mucociliary clearance as well as safety, tolerability, and PK parameters following single inhaled dose administration to patients with cystic fibrosis</p> <ul style="list-style-type: none"> Arm 1: subjects were administered single dose of placebo in period 1 and AZD5634 in period 2 Arm 2: subjects were administered single dose of AZD5634 in period 1 and placebo in period 2 	<ul style="list-style-type: none"> Safety and tolerability PK/PD 	<ul style="list-style-type: none"> FPCD: Q2 2017 Data readout: Q2 2018



MEDI7352 (NGF TNF bispecific mAb)

Osteoarthritis pain

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02508155	Painful osteoarthritis of the knee	160	<ul style="list-style-type: none"> SAD & MAD Up to 12 i.v. cohorts are planned vs. placebo 1 s.c. cohorts are planned vs. placebo Europe only	<ul style="list-style-type: none"> Safety, tolerability, PK, PD 	<ul style="list-style-type: none"> FPCD: Q1 2016 Data anticipated: H2 2020
Phase II NCT03755934	Painful diabetic neuropathy	271	<ul style="list-style-type: none"> Multiple dose trial Up to 4 i.v. cohorts are planned vs. placebo Europe only	<ul style="list-style-type: none"> Dose response, safety, tolerability, PK, PD 	<ul style="list-style-type: none"> FPCD Q4 2018 Data anticipated: 2021



Other biologics

Infections

Trial	Compound	Population	Patients	Design	Endpoints	Status
Phase II EudraCT 2014-001097-34	Anti-Staph AT (suvratoxumab, MEDI4893)	Intubated ICU	213	<ul style="list-style-type: none"> Placebo-controlled, single-dose, dose-ranging Route of administration: intravenous 	<ul style="list-style-type: none"> Efficacy and safety 	<ul style="list-style-type: none"> FPCD: Q4 2014 Data readout: Q4 2018
Phase II NCT02696902	Anti-Pseudomonas A mAb (MEDI3902)	Intubated ICU	195	<ul style="list-style-type: none"> Placebo-controlled, single-dose, dose-ranging Route of administration: intravenous 	<ul style="list-style-type: none"> Efficacy and safety 	<ul style="list-style-type: none"> FPCD: Q2 2016 Data anticipated: H2 2020



List of abbreviations

14C	Radioactive isotope of carbon, Carbon 14	CHF	Chronic heart failure	FLAP	5-lipoxygenase-activating protein
1L, 2L, 3L	1st, 2nd or 3rd line	CKD	Chronic kidney disease	FPDC	First patient commenced dosing
5-FU	5-fluorouracil	CLL	Chronic lymphocytic leukaemia	FPG	Fasting plasma glucose
A2AR	Adenosine A2A receptor	CMAX	Maximum observed plasma concentration	GA	Gestational age
ACQ	Asthma control questionnaire	C-MET	Tyrosine-protein kinase Met	GBM	Glioblastoma
ACR	American college of rheumatology response scoring system	CNS	Central nervous system	gBRCAm or tBRCAm	Germline or tumour BRCA mutation somatic
ADA	Anti-drug antibodies	COPD	Chronic obstructive pulmonary disease	GEJ	Gastric/gastro-oesophageal junction
ADC	Antibody-drug conjugate	CR	Complete response	GFF	Glycopyrronium and formoterol fumarate
ADP	Adenosine diphosphate	CRC	Colorectal cancer	GLP-1	Glucagon-like peptide-1
AE	Adverse Event	CrCl	Creatinine clearance	GMFRs	Geometric mean fold rises
AI	Auto-injector	CRR	Complete response rate	GMTs	Geometric mean titers
AKT	Protein kinase B	CTC	Circulating tumour cell	HAI	Haemagglutination-inhibition
ALK	Anaplastic large-cell lymphoma kinase	CTLA-4	Cytotoxic T-lymphocyte-associated antigen 4	HbA1c	Hemoglobin A1c
APFS	Accessorised pre-filled syringe	CV	Cardiovascular	HCC	Hepatocellular carcinoma
AQLQ	Asthma quality of life questionnaire	CVOT	Cardiovascular outcomes trial	HD	High dose
AS	Albuterol sulphate	CVRM	Cardiovascular renal and metabolism	HDL-C	High-density lipoprotein cholesterol
ATM	Ataxia-telangiectasia mutated kinase	CXCR2	C-X-C Motif chemokine receptor 2	HER2	Human epidermal growth factor receptor 2
ATR	Ataxia telangiectasia and rad3-related protein	DB	Double blind	HF	Heart failure
AUC	Area under curve	DC	Disease control	HFpEF	Heart failure with preserved ejection fraction
B7RP	B7-related protein-1	DCR	Disease control rate	HFrEF	Heart failure with reduced ejection fraction
BA	Bioavailability	DDI	Drug-drug Interaction	HGFR	Met/hepatocyte growth factor receptor
BAFF	B-cell activating factor	dECG	Differentiated electrocardiogram	HGSC	High grade serous carcinoma
BCG	Bacillus Calmette–Guérin	DFS	Disease free survival	hHF	Hospitalisation for heart failure
BCMA	B-cell maturation antigen	DLBCL	Diffuse large B-cell lymphoma	HIF-PHI	Hypoxia inducible factor - prolyl hydroxylase inhibitor
BDA	Budesonide albuterol	DLT	Dose-limiting toxicity	HNSCC	Head and neck squamous-cell carcinoma
BFF	Budesonide and formoterol fumarate	DMARDs	Disease-modifying antirheumatic drugs	HPV	Human papillomavirus
BGF	Budesonide, glycopyrronium and formoterol fumarate	DNA	Deoxyribonucleic acid	HRD	Homologous recombination deficiency
BICR	Blinded independent central review	DoCR	Durability of complete response	HRRM	Homologous recombination repair mutation
BID	Bis in die (twice per day)	DoR	Duration of response	i	inhibitor
BIG	Big ten cancer research consortium	DPI	Dry powder inhaler	IA	Investigator-assessed
BMD	Bone mineral density	DXA	Dual energy X-ray absorptiometry	ICS	Inhaled corticosteroid
BMI	Body mass index	EBRT	External beam radiation therapy	ICU	Intensive care unit
BRCAwt	Breast cancer wild-type gene	ECG	Electrocardiogram	IDFS	Invasive disease-free survival
BRD4	Bromodomain-containing protein 4	EFS	Event-free survival	IL	Interleukin
BTC	Biliary tract carcinoma	eGFR	Estimated glomerular filtration rate	i.m.	Intramuscular
BTK	Bruton's tyrosine kinase	EGFR	Epidermal growth factor receptor	IRC	Independent review committee
CA-125	Cancer antigen 125	ER	Oestrogen receptor	ISS	Investigator-sponsored studies
CAD	Coronary artery disease	ERK	Extracellular signal-regulated kinase	i.v.	Intravenous
CBR	Clinical benefit rate	ESR	Externally sponsored trial	J-SD	Japanese single dose
CCL20	Chemokine (C-C motif) ligand 20	ESR1	Oestrogen receptor 1	Ki67	Protein that is encoded by the MKI67 gene in human
CD	Cluster of differentiation	ESSC	Esophageal squamous cell carcinoma		
CDK	Cyclin-dependent kinase	FDC	Fixed-dose combination		
CE	Clinically evaluable	FeNO	Fractional nitric oxide concentration in exhaled breath		
CHD	Coronary heart disease	FEV	Forced-expiratory volume		
Chemo	Chemotherapy	FGFR	Fibroblast growth factor receptor		



List of abbreviations

LABA	Long acting beta agonist	PASI	Psoriasis area severity index	SAE	Serious adverse event
LAMA	Long acting muscarinic agonist	PBD	Pyrrolobenzodiazepine	SBRT	Stereotactic body radiation therapy
LCAT	Lecithin-cholesterol acyltransferase	pCR	Pathological complete response	s.c.	Subcutaneous
LCM	Lifecycle management	PD	Pharmacodynamics	SCLC	Small cell lung cancer
LN	Lupus nephritis	PD-1	Programmed cell death protein 1	SD	Stable disease
LOCS III	Lens opacities classification system III	PDAC	Pancreatic ductal adenocarcinoma	SGLT2	Sodium-glucose transport protein 2
LPCD	Last patient commenced dosing	PDE4	Phosphodiesterase type 4	SGRM	Selective glucocorticoid receptor modulator
LV	Left ventricle	PD-L1	Programmed death-ligand 1	SGRQ	Saint George respiratory questionnaire
m	Mutation	PET	Positron-emission tomography	SJC	Swollen joint count
mAb	Monoclonal antibody	PFS	Progression free survival	SLE	Systemic lupus erythematosus
MABA	Muscarinic antagonist-beta2 agonist	PgR	Progesterone receptor	SLL	Small lymphocytic lymphoma
MACE	Major adverse cardiac events	PI3K	Phosphoinositide 3-kinase	SMAD	Single and multiple ascending dose trial
MAD	Multiple ascending dose	PIK3CA	Phosphatidylinositol 3 kinase catalytic alpha gene	SoC	Standard of care
MCC	Mucociliary clearance	PK	Pharmacokinetics	sPGA	Static physicians global assessment score
MCL	Mantle cell lymphoma	PLL	Prolymphocytic leukaemia	STAT3	Signal transducer and activator of transcription 3
MCL1	Myeloid leukemia cell differentiation protein 1	pMDI	Pressurised metered dose inhaler	sUA	Serum uric acid
mCRPC	Metastatic castrate-resistant prostate carcinoma	PN	Plexiform neurofibromas	T2DM	Type 2 Diabetes Mellitus
MD	Medium dose	POC	Proof of concept	T790M	Threonine 790 substitution with methionine
MDI	Metered-dose inhaler	POM	Proof of mechanism	TACE	Transarterial Chemoembolization
MDS	Myelodysplastic syndrome	pPCI	Primary percutaneous coronary intervention	TEAEs	Treatment-emergent adverse events
MEK	Mitogen-activated protein kinase	PR	Partial response	TID	Ter in die (three times a day)
MET	Tyrosine-protein kinase Met	pre-BD	Pre-bronchodilator	TJC	Tender joint count
MI	Myocardial infarction	PRO	Patient reported outcome	TKI	Tyrosine kinase Inhibitor
MMT	Mixed meal test	PRR	Recurrent platinum resistant	TLR	Toll-like receptor 9
MPO	Myeloperoxidase	PS	Propensity score	TNBC	Triple negative breast cancer
mPR	Major pathological response	PSA	Prostate-specific antigen	TNF	Tumour necrosis factor
MRI	Magnetic resonance imaging	PSC	Pulmonary sarcomatoid carcinoma	TSLP	Thymic stromal lymphopoietin
MTD	Maximum tolerated dose	PSMA	Prostate-specific membrane antigen	TTF	Time to treatment failure
NaC	Sodium channel	PTEN	Phosphatase and tensin homolog gene	TTNT	Time to next therapy
NCI	National cancer institute (US)	Q2,3,4,8W	Quaque (every) two, three... weeks	TPP	Time to tumour progression
NCPV	Noncalcified plaque volume	QD	Quaque in die (once a day)	UACR	Urine albumin creatinine ratio
NF1	Neurofibromatosis type 1	QID	Quarter in die (four times a day)	UMEc	Umeclidinium
NGF	Nerve growth factor	QOD	Quaque altera die (every other day)	URAT1	Uric Acid Transporter 1
NHL	Non-Hodgkin's lymphoma	QoL	Quality of Life	VEGF	Vascular endothelial growth factor
NIH	National Institute of Health (US)	QTcF	Corrected QT interval by Fredericia	YTE	Triple-amino-acid (M252Y/S254T/T256E [YTE]) substitution
NKG2a	Natural killer cell C-type lectin receptor G2a	RA	Rheumatoid Arthritis		
NME	New molecular entity	RAAS	Renin–angiotensin–aldosterone system		
NRG	National clinical trials network in oncology (US)	RECIST	Response evaluation criteria in solid tumours		
NSCLC	Non-small cell lung cancer	RFS	Relapse-free survival		
OCS	Oral corticosteroid	rhLCAT	Recombinant human Lecithin-cholesterol acyltransferase		
OD	Once daily	RORγ	Related orphan receptor gamma		
OGTT	Oral glucose tolerance test	r/r	Relapsed/refractory		
ORR	Objective response rate	RT	Radiation therapy		
OS	Overall survival	SABA	Short-acting beta2-agonist		
PARP	Poly ADP ribose polymerase	SAD	Single ascending dose		



Clinical trials appendix H1 2020 results update

AstraZeneca 
What science can do

