



Clinical trials appendix

Q2 2021 results update



Movement since Q1 2021 update

New to Phase I	New to Phase II	New to Pivotal trial	New to registration
	<p><u>NME</u> AZD1402# Inhaled IL4Ra asthma</p> <p>AZD9977 + Farxiga MCR + SGLT2 inhibitor heart failure with CKD</p> <p>zibotentan + Farxiga³ ZENITH-CKD ETA antagonist + SGLT2 CKD</p>	<p><u>NME</u> AZD2816^{1#} (next generation COVID-19 vaccine) SARS-CoV-2 prevention of COVID-19</p> <p><u>Additional indication</u> capivasertib# + fulvestrant + palbociclib CAPitello-292 AKT inhibitor + fulvestrant + CDK4/6 inhibitor 1st-line triplet in early relapse/ET resistant locally advanced (inoperable) or metastatic breast cancer</p> <p><u>Lifecycle Management</u> Enhertu# DESTINY-Breast09 HER2 targeting antibody drug conjugate 1st-line HER2-positive breast cancer</p> <p>Enhertu# DESTINY-Gastric04 HER2 targeting antibody drug conjugate 2nd-line HER2-positive gastric cancer</p> <p>Lokelma DIALIZE-Outcomes potassium binder CV outcomes in patients on chronic hemodialysis with hyperkalemia</p> <p>tezepelumab# WAYPOINT TSLP mAb nasal polyposis</p>	<p><u>NME</u> tezepelumab# NAVIGATOR [US, EU & JP] ¹ TSLP mAb severe, uncontrolled asthma</p> <p><u>Lifecycle management</u> Fasenra# OSTRO [US] ¹ IL5R mAb nasal polyps</p>
Removed from Phase I	Removed from Phase II	Removed from Phase III	Removed from registration
	<p><u>Additional indication</u> Imfinzi + Lynparza# BAYOU PD-L1 mAb + PARP inhibitor 1st-line unresectable stage IV bladder cancer</p> <p>tezepelumab# TSLP mAb atopic dermatitis</p>	<p><u>Lifecycle management</u> Lynparza# SOLO-3 PARP inhibitor gBRCA PSR ovarian cancer</p>	<p><u>Lifecycle management</u> Farxiga³ DAPA-CKD [US] ² SGLT2 inhibitor renal outcomes and CV mortality in patients with CKD</p>



Q2 2021 new molecular entity (NME)¹ pipeline

Phase I 16 New Molecular Entities	Phase II 18 New Molecular Entities	Phase III 13 New Molecular Entities
AZD0466# BCL2/xL haematological tumours	<i>Imfinzi</i> #+RT (platform) CLOVER PD-L1+RT HNSCC NSCLC SCLC	adavosertib# Wee1 ovarian / uterine serous / solid tumours
AZD1390 ATM glioblastoma	<i>Imfinzi</i> +selumetinib# PD-L1+MEK solid tumours	<i>Imfinzi</i> #+imaradenant#+cabazitaxel PD-L1+A2aR+CTx prostate cancer
AZD4573 CDK9 haematological malignancies	IPH5201# CD39 solid tumours	AZD2811 nanoparticle Aurora B solid tumours
AZD5305 PARP1Sel solid tumours	MEDI1191 IL12 mRNA solid tumours	camizestrant (AZD9833) SERD ER+ breast
AZD5991 MCL1 haematological malignancies	MEDI5395 rNDV GMCSF solid tumours	capivasertib# AKT prostate
AZD7648# DNAPK solid and haematological tumours	MEDI5752+lenvatinib PD-1/CTLA-4+VEGF advanced renal cell carcinoma	ceralasertib ATR solid tumours
AZD8701# FOXP3 solid tumours	MEDI9253 rNDV IL12 solid tumours	<i>Imfinzi</i> (platform) HUDSON PD-L1+multiple novel ONC therapies post IO NSCLC
<i>Imfinzi</i> #+adavosertib# PD-L1+Wee1 solid tumours	<i>Tagrisso</i> combo# TATTON EGFR+MEK/MET advanced EGFRm NSCLC	<i>Imfinzi</i> # (platform) BALTIC PD-L1+CTLA-4, WEE1+Carboplatin, ATR+PARP ES-SCLC R/R
		<i>Imfinzi</i> # (platform) COAST PD-L1+multiple novel ONC therapies NSCLC
		<i>Imfinzi</i> # (platform) NeoCOAST PD-L1+multiple novel ONC therapies NSCLC
		oleclumab+chemo or <i>Imfinzi</i> #+oleclumab+chemo CD73+chemo or PD-L1+CD73+chemo
		Post-1L <i>Tagrisso</i> (platform) ORCHARD EGFR+multiple novel ONC therapies EGFRm NSCLC
		<i>Tagrisso</i> +savolitinib# SAVANNAH EGFR+MET advanced EGFRm NSCLC
		camizestrant+palbociclib SERENA-4 SERD+CDK4/6 1L HR+ HER2- breast cancer
		capivasertib#+abiraterone CAPItello-281 AKT+abiraterone PTEN deficient mHSPC
		capivasertib#+chemo CAPItello-290 AKT+chemotherapy mTNBC 1L
		capivasertib#+fulvestrant+palbociclib CAPItello-292 AKT+fulvestrant+CDK4/6 HR+ breast 1L
		capivasertib#+fulvestrant CAPItello-291 AKT+fulvestrant locally-advanced (inoperable) or metastatic breast
		datopotamab deruxtecan# TROPION-Lung01 TROP2 2L+ NSCLC without actionable mutation
		<i>Imfinzi</i> #+/-tremelimumab+chemo POSEIDON PD-L1+/-CTLA-4+SoC 1L NSCLC
		<i>Imfinzi</i> #+/-tremelimumab+CRT ADRIATIC PD-L1+/-CTLA-4+CRT LS-SCLC
		<i>Imfinzi</i> #+tremelimumab HIMALAYA PD-L1+CTLA-4 1L HCC
		<i>Imfinzi</i> #+tremelimumab+SoC NILE PD-L1+CTLA-4+SoC 1L urothelial cancer
		<i>Lynparza</i> #+ <i>Imfinzi</i> # DuO-E PARP+PD-L1 1L endometrial cancer
		<i>Lynparza</i> #+ <i>Imfinzi</i> #+bevacizumab DuO-O PARP+PD-L1+VEGF 1L ovarian
		monalizumab#+cetuximab (INTERLINK-1) NKG2a+EGFR 2L+ relapsed metastatic HNSCC
		Under Review 0 New Molecular Entities

Phase progressions based on first patient dose achievement.

¹ Includes novel combinations and additional indications for assets where the lead is not yet launched.

Partnered and/or in collaboration; [†]Registrational Phase II/III trial




Q2 2021 new molecular entity (NME)¹ pipeline


Phase I 11 New Molecular Entities	Phase II 22 New Molecular Entities	Phase III 6 New Molecular Entities	Under Review 2 New Molecular Entities
AZD0284 RORg psoriasis / respiratory	anifrolumab# Type I IFN receptor lupus nephritis	cotadutide GLP-1/glucagon T2D / obesity / NASH / DKD	AZD2816¶# next generation COVID-19 vaccine SARS-CoV-2 prevention of COVID-19
AZD0449 Inhaled JAK inhibitor asthma	anifrolumab# Type I IFN receptor SLE SC	MEDI3506 IL-33 diabetic kidney disease	AZD7442 long-acting antibody combination COVID-19
AZD2373 Podocyte health nephropathy	AZD1402# inhaled IL-4Ra asthma	MEDI3506 IL-33 AD / COPD / asthma / COVID-19	brazikumab¶ IL23 crohns disease
AZD2693 nonalcoholic steatohepatitis	AZD4831 MPO HFpEF	MEDI5884# cholesterol modulation cardiovascular	nirsevimab# RSV mAb-YTE passive RSV immunisation
AZD3366 CD39L3 CV disease	AZD5718 FLAP coronary artery disease / CKD	MEDI6570 LOX-1 CV disease	PT027# ICS/SABA asthma
AZD3427 Relaxin ThP CV disease	AZD7986# DPP1 COPD	MEDI7352 NGF/TNF OA pain / painful diabetic neuropathy	tezepelumab# WAYPOINT TSLP nasal polyps
AZD4041# orexin 1 receptor antagonist opioid use disorder	AZD8233 hypercholesterolemia cardiovascular	navafenterol# MABA COPD	
MEDI0618# PAR2 antagonist mAb osteoarthritis pain	AZD8601# VEGF-A cardiovascular	suvaratoxumab α-Toxin Staphylococcus pneumonia	
MEDI1341# alpha synuclein parkinson's disease	AZD9567 SGRM chronic inflammatory diseases	tezepelumab# COURSE TSLP COPD	
MEDI1814# amyloidβ alzheimer's disease	AZD9977+ <i>Farxiga</i> MCR+SGLT2 HF with CKD	verinurad URAT-1 CKD / HFpEF	
MEDI8367 avb8 chronic kidney disease	brazikumab IL23 ulcerative colitis	zibotentan+ <i>Farxiga</i> ZENITH-CKD ETA antagonist+SGLT2 CKD	

Phase progressions based on first patient dose achievement.

¹ Includes novel combinations and additional indications for assets where the lead is not yet launched

Partnered and/or in collaboration; ¶ Registrational Phase II/III trial

 BioPharmaceuticals

 Precision medicine approach being explored




Q2 2021 lifecycle management (LCM)¹ pipeline


Phase I 1 Projects	Phase II 9 Projects	Phase III 31 Projects	Under Review 0 Projects
<i>Enhertu</i> # (platform) DESTINY-Breast08 ADC breast	<i>Enhertu</i> # (platform) DESTINY-Breast07 ADC breast	<i>Calquence</i> # BTK inhibitor 1st line MCL	<i>Imfinzi</i> # CALLA PD-L1 adj. locally-advanced cervical cancer
	<i>Enhertu</i> # DESTINY-CRC-01 ADC colorectal cancer	<i>Calquence</i> # BTK inhibitor r/r CLL, high risk	<i>Imfinzi</i> # PEARL PD-L1 1L metastatic NSCLC
	<i>Enhertu</i> # DESTINY-Gastric02 ADC gastric	<i>Calquence</i> #+venetoclax+obinutuzumab BTK+BCL-2+anti-CD20 1st line CLL	<i>Imfinzi</i> # post-SBRT PACIFIC-4 PD-L1 post-SBRT stage III NSCLC
	<i>Enhertu</i> # DESTINY-Lung01 ADC NSCLC	<i>Calquence</i> +R-CHOP ESCALADE BTK+R-CHOP 1L DLBCL	<i>Imfinzi</i> # POTOMAC PD-L1 non muscle invasive bladder cancer
	<i>Enhertu</i> # DESTINY-PanTumor01 HER2 targeting ADC HER2-expressing solid tumours	<i>Enhertu</i> # DESTINY-Breast02 ADC breast	<i>Imfinzi</i> #+CRT KUNLUN PD-L1+CRT locally-advanced esophageal squamous cell carcinoma
	<i>Enhertu</i> # DESTINY-PanTumor02 HER2 targeting ADC HER2-expressing solid tumours	<i>Enhertu</i> # DESTINY-Breast03 ADC breast	<i>Imfinzi</i> #+CRT PACIFIC-2 PD-L1+CRT NSCLC
	<i>Imfinzi</i> # (platform) BEGONIA PD-L1 1L mTNBC	<i>Enhertu</i> # DESTINY-Breast04 ADC breast	<i>Imfinzi</i> #+CRT PACIFIC-5 (China) PD-L1+CRT locally-advanced stage III NSCLC
	<i>Imfinzi</i> # (platform) MAGELLAN PD-L1 1L mNSCLC	<i>Enhertu</i> # DESTINY-Breast05 ADC breast	<i>Imfinzi</i> #+CtX MERMAID-1 PD-L1 stage II-III adjuvant NSCLC
	<i>Lynparza</i> # (basket) MK-7339-002 / LYNK002 PARP HRRm cancer	<i>Enhertu</i> # DESTINY-Breast06 ADC breast	<i>Imfinzi</i> #+CtX neoadjuvant AEGEAN PD-L1+CtX locally-advanced stage II-III NSCLC
		<i>Enhertu</i> # DESTINY-Breast09 HER2 targeting ADC HER2+ breast cancer 1L	<i>Imfinzi</i> #+CtX NIAGARA PD-L1+CtX muscle invasive bladder cancer
		<i>Enhertu</i> # DESTINY-Gastric04 HER2 targeting ADC HER2+ gastric cancer 2L	<i>Imfinzi</i> #+CtX TOPAZ-1 PD-L1+CtX 1L biliary tract cancer
			<i>Imfinzi</i> #+FLOT MATTERHORN PD-L1+CtX neo-adjuvant/adjuvant gastric cancer
			<i>Imfinzi</i> #+VEGF EMERALD-2 PD-L1+VEGF adjuvant HCC
			<i>Imfinzi</i> #+VEGF+TACE EMERALD-1 PD-L1+VEGF+TACE locoregional HCC
			<i>Lynparza</i> # LYNK-003 PARP platinum sensitive 1L colorectal cancer
			<i>Lynparza</i> # OlympiA PARP gBRCA adjuvant breast
			<i>Lynparza</i> +abiraterone# PROpel PARP+NHA prostate cancer
			<i>Tagrisso</i> LAURA EGFRm locally-advanced unresectable NSCLC
			<i>Tagrisso</i> +/-CtX neoadjuvant NeoADAURA EGFR+/-CtX stage III/II resectable EGFRm NSCLC
			<i>Tagrisso</i> +chemo FLAURA2 EGFR+chemo 1L adv EGFRm NSCLC

Phase progressions based on first patient dose achievement.

¹ Includes significant LCM projects and parallel indications for assets beyond Phase III

Partnered and/or in collaboration; ¶ Registrational Phase II/III trial

 Oncology

 Precision medicine approach being explored



Q2 2021 lifecycle management (LCM)¹ pipeline

Phase I 0 Projects	Phase II 3 Projects	Phase III 10 Projects		Under Review 1 Project
	<i>Fasenra</i> ARROYO IL-5R chronic spontaneous urticaria	<i>Breztri</i> LABA/LAMA/ICS asthma	<i>Fasenra</i> NATRON IL-5R hypereosinophilic syndrome	<i>Fasenra</i> # OSTRO IL-5R nasal polyps
	<i>Fasenra</i> HILLIER IL-5R atopic dermatitis	<i>Farxiga/Forxiga</i> DAPA-MI SGLT2 prevention of HF and CV death following a myocardial infarction	<i>Fasenra</i> # RESOLUTE IL-5R COPD	
	roxadustat# HIF-PH inhibitor chemo induced anaemia	<i>Farxiga/Forxiga</i> DELIVER SGLT2 HFpEF	<i>Lokelma</i> DIALIZE-Outcomes potassium binder CV outcomes in patients on chronic hemodialysis with hyperkalemia	
		<i>Fasenra</i> FJORD IL-5R bullous pemphigoid	roxadustat# HIFPH anaemia MDS	
		<i>Fasenra</i> MANDARA IL-5R EGPA		
		<i>Fasenra</i> MESSINA IL-5R eosinophilic esophagitis		

Phase progressions based on first patient dose achievement.

¹ Includes significant LCM projects and parallel indications for assets beyond Phase III

Partnered and/or in collaboration; † Registrational Phase II/III trial



Estimated key regulatory submission acceptances

		NME		LCM		2022+	
		H2 2021	H1 2022	H2 2022	2022+		
NME	AZD7442 SARS-CoV-2	PT027 asthma			camizestrant + palbociclib breast cancer SERENA-4		
	COVID-19 Vaccine AstraZeneca SARS-CoV-2 (US)	<i>Imfinzi</i> + tremelimumab HCC HIMALAYA			capivasertib + abiraterone PTEN deficient mHSPC CAPItello-281	<i>Imfinzi</i> + tremelimumab + CRT LDS-SCLC ADRIATIC	
	<i>Imfinzi</i> +/- tremelimumab NSCLC POSEIDON	<i>Koselugo</i> NF1 (China / Japan) SPRINT			capivasertib + CTx 1L mTNBC CAPItello-290	<i>Imfinzi</i> + tremelimumab + SoC urothelial NILE	
					capivasertib + fulvestrant 2L locally advanced or mBC CAPItello-291	<i>Lynparza</i> + <i>Imfinzi</i> endometrial cancer DUO-E	brazikumab crohns disease INTREPID
					capivasertib + fulvestrant + palbociclib 1L locally advanced or mBC CAPItello-292	<i>Lynparza</i> + <i>Imfinzi</i> + bevacizumab ovarian DUO-O	<i>Fasenra</i> severe asthma (China)
					datopotamab deruxtecan NSCLC TROPION-Lung01	monalizumab + cetuximab 2L+ relapsed mHNSCC INTERLINK-1	tezepelumab nasal polyposis WAYPOINT
LCM					2022+		
		<i>Calquence</i> r/r CLL, high risk ELEVATE-RR	<i>Calquence</i> 1L CLL ELEVATE-TN (China)	<i>Enhertu</i> DESTINY-Breast02	<i>Calquence</i> + R-CHOP 1L DLBCL ESCALADE	<i>Imfinzi</i> + CRT LA ESCC KUNLUN	<i>Duaklir</i> Genuair COPD (China)
		<i>Enhertu</i> DESTINY-Breast03	<i>Enhertu</i> DESTINY-Breast04	<i>Imfinzi</i> cervical CALLA	<i>Calquence</i> + venetoclax + obinutuzumab 1L CLL AMPLIFY	<i>Imfinzi</i> + CRT neo-adjuvant/adjvant gastric MATTERHORN	<i>Farxiga</i> prevention of HF and CV death following a myocardial infarction DAPA-MI
		<i>Lynparza</i> breast OLYMPIA	<i>Imfinzi</i> + CRT NSCLC PACIFIC-2	<i>Imfinzi</i> NSCLC PEARL	<i>Calquence</i> 1L MCL ECHO	<i>Imfinzi</i> + CTx stage II-III adjuvant NSCLC MERMAID-1	<i>Fasenra</i> bullous pemphigoid FJORD
		<i>Lynparza</i> + abiraterone prostate PROPEL	<i>Farxiga</i> HF (HFpEF) DELIVER	<i>Imfinzi</i> + CTx biliary tract TOPAZ-1	<i>Enhertu</i> DESTINY-Breast05	<i>Imfinzi</i> + chemo muscle invasive bladder NIAGARA	<i>Breztri/Trixeo</i> asthma KALOS, LOGOS
			<i>Xigduo XR/Xigduo</i> type-2 diabetes (China)	<i>Fasenra</i> eosinophilic esophagitis MESSINA	<i>Enhertu</i> DESTINY-Breast06	<i>Imfinzi</i> post-SBRT NSCLC PACIFIC-4	<i>Fasenra</i> EGPA MANDARA
				roxadustat anemia in MDS	<i>Enhertu</i> DESTINY-Breast09	<i>Imfinzi</i> + CRT NSCLC PACIFIC-5 (China)	<i>Fasenra</i> HES NATRON
					<i>Enhertu</i> DESTINY-Gastric04	<i>Imfinzi</i> non muscle invasive bladder POTOMAC	<i>Fasenra</i> nasal polyps ORCHID (China / Japan)
					<i>Imfinzi</i> neoadjuvant NSCLC AEGEAN	<i>Tagrisso</i> + CTx EGFRm NSCLC FLAURA2	<i>Fasenra</i> COPD RESOLUTE
					<i>Imfinzi</i> adjuvant NSCLC BR.31	<i>Tagrisso</i> locally adv. unresectable NSCLC LAURA	Lokelma DIALIZE-Outcomes
					<i>Imfinzi</i> + VEGF + TACE locoregional HCC EMERALD-1	<i>Lynparza</i> platinum sensitive 1L colorectal LYNK-003	
					<i>Imfinzi</i> + VEGF adjuvant HCC EMERALD-2	<i>Tagrisso</i> stage II/III resectable EGFRm NSCLC NeoADAURA	

Note. NME section includes novel combinations and additional indications for assets where the lead is not yet launched



Designations

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Accelerated approvals

Lynparza ovarian cancer SOLO-2 (US)
Tagrisso EGFRm T790M NSCLC (US)
Imfinzi bladder cancer (US)
Calquence MCL (US)
Enhertu unresectable or HER2+ MBC 3L DESTINY-Breast01 (US)

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Breakthrough / PRIME¹ / Sakigake²

Tagrisso EGFRm T790M NSCLC (US)
Lynparza prostate cancer PROFOUND (US)
Imfinzi bladder cancer 1L (US)
Calquence MCL (US)
Imfinzi stage III NSCLC 1L PACIFIC (US)
Tagrisso NSCLC 1L FLAURA (US)
tezepelumab asthma (US)
nirsevimb RSV mAB (US)
nirsevimb RSV mAB (EU) ¹
selumetinib NFI type 1 SPRINT (US)
Enhertu DESINTY-BREAST01 (US)
Calquence CLL (US)
Enhertu gastric cancer (JP) ²
Enhertu HER2+/HER2low gastric 3L DESTINY-Gastric01 (US)
Enhertu HER2mut NSCLC 2L+ DESTINY-Lung01 (US)
Tagrisso adjuvant NSCLC ADAURA (US)
Forxiga CKD DAPA-CKD (US)
nirsevimb RSV mAB (CN)

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Fast Track

MEDI3902 Psl-PcrV pneumo Px (US)
savratouxmab Staph HAP (US)
Imfinzi NSCLC (US)
nirsevimb (MEDI8897) RSV mAB (US)
Imfinzi HNSCC HAWK (US)
anifrolumab SLE (US)
Lynparza ovarian cancer SOLO-2 (US)
Tagrisso EGFRm T790M NSCLC (CN)
Farxiga HF rEF (US)
Farxiga chronic kidney disease (US)
cotadutide non-alcoholic steatohepatitis (US)
Farxiga MI RRCT DAPA-MI (US)

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Priority Review

Tagrisso EGFRm T790M NSCLC (JP)
Tagrisso EGFRm T790M NSCLC (US)
Imfinzi bladder cancer 2L (US)
Tagrisso NSCLC AURA3 (US)
Calquence MCL (US)
Lynparza breast cancer OLYMPIAD (US)
roxadustat CKD (CN)
Tagrisso NSCLC FLAURA (US)
Imfinzi stage II NSCLC PACIFIC (EU)
Imfinzi stage II NSCLC PACIFIC (JP)
Lynparza tablet (US)
Lynparza tablet (CN)
Lynparza breast cancer OLYMPIAD (JP)
Tagrisso NSCLC 1L FLAURA (JP)
Lumoxiti HCL PLAIT (US)
Lynparza ovarian SOL O-1 (US)
Lynparza ovarian SOL O-1 (CN)
Bretrti Aerosphere (PT010) COPD (CN)
Tagrisso NSCLC 1L FLAURA (CN)
Bretrti Aerosphere (PT010) (CN)
Lokelma hyperkalaemia (CN)
Lynparza pancreatic 1L (US)
Enhertu DESINTY-BREAST01 (US)
Farxiga HF DAPA-HF (US)
Imfinzi +/-treme+SOC SCLC 1L CASPIAN (US)
Lynparza prostate PROFOUND (US)
Lynparza +Avastin ovarian 1L PAOLA-1 (US)
Koselugo/selumetinib NFI type 1 SPRINT (US)
Brilinta stroke THALES (US)
Imfinzi Q4W regimen NSCLC, bladder (US)
Tagrisso adjuvant NSCLC ADAURA (US)
Enhertu HER2+/HER2low gastric 3L DESTINY-Gastric01 (US)
Lynparza prostate PROFOUND (CN)
Forxiga CKD DAPA-CKD (US)
Forxiga CKD DAPA-CKD (JP)
tezepelumab asthma (NAVIGATOR)

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Orphan

Lynparza ovarian cancer SOLO-2 (US)
Lumoxiti HCL PLAIT (US)
Lumoxiti HCL PLAIT (EU)
Crestor paediatric (US)
cediranib VEGFRtki (US)
Iressa EGFRm NSCLC (US)
Tagrisso EGFRm T790M NSCLC (US)
AZD3241 MPO (EU)
Calquence CLL 1L (US)
Calquence MCL (US)
Calquence WM (US)
Calquence CLL 1L (EU)
selumetinib thyroid cancer ASTRA (US)
Lynparza breast cancer OLYMPIAD (JP)
Lynparza ovarian cancer SOLO-2 (JP)
Koselugo/selumetinib NFI type 1 SPRINT (US)
Koselugo/selumetinib NFI type 1 SPRINT (EU)
Lynparza pancreatic cancer POLO (US)
Fasentra EGPA (US)
Fasentra HES (US)
saracatinib IPF (US)
Imfinzi +/-treme+SOC SCLC 1L CASPIAN (US)
Fasentra EoE (US)
Imfinzi +treme HCC 1L HIMALAYA (US)
Lynparza pancreatic cancer POLO (JP)
Enhertu HER2+/HER2low gastric 3L DESTINY-Gastric01 (US)
Koselugo/selumetinib NFI type 1 SPRINT (JP)
Imfinzi+CTx biliary tract 1L TOPAZ-1 (US)
Imfinzi +/- tremelimumab HCC 1L HIMALAYA (EU)

ACCELERATED APPROVAL, these regulations allowed medicines for serious conditions that addressed an unmet medical need to be approved based on a surrogate endpoint.

BREAKTHROUGH DESIGNATION is a process designed to expedite the development and review of medicines which may demonstrate substantial improvement over available therapy. ¹PRIME is a scheme launched by the EMA to enhance support for the development of medicines that target an unmet medical need. ²SAKIGAKE is aimed at early introduction of innovative medicines, medical devices, etc. that are initially developed in Japan.

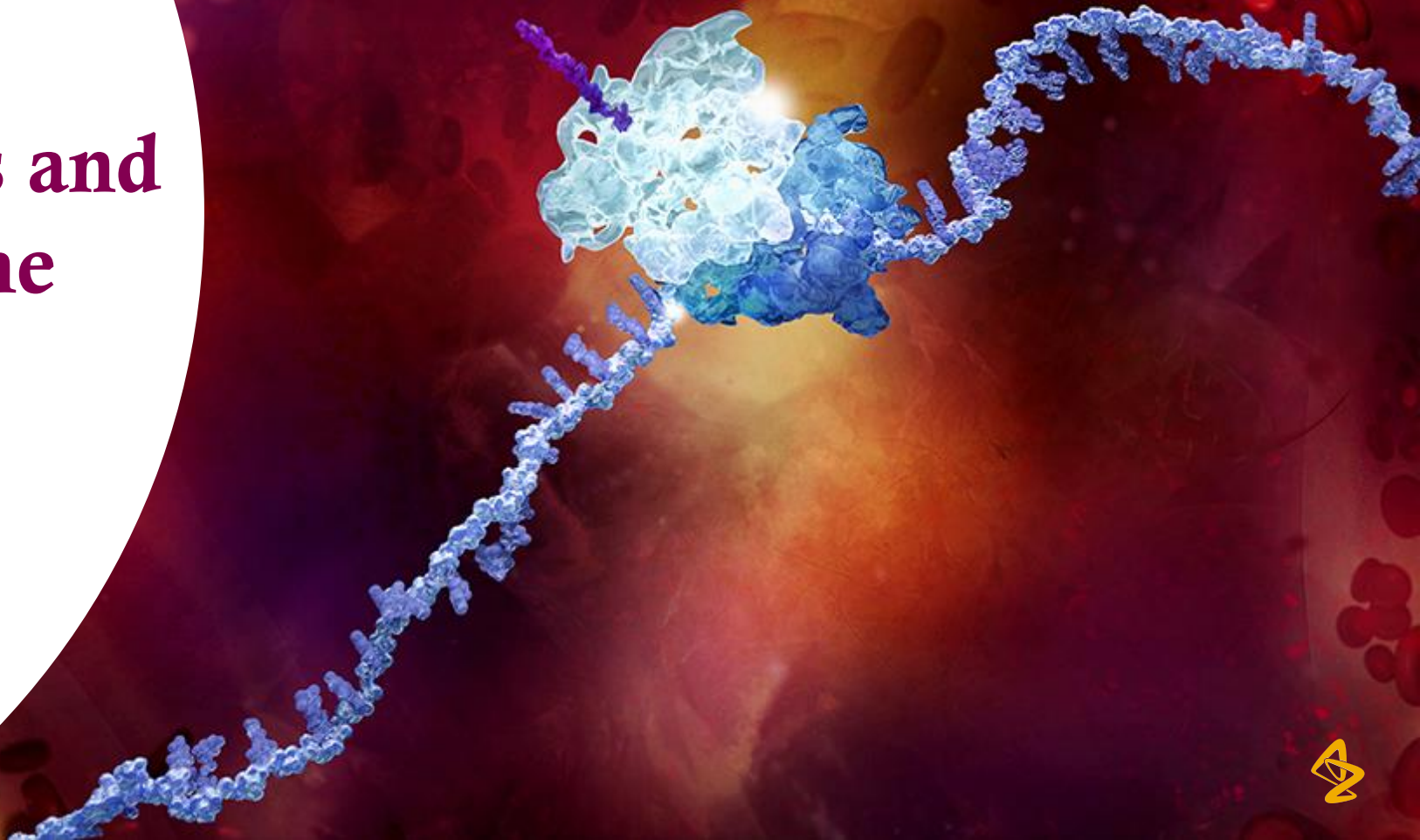
FAST TRACK is a process designed to facilitate the development, and expedite the review of medicines to treat serious conditions and fill an unmet medical need.

PRIORITY REVIEW DESIGNATION is the US FDA's goal to take action on an application within 6 months.

ORPHAN DRUG DESIGNATION, intended for treatment, diagnosis or prevention of rare diseases/disorders that affect fewer than 200,000 patients in the US, or that affect more than 200,000 patients but are not expected to recover the costs of developing and marketing a treatment drug.



**Oncology –
approved medicines and
late-stage pipeline**



Tagrisso (highly-selective, irreversible EGFRi)

NSCLC

Trial	Population	Patients	Design	Endpoints	Status
Phase III ADAURA NCT02511106	Adjuvant EGFRm NSCLC	682	<ul style="list-style-type: none"> Arm 1: <i>Tagrisso</i> QD following complete tumour resection, with or without chemo Arm 2: placebo Global trial - 25 countries	<ul style="list-style-type: none"> Primary endpoint: DFS Secondary endpoints: DFS Rate, OS, OS Rate, QoL 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q1 2019 Data readout: Q2 2020 Trial unblinded due to efficacy DFS primary endpoint met
Phase III LAURA NCT03521154	Maintenance therapy in patients with locally advanced, unresectable EGFRm Stage III NSCLC whose disease has not progressed following platinum-based chemoradiation therapy	200	<ul style="list-style-type: none"> Arm 1: <i>Tagrisso</i> Arm 2: placebo Global trial - 17 countries	<ul style="list-style-type: none"> Primary endpoint: PFS (BICR) Secondary endpoints: CNS PFS, OS, DoR, ORR, DCR 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: 2022+
Phase III ASTRIS NCT02474355	Real world setting in adult patients with advanced or metastatic, EGFRm T790M+ NSCLC	3020	<ul style="list-style-type: none"> Single-arm trial - <i>Tagrisso</i> Global trial - 16 countries	<ul style="list-style-type: none"> Primary endpoints: OS and safety Secondary endpoint: PFS 	<ul style="list-style-type: none"> FPCD: Q3 2015 LPCD: Q4 2017
Phase II ELIOS NCT03239340	EGFR TKI treatment-naïve patients with locally-advanced or metastatic EGFRm NSCLC	150	<ul style="list-style-type: none"> Single arm trial - <i>Tagrisso</i> Global trial - five countries	<ul style="list-style-type: none"> Primary Endpoint: proportion of patients with a given tumour genetic and proteomic marker at the point of disease progression as defined by the investigator Secondary endpoints: PFS, ORR, DoR 	<ul style="list-style-type: none"> FPCD: Q2 2018
Phase I ODIN-BM NCT03463525	Patients with EGFRm NSCLC with brain metastases	8	<ul style="list-style-type: none"> Single-arm trial - <i>Tagrisso</i> 	<ul style="list-style-type: none"> Primary Endpoints: assessments of brain standard uptake value (SUV) and pharmacokinetics (PK) Secondary endpoint: PK 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q1 2020 Data anticipated: H2 2021



Tagrisso (highly-selective, irreversible EGFRi)

NSCLC, combinations

Trial	Population	Patients	Design	Endpoints	Status
Phase III NeoADAURA NCT04351555	Neoadjuvant EGFRm NSCLC	351	<ul style="list-style-type: none"> Arm 1: placebo plus pemetrexed/carboplatin or pemetrexed/cisplatin Arm 2: <i>Tagrisso</i> plus pemetrexed/carboplatin or pemetrexed/cisplatin Arm 3: <i>Tagrisso</i> Global trial – 23 countries	<ul style="list-style-type: none"> Primary endpoint: mPR Secondary endpoints: cPR, EFS, DFS, OS 	<ul style="list-style-type: none"> FPCD Q1 2021 Data anticipated: 2022+
Phase III FLAURA2 NCT04035486	1st-line EGFRm NSCLC	586	<ul style="list-style-type: none"> Arm 1: <i>Tagrisso</i> plus pemetrexed/carboplatin or pemetrexed/cisplatin Arm 2: <i>Tagrisso</i> Global trial – 23 countries	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, LOS, ORR DoR, Depth of response, PFS2. QoL, PK 	<ul style="list-style-type: none"> FPCD: Q4 2019 Data anticipated: 2022+
Phase II SAVANNAH NCT03778229	EGFRm / MET+, locally advanced or metastatic NSCLC who have progressed following treatment with <i>Tagrisso</i>	259	<ul style="list-style-type: none"> Single arm trial: <i>Tagrisso</i> + <i>Orpathys</i> Global trial	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints include PFS, DoR and OS 	<ul style="list-style-type: none"> FPCD Q1 2019 Data anticipated: 2022+
Phase II ORCHARD NCT03944772	Advanced EGFRm NSCLC patients who have progressed on first line <i>Tagrisso</i> treatment	182	Modular design platform trial: <ul style="list-style-type: none"> Module 1: <i>Tagrisso</i> + <i>Orpathys</i> (cMET) Module 2: <i>Tagrisso</i> + gefitinib (EGFRm) Module 3: <i>Tagrisso</i> + necitumumab (EGFRm) Module 4: carboplatin + pemetrexed + <i>Imfinzi</i> Module 5: <i>Tagrisso</i> + alectinib (ALK) Module 6: <i>Tagrisso</i> + selpercatinib (RET fusion) No intervention: observational cohort Global trial - 8 countries	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: PFS, DoR, OS, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: 2022+



Imfinzi (PD-L1 mAb)

NSCLC, early disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III MERMAID-1 NCT04385368	Completely resected Stage II and III NSCLC	332	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + SoC chemo Arm 2: placebo + SoC chemo 	<ul style="list-style-type: none"> Primary endpoint: DFS Secondary endpoints: DFS, OS 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data anticipated: 2022+
Phase III MERMAID-2 NCT04642469	Completely resected Stage II-III NSCLC	284	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> Arm 2: placebo 	<ul style="list-style-type: none"> Primary endpoint: DFS Secondary endpoints: DFS, PFS, OS 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: 2022+
Phase III AEGEAN NCT03800134	Neoadjuvant NSCLC patients Stage II and III resected NSCLC (incl. EGFR/ALK positive)	800	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + platinum-based chemo Arm 2: placebo + platinum-based chemo 	<ul style="list-style-type: none"> Primary endpoints: pCR, EFS Secondary endpoint: mPR 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2022+
Phase III ADJUVANT BR.31 NCT02273375 Partnered	Adjuvant NSCLC patients Ib (≥4cm) – stage IIIa resected NSCLC (incl. EGFR/ALK positive)	1360	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> mg/kg i.v. Q4W x 12m Arm 2: placebo Global trial	<ul style="list-style-type: none"> Primary endpoint: DFS Secondary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q1 2015 LPCD: Q1 2020 Data anticipated: 2022+
Phase III PACIFIC-2 NCT03519971	Unresected, locally-advanced NSCLC	300	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> i.v. Q4W + chemo/RT Arm 2: placebo + chemo/RT ex US global trial	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, ORR 	<ul style="list-style-type: none"> FPCD: Q2 2018 LPCD: Q3 2019 Data anticipated: H2 2021
Phase III PACIFIC-4 NCT03833154	<i>Imfinzi</i> with SBRT in unresected, Stage I/II NSCLC	630	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> i.v. Q4W with definitive SBRT Arm 2: placebo with definitive SBRT 	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q2 2019 Data anticipated: 2022+
Phase III PACIFIC-5 NCT03706690	Unresected, locally-advanced NSCLC	360	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> i.v. Q4W following chemo/RT Arm 2: placebo following chemo/RT ex US global trial, China focus	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2022+



Imfinzi (PD-L1 mAb)

Lung cancer, early disease

Trial	Population	Patients	Design	Endpoints	Status
Phase II HUDSON NCT03334617	NSCLC, patients who progressed on an anti-PD-1/PD-L1 containing therapy	340	Open-label, biomarker-directed, multicentre trial <ul style="list-style-type: none"> Module 1: <i>Imfinzi</i> and <i>Lynparza</i> Module 2: <i>Imfinzi</i> and danvatirsen Module 3: <i>Imfinzi</i> and ceralasertib (AZD6738) Module 4: <i>Imfinzi</i> and vistusertib Module 5: <i>Imfinzi</i> and oleclumab Module 6: <i>Imfinzi</i> and <i>Enhertu</i> Module 7: <i>Imfinzi</i> and cediranib Module 8: ceralasertib 	<ul style="list-style-type: none"> Primary outcome: ORR Secondary outcomes: efficacy including OS, PFS, DCR, and safety and tolerability, DoR 	<ul style="list-style-type: none"> FPCD: Q1 2018 Data anticipated: 2022+
Phase II COAST NCT03822351	Stage III NSCLC unresectable	189	<ul style="list-style-type: none"> Arm A: <i>Imfinzi</i> Arm B: <i>Imfinzi</i> + oleclumab Arm C: <i>Imfinzi</i> + monalizumab 	<ul style="list-style-type: none"> Primary endpoint: OR per RECIST v1.1 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: H2 2021
Phase II NeoCOAST NCT03794544	Resectable, early-stage NSCLC	84	<ul style="list-style-type: none"> Arm A: <i>Imfinzi</i> Arm B: <i>Imfinzi</i> + oleclumab Arm C: <i>Imfinzi</i> + monalizumab Arm D: <i>Imfinzi</i> + danvatirsen 	<ul style="list-style-type: none"> Primary endpoint: Major pathological response rate 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: H2 2021
Phase I/II SCope-D1	NSCLC SCLC	124	Open-label, multicentre study to evaluate the safety, pharmacokinetics, and preliminary efficacy of subcutaneous <i>Imfinzi</i>	<ul style="list-style-type: none"> Primary endpoints: PK, safety 	<ul style="list-style-type: none"> FPCD: Q2 2021 Data anticipated: 2022+



Imfinzi (PD-L1 mAb) +/- treme (CTLA-4 mAb)

Lung cancer, advanced disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III PEARL NCT03003962	NSCLC 1L	650	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> Q4W Arm 2: chemotherapy Asia trial	<ul style="list-style-type: none"> Primary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q1 2017 LPCD: Q1 2019 Data anticipated: H1 2022
Phase III POSEIDON NCT03164616	NSCLC 1L	1000	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + chemo Arm 2: <i>Imfinzi</i> + tremelimumab + chemo Arm 3: SoC 	<ul style="list-style-type: none"> Primary endpoints: OS, PFS 	<ul style="list-style-type: none"> FPCD: Q2 2017 LPCD: Q4 2018 Data readout: Q4 2019 PFS primary endpoint met OS data readout: Q2 2021
Phase II/III Lung Master Protocol NCT02154490 Partnered	Stage IV squamous NSCLC patients Biomarker-targeted 2L therapy	140	<ul style="list-style-type: none"> Subtrial A: <i>Imfinzi</i> (non-match for other biomarker driven subtrials) i.v. Q2W single arm <i>Imfinzi</i> Phase II only Subtrial B: PI3K inhibitor vs. docetaxel Subtrial C: CDK4/6 inhibitor vs. docetaxel Subtrial D: AZD4547 (FGFR inhibitor) vs. docetaxel Subtrial E: cMET/HGFR Inhibitor + erlotinib vs. erlotinib 	<ul style="list-style-type: none"> Primary endpoints: ORR, PFS, OS 	<ul style="list-style-type: none"> FPCD: Q2 2014 Data anticipated: 2022+
Phase II MAGELLAN NCT03819465	NSCLC 1L	212	<ul style="list-style-type: none"> Arm A1: <i>Imfinzi</i> Arm A2: <i>Imfinzi</i> + danvatirsen Arm A3: <i>Imfinzi</i> + oleclumab Arm A3: MEDI5752 Arm B1: <i>Imfinzi</i> + Investigator's choice of chemo Arm B2: <i>Imfinzi</i> + danvatirsen + Investigator's choice of chemo Arm B3: <i>Imfinzi</i> + oleclumab + Investigator's choice of chemo Arm B4: MEDI5752 	<ul style="list-style-type: none"> Primary endpoints: safety & tolerability Secondary endpoints: ORR, DoR, PFS, OS, PK, ADA 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2022+
Phase Ib Study 006 NCT02000947	NSCLC (IO naïve and IO pretreated patient cohorts)	459	Dose escalation: <ul style="list-style-type: none"> Minimum 5 cohorts exploring various treme Q4W and <i>Imfinzi</i> i.v. Q4W dose combinations, higher dose levels and alternate Q2 schedule added with amendment Dose expansion: <ul style="list-style-type: none"> MTD for the combination in escalation to be explored in expansion North American, EU and ROW trial centres 	<ul style="list-style-type: none"> Primary endpoints: safety, Optimal biologic dose for the combination, OR Secondary endpoints include: antitumour activity, PK and immunogenicity 	<ul style="list-style-type: none"> FPCD: Q4 2013 LPCD: Q4 2016 Data readout: Q3 2020



Imfinzi (PD-L1 mAb) +/- treme (CTLA-4 mAb)

SCLC, advanced disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III ADRIATIC NCT03703297	Limited stage SCLC 1L following platinum-based concurrent chemoradiation therapy	600	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab (4 doses) Arm 2: <i>Imfinzi</i> Arm 3: placebo 	<ul style="list-style-type: none"> Primary endpoints: PFS, OS 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: H2 2022
Phase III CASPIAN NCT03043872	Extensive stage SCLC 1L	805	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab + EP (carboplatin or cisplatin + etoposide) Arm 2: <i>Imfinzi</i> + EP (carboplatin or cisplatin + etoposide) Arm 3: EP (carboplatin or cisplatin + etoposide) 	<ul style="list-style-type: none"> Primary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q1 2017 LPCD: Q2 2018 Data readout: Q2 2019 OS Primary endpoint met for <i>Imfinzi</i> monotherapy OS primary endpoint not met for <i>Imfinzi</i> + tremelimumab
Phase II BALTIC NCT02937818	SCLC	72	<ul style="list-style-type: none"> Arm A: <i>Imfinzi</i> + tremelimumab Q4W Arm B: adavosertib and carboplatin BID Arm C: ceralasertib and <i>Lynparza</i> 	<ul style="list-style-type: none"> Primary endpoint: ORR 	<ul style="list-style-type: none"> FPCD: Q4 2016 Data anticipated: H2 2021



Imfinzi (PD-L1 mAb)

Other cancers, early disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III POTOMAC NCT03528694	Non-muscle invasive bladder cancer	1018	<ul style="list-style-type: none"> Arm 1: BCG (Induction + maintenance) Arm 2: <i>Imfinzi</i> + BCG (Induction only) Arm 3: <i>Imfinzi</i> + BCG (Induction + maintenance) 	<ul style="list-style-type: none"> Primary endpoint: DFS 	<ul style="list-style-type: none"> FPCD: Q2 2018 LPCD: Q432020 Data anticipated: 2022+
Phase III NIAGARA NCT03732677	Muscle-invasive bladder cancer	1050	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> in combination with gemcitabine + cisplatin, <i>Imfinzi</i> maintenance Arm 2: gemcitabine + cisplatin 	<ul style="list-style-type: none"> Copriamary endpoints: pCR , EFS 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q3 2021 Data anticipated: 2022+
Phase III EMERALD-1 NCT03778957	Locoregional HCC	710	<ul style="list-style-type: none"> Arm 1: TACE in combination with <i>Imfinzi</i> Arm 2: TACE in combination with <i>Imfinzi</i> + bevacizumab Arm 3: TACE in combination with placebo 	<ul style="list-style-type: none"> Primary endpoint: PFS for Arm 1 vs Arm 3 Secondary endpoint: PFS for Arm 2 vs Arm 3 , OS 	<ul style="list-style-type: none"> FPCD: Q1 2019 LPCD: Q3 2021 Data anticipated: H2 2022
Phase III EMERALD-2 NCT03847428	Adjuvant therapy in HCC	888	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + bevacizumab Arm 2: <i>Imfinzi</i> + placebo Arm 3: placebo + placebo 	<ul style="list-style-type: none"> Primary endpoint: RFS for Arm 2 vs Arm 3 Secondary endpoints: RFS Arm 1 vs Arm 3, OS, RFS at 24m 	<ul style="list-style-type: none"> FPCD: Q2 2019 Data anticipated: 2022+
Phase III KUNLUN NCT04550260	Locally advanced, unresectable ESCC	600	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + definitive CRT Arm 2: placebo + definitive CRT 	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: 2022+
Phase III MATTERHORN NCT04592913	Resectable GC/GEJC	900	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + FLOT Arm 2: placebo + FLOT 	<ul style="list-style-type: none"> Primary endpoint: EFS Secondary endpoints: OS Arm 1 vs Arm 2, pCR Arm 1 vs Arm 2 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: 2022+
Phase Ib/II COLUMBIA 1 NCT04068610	1L metastatic MSS-CRC	112	<ul style="list-style-type: none"> Part 1 S1 FOLFOX + bevacizumab + <i>Imfinzi</i> + oleclumab Part 2 Control 1 FOLFOX + bevacizumab Part 2 E1 FOLFOX + bevacizumab + <i>Imfinzi</i> + oleclumab 	<ul style="list-style-type: none"> Primary endpoints: <ul style="list-style-type: none"> Part 1: safety Part 2: efficacy (OR) Secondary endpoints: <ul style="list-style-type: none"> Part 1: efficacy (OR), BOR, DoR, PFS Part 2: safety and efficacy (BOR, DoR, DC, PFS, OS) 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: 2022+



Imfinzi (PD-L1 mAb) +/- treme (CTLA-4 mAb)

Other cancers, advanced disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III NILE NCT03682068	Bladder cancer 1L	1215	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab + SoC Arm 2: <i>Imfinzi</i> + SoC Arm 3: SoC 	<ul style="list-style-type: none"> Primary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q2 2021 Data anticipated: 2022+
Phase III HIMALAYA NCT03298451	HCC 1L	1324	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + tremelimumab Arm 2: <i>Imfinzi</i> Arm 3: sorafenib 	<ul style="list-style-type: none"> Primary endpoint: OS Secondary endpoints: PFS, TTP, ORR 	<ul style="list-style-type: none"> FPCD: Q4 2017 LPCD: Q4 2019 Data anticipated: H2 2021
Phase III TOPAZ-1 NCT03875235	BTC 1L	757	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + gemcitabine + cisplatin Arm 2: placebo + gemcitabine + cisplatin Global trial	<ul style="list-style-type: none"> Primary endpoint: OS Secondary endpoints: PFS, ORR, DoR 	<ul style="list-style-type: none"> FPCD Q2 2019 LPCD: Q4 2020 Data anticipated: H2 2022
Phase II NCT02527434	Urothelial bladder cancer triple-negative breast cancer pancreatic ductal-adenocarcinoma	76	<ul style="list-style-type: none"> Arm 1: tremelimumab (urothelial bladder cancer) Arm 2: tremelimumab (triple-negative breast cancer) Arm 3: tremelimumab (pancreatic ductal-adenocarcinoma) 	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: safety, DoR 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2016 Data readout: Q4 2018
Phase III CALLA NCT03830866	Locally advanced cervical cancer	714	<ul style="list-style-type: none"> Arm 1 <i>Imfinzi</i> + EBRT + brachytherapy with platinum Arm 2 placebo + EBRT + brachytherapy with platinum Global trial	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, CR rate, DoR, ORR, safety/tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2019 LPCD: Q4 2020 Data anticipated: H1 2022
Phase Ib/II Study 22 NCT02519348	Hepatocellular carcinoma	545	<ul style="list-style-type: none"> Arm A: <i>Imfinzi</i> + tremelimumab Arm B: <i>Imfinzi</i> 2L Arm C: tremelimumab 2L Arm D: <i>Imfinzi</i> + tremelimumab Arm E: <i>Imfinzi</i> in combination with bevacizumab 	<ul style="list-style-type: none"> Primary endpoints: safety & tolerability, DLTs Secondary endpoints: ORR, DoR, OS 	<ul style="list-style-type: none"> FPCD: Q4 2015 Data anticipated: H2 2020



Imfinzi (PD-L1 mAb) +/- treme (CTLA-4 mAb)

Other cancers, advanced disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III STRONG NCT03084471	Advanced solid malignancies	1200	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> Arm 2: <i>Imfinzi</i> + tremelimumab 	<ul style="list-style-type: none"> Primary endpoint: safety 	<ul style="list-style-type: none"> FPCD: Q2 2017 Data anticipated: 2022+
Phase II BEGONIA NCT03742102	mTNBC 1L	220	<ul style="list-style-type: none"> Arm 1 <i>Imfinzi</i> + paclitaxel Arm 2 <i>Imfinzi</i> + paclitaxel + capivasertib Arm 4 <i>Imfinzi</i> + paclitaxel + danvatirsen Arm 5 <i>Imfinzi</i> + paclitaxel + oleclumab Arm 6 <i>Imfinzi</i> + <i>Enhertu</i> Arm 7 <i>Imfinzi</i> + datopotamab deruxtecan Global trial	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: ORR, PFS, DoR, OS, PK, ADA 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2022+
Phase I/II Study 1108 NCT01693562	Solid tumours	1022	Dose escalation: 5 cohorts at Q2W and 1 cohort at Q3W Dose expansion: 16 tumour type cohorts at the Q2W MTD defined during dose escalation Dose exploration: cohort at 20mg Q4W Global trial - nine countries	<ul style="list-style-type: none"> Primary endpoints: safety, optimal biologic dose Secondary endpoints include: PK, immunogenicity and antitumour activity 	<ul style="list-style-type: none"> FPCD: Q3 2012 LPCD: Q4 2016 Data readout: Q2 2020
Phase I CLOVER NCT03509012	HNSCC, NSCLC, SCLC	167	<i>Imfinzi</i> +/- treme in combination with chemoradiation in advanced solid tumours <ul style="list-style-type: none"> HNSCC Arm 1 NSCLC Arm 1 NSCLC Arm 2 NSCLC Arm 3 SCLC Arm 2 SCLC Arm 3 SCLC Arm 4 	<ul style="list-style-type: none"> Primary endpoint: safety 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: H2 2021



Lynparza (PARP inhibitor)

Multiple cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase III OlympiA NCT02032823 Partnered	BRCAm adjuvant breast cancer	1836	<ul style="list-style-type: none"> Arm 1: <i>Lynparza</i> BID 12-month duration Arm 2: placebo 12-month duration Global trial partnership with Breast International Group and National Cancer Institute/NRG Oncology	<ul style="list-style-type: none"> Primary endpoint: invasive disease-free survival (iDFS) Secondary endpoint: distant disease-free survival and OS 	<ul style="list-style-type: none"> FPCD: Q2 2014 LPCD: Q2 2019 Data readout: Q1 2021 Primary endpoint met



Lynparza (PARP inhibitor)

Other combinations

Trial	Population	Patients	Design	Endpoints	Status
Phase III PROpel NCT03732820	Metastatic castration-resistant prostate cancer 1L	904	<ul style="list-style-type: none"> Arm 1: <i>Lynparza</i> + abiraterone Arm 2: placebo + abiraterone Global trial	<ul style="list-style-type: none"> Primary Endpoint: rPFS Secondary endpoints: TFST, TTPP, OS 	<ul style="list-style-type: none"> FPCD: Q4 2018 FPCD: Q2 2020 Data anticipated: H2 2021
Phase III LYNK-003 NCT04456699 Partnered	Advanced colorectal cancer 1L maintenance	525	<ul style="list-style-type: none"> Arm 1: bevacizumab + 5-FU maintenance Arm 2: bevacizumab + <i>Lynparza</i> maintenance Arm 3: <i>Lynparza</i> maintenance Global trial	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, ORR, DoR, AEs 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data anticipated: 2022+
Phase II/III GY005 NCT02502266 Externally sponsored	Recurrent platinum resistant/refractory ovarian cancer	680	<ul style="list-style-type: none"> Arm 1: chemo Arm 2: cediranib + <i>Lynparza</i> Arm 3: cediranib Arm 4: <i>Lynparza</i> US, Canada	<ul style="list-style-type: none"> Primary endpoints: PFS, OS Secondary endpoints: ORR, QoL, safety 	<ul style="list-style-type: none"> FPCD: Q2 2016 Data anticipated: 2022+
Phase II LYNK-002 NCT03742895 Partnered	HRRm or HRD-positive advanced cancer	390	<ul style="list-style-type: none"> Arm 1: <i>Lynparza</i> Global trial	<ul style="list-style-type: none"> Primary endpoints: ORR Secondary endpoints: DOR, OS, PFS, AE, Prog by CA-125 	<ul style="list-style-type: none"> FPCD: Q1 2019



Lynparza (PARP inhibitor)

Imfinzi combinations

Trial	Population	Patients	Design	Endpoints	Status
Phase III DuO-O NCT03737643	Advanced ovarian cancer 1L	1,256	Non tBRCAm (tumour BRCA) patients <ul style="list-style-type: none"> Arm 1: bevacizumab Arm 2: bevacizumab + <i>Imfinzi</i> Arm 3: bevacizumab + <i>Imfinzi</i> + <i>Lynparza</i> tBRCAm patients <ul style="list-style-type: none"> bevacizumab (optional) + <i>Imfinzi</i> + <i>Lynparza</i> Global trial	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, PFS2 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2022+
Phase III DuO-E NCT04269200	Advanced and recurrent endometrial cancer 1L	699	<ul style="list-style-type: none"> Arm 1: chemo + <i>Imfinzi</i> placebo followed by <i>Imfinzi</i> placebo and <i>Lynparza</i> placebo Arm 2: chemo + <i>Imfinzi</i> followed by <i>Imfinzi</i> + <i>Lynparza</i> placebo Arm 3: chemo + <i>Imfinzi</i> followed by <i>Imfinzi</i> + <i>Lynparza</i> Global Trial	<ul style="list-style-type: none"> Primary endpoint PFS Secondary endpoints: OS, PFS2, ORR, DoR 	<ul style="list-style-type: none"> FPCD: Q2 2020 Data anticipated: 2022+
Phase II ORION NCT03775486	Stage IV NSCLC whose disease has not progressed following SoC chemo + <i>Imfinzi</i> Maintenance therapy 1L	250	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + <i>Lynparza</i> Arm 2: <i>Imfinzi</i> + placebo Global trial	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, ORR, DoR, PFS in HRRm, PK, ADA 	<ul style="list-style-type: none"> FPCD Q1 2019 Data readout: Q2 2021
Phase II BAYOU NCT03459846	Platinum-Ineligible unresectable Stage IV urothelial cancer	154	<ul style="list-style-type: none"> Arm 1: <i>Imfinzi</i> + <i>Lynparza</i> Arm 2: <i>Imfinzi</i> + placebo Global trial	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: OS, DoR, ORR, PFS in HRRm, PFS6, PK, ADA, PRO 	<ul style="list-style-type: none"> FPCD: Q2 2018 LPCD: Q3 2019 Data readout : Q2 2021
Phase I / II MEDIOLA NCT02734004	gBRCAm ovarian cancer 2L+ gBRCAm HER2-negative breast cancer 1-3L SCLC 2L+ Gastric cancer 2L+	148	Dose until progression <ul style="list-style-type: none"> Arm 1: <i>Lynparza</i> + <i>Imfinzi</i> Global trial	<ul style="list-style-type: none"> Primary endpoints: DCR at 12 weeks, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q2 2016 LPCD: Q2 2017
Phase I / II MEDIOLA (Ovarian expansion) NCT02734004	gBRCAm ovarian cancer 2L+ Non-gBRCAm ovarian cancer 2L+ Non-gBRCAm ovarian cancer 2L+	115	Dose until progression <ul style="list-style-type: none"> Arm 1: <i>Lynparza</i> + <i>Imfinzi</i> Arm 2: <i>Lynparza</i> + <i>Imfinzi</i> Arm 3: <i>Lynparza</i> + <i>Imfinzi</i> + bevacizumab Global trial	<ul style="list-style-type: none"> Primary endpoints: DCR at 12 weeks, ORR, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q2 2018 LPCD: Q2 2020



Enhertu (trastuzumab deruxtecan, HER2 ADC)

Breast cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase III DESTINY-Breast02 NCT03523585	HER2-positive, unresectable and/or metastatic breast cancer pretreated with prior standard of care HER2 therapies, including trastuzumab emtansine	600	Randomised open label parallel assignment <ul style="list-style-type: none"> • <i>Enhertu</i> • Physicians choice of lapatinib + capecitabine or trastuzumab + capecitabine 	<ul style="list-style-type: none"> • Primacy endpoint: PFS • Secondary endpoints: OS, ORR, DoR, CBR 	<ul style="list-style-type: none"> • FPCD: Q3 2018 • LPCD: Q4 2020 • Data anticipated: H2 2022
Phase III DESTINY-Breast03 NCT03529110	HER2-positive, unresectable and/or metastatic breast cancer previously treated with trastuzumab and taxane	500	Randomised open label parallel assignment <ul style="list-style-type: none"> • <i>Enhertu</i> • Ado-trastuzumab emtansine 	<ul style="list-style-type: none"> • Primary endpoint: PFS • Secondary endpoints: OS, ORR, DoR, CBR 	<ul style="list-style-type: none"> • FPCD: Q3 2018 • LPCD: Q2 2020 • Data anticipated: H2 2021
Phase III DESTINY-Breast04 NCT03734029	HER2-low, unresectable and/or metastatic breast cancer patients	540	Randomised open label parallel assignment <ul style="list-style-type: none"> • <i>Enhertu</i> • Physicians choice of SoC chemo (choice of capecitabine, eribulin, gemcitabine, paclitaxel or nab-paclitaxel) 	<ul style="list-style-type: none"> • Primary end point: PFS • Secondary end points: OS, DoR, ORR 	<ul style="list-style-type: none"> • FPCD: Q4 2018 • LPCD: Q4 2020 • Data anticipated: H1 2022
Phase III DESTINY-Breast05 NCT04622319	High-risk HER2-positive patients with residual invasive breast cancer following neoadjuvant therapy	1,600	Randomised open label parallel assignment <ul style="list-style-type: none"> • <i>Enhertu</i> • Ado-trastuzumab emtansine 	<ul style="list-style-type: none"> • Primary endpoint: IDFS • Secondary endpoints: DFS, OS, DRFI, BMFI 	<ul style="list-style-type: none"> • FPCD: Q4 2020 • Data anticipated: 2022+
Phase III DESTINY-Breast06 NCT04494425	HER2-Low, HR+ breast cancer patients whose disease has progressed on endocrine therapy in the metastatic setting	850	Randomised open label parallel assignment <ul style="list-style-type: none"> • <i>Enhertu</i> • Investigator's choice standard of care chemotherapy (capecitabine, paclitaxel, nab-paclitaxel) 	<ul style="list-style-type: none"> • Primary endpoint: PFS • Secondary endpoints: OS, DoR, ORR 	<ul style="list-style-type: none"> • FPCD Q3 2020 • Data anticipated: 2022+
Phase III DESTINY-Breast09 NCT04784715	HER2-positive, metastatic breast cancer, no prior therapy for advanced or metastatic disease	1134	Randomised, parallel assignment <ul style="list-style-type: none"> • <i>Enhertu</i> + placebo • <i>Enhertu</i> + pertuzumab • Standard of Care 	<ul style="list-style-type: none"> • Primary endpoint: PFS • Secondary endpoints: OS, DoR, ORR 	<ul style="list-style-type: none"> • FPCD: Q2 2021 • Data anticipated: 2022+



Enhertu (trastuzumab deruxtecan, HER2 ADC)

Breast cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase Ib/II DESTINY-Breast07 NCT04538742	HER2-positive metastatic breast cancer	350	Randomised open label sequential assignment <ul style="list-style-type: none"> • <i>Enhertu</i> • <i>Enhertu</i> + <i>Imfinzi</i> • <i>Enhertu</i> + pertuzumab • <i>Enhertu</i> + paclitaxel • <i>Enhertu</i> + <i>Imfinzi</i> + paclitaxel • <i>Enhertu</i> + tucatinib 	<ul style="list-style-type: none"> • Primary endpoints: AE, SAE • Secondary endpoints: ORR, PFS, DoR, OS 	<ul style="list-style-type: none"> • FPCD: Q1 2021 • Data anticipated: 2022+
Phase Ib DESTINY-Breast08 NCT04556773	HER2-low metastatic breast cancer	185	Non-Randomised open label parallel assignment <ul style="list-style-type: none"> • <i>Enhertu</i> + capecitabine • <i>Enhertu</i> + <i>Imfinzi</i> + paclitaxel • <i>Enhertu</i> + capivasertib • <i>Enhertu</i> + anastrozole • <i>Enhertu</i> + <i>Faslodex</i> 	<ul style="list-style-type: none"> • Primary endpoints: AE, SAE • Secondary endpoints: ORR, PFS, DoR, OS 	<ul style="list-style-type: none"> • FPCD: Q1 2021 • Data anticipated: 2022+



Enhertu (trastuzumab deruxtecan, HER2 ADC)

Gastric cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase III DESTINY-Gastric04 NCT04704934	HER2-positive gastric cancer or gastro-esophageal junction adenocarcinoma patients who have progressed on or after a trastuzumab-containing regimen and have not received any additional systemic therapy	490	Open label randomised parallel group assignment <ul style="list-style-type: none"> • <i>Enhertu</i> • SoC chemo 	<ul style="list-style-type: none"> • Primary endpoint: OS • Secondary endpoints: ORR, DoR, PFS, DcR, safety 	<ul style="list-style-type: none"> • FPCD: Q2 2021 • Data anticipated: 2022+
Phase II DESTINY-Gastric01 NCT03329690	HER2-overexpressing advanced gastric or gastroesophageal junction adenocarcinoma patients who have progressed on two prior treatment regimens	233	Randomised open label parallel assignment <ul style="list-style-type: none"> • <i>Enhertu</i> • SoC chemo • Two additional open label patient cohorts with lower levels of HER2 expression Japan and Korea 	<ul style="list-style-type: none"> • Primary endpoint: ORR • Secondary endpoints: PFS, OS, DoR, DCR, TTF, range of PK endpoints 	<ul style="list-style-type: none"> • FPCD: Q4 2017 • LPCD: Q2 2019 • Data readout: Q1 2020 • Primary endpoint met
Phase II DESTINY-Gastric02 NCT04014075	HER2-positive gastric cancer that cannot be surgically removed or has spread	79	Open label single group assignment <ul style="list-style-type: none"> • <i>Enhertu</i> Western population	<ul style="list-style-type: none"> • Primary endpoint: ORR • Secondary endpoints: PFS, ORR, OS, DoR 	<ul style="list-style-type: none"> • FPCD: Q4 2019 • LPCD: Q4 2020 • Data anticipated: H2 2021
Phase Ib/II DESTINY-Gastric03 NCT04379596	HER2-overexpressing gastric or gastroesophageal junction cancer patients	250	Open label parallel assignment <p>Part 1: To determine recommended Phase II combination dose</p> <ul style="list-style-type: none"> • 5 Arms combine <i>Enhertu</i> with standard of care chemotherapies (5-FU, capecitabine, oxaliplatin) and / or durvalumab <p>Part 2: To assess efficacy of the selected combinations</p> <ul style="list-style-type: none"> • Arm 2A: Standard chemotherapy (control) • Arm 2B: <i>Enhertu</i> monotherapy • Arm 2C: <i>Enhertu</i> with chemotherapy • Arm 2D: <i>Enhertu</i> with chemotherapy and durvalumab Global trial 8 countries	<ul style="list-style-type: none"> • Part 1 Primary endpoint: safety • Part 2 Primary endpoint: ORR • Secondary end points: DoR, DCR, PFS, OS, range of PK endpoints, ADAs 	<ul style="list-style-type: none"> • FPCD: Q2 2020



Enhertu (trastuzumab deruxtecan, HER2 ADC)

Other cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase II DESTINY-Lung01 NCT03505710	HER2-over-expressing or mutated, unresectable and/or metastatic NSCLC	170	Non randomised parallel group assignment <ul style="list-style-type: none"> • <i>Enhertu</i> 	<ul style="list-style-type: none"> • Primary endpoint: ORR • Secondary endpoints: DoR, PFS, OS 	<ul style="list-style-type: none"> • FPCD: Q2 2018 • Data anticipated: H2 2021
Phase II DESTINY-Lung02 NCT04644237	HER2-Mutated, Unresectable and/or Metastatic NSCLC	150	Randomised parallel group assignment <ul style="list-style-type: none"> • Arm 1: <i>Enhertu</i> 6.4 mg/kg • Arm 2: <i>Enhertu</i> 5.4mg/kg 	<ul style="list-style-type: none"> • Primary endpoint: ORR • Secondary endpoints: DoR, DCR, PFS, OS, PK 	<ul style="list-style-type: none"> • FPCD: Q1 2021
Phase Ib DESTINY-Lung03 NCT04686305	HER2-over-expressing, unresectable and/or metastatic NSCLC	120	Non randomised parallel group assignment <ul style="list-style-type: none"> • Arm 1: <i>Enhertu</i> + cisplatin + <i>Imfinzi</i> • Arm 2: <i>Enhertu</i> + carboplatin + <i>Imfinzi</i> • Arm 3: <i>Enhertu</i> + pemetrexed + <i>Imfinzi</i> • Arm 4: <i>Enhertu</i> + <i>Imfinzi</i> 	<ul style="list-style-type: none"> • Primary endpoint: safety • Secondary endpoints: ORR, DoR, DCR, PFS, OS, range of PK endpoints 	<ul style="list-style-type: none"> • Initiating



Enhertu (trastuzumab deruxtecan, HER2 ADC)

Other cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase II DESTINY-PanTumour02 NCT04482309	HER2 expressing tumours	280	Non randomised single group assignment • <i>Enhertu</i>	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: DoR, DCR, PFS, OS 	<ul style="list-style-type: none"> FPCD: Q4 2020
Phase II DESTINY-PanTumour01 NCT04639219	HER2m expressing tumours	100	Non-randomised single group assignment • <i>Enhertu</i>	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: DoR, DCR, PFS, PK 	<ul style="list-style-type: none"> FPCD: Q1 2021
Phase II DESTINY-CRC02 NCT04744831	HER2-overexpressing advanced or metastatic colorectal cancer	120	Randomised parallel group assignment • Arm 1: <i>Enhertu</i> 6.4 mg/kg • Arm 2: <i>Enhertu</i> 5.4mg/kg	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoint: PFS, OS, DoR, range of PK endpoints 	<ul style="list-style-type: none"> FPCD: Q1 2021
Phase I J101 NCT02564900	Advanced solid malignant tumours	278	Non randomised single group assignment • <i>Enhertu</i>	<ul style="list-style-type: none"> Primary endpoints: ORR, number of subjects with AEs, tumour response Secondary endpoints PK 	<ul style="list-style-type: none"> FPCD: Q3 2015 Data readout: Q3 2018
Phase I U106 NCT04042701	HER2-expressing locally advanced/metastatic breast or NSCLC	115	Non randomised parallel group assignment • <i>Enhertu</i> + pembrolizumab Global trial 2 countries	<ul style="list-style-type: none"> Primary endpoints: DLT, ORR Secondary endpoints: DoR, DCR, PFS, TTR, OS 	<ul style="list-style-type: none"> FPCD: Q2 2020
Phase I U105 NCT03523572	HER2-expressing breast and urothelial cancer	99	Non randomised sequential assignment • <i>Enhertu</i> + nivolumab Global trial 7 countries	<ul style="list-style-type: none"> Primary endpoints: DLT, ORR, TEAEs Secondary endpoints: DoR, DCR, PFS, TTR, OS, ORR (investigator) 	<ul style="list-style-type: none"> FPCD: Q3 2018 Data anticipated H2 2021



Calquence (BTK inhibitor)

Blood cancers

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoint(s)	Status
Phase III ACE-CL-007 (ELEVATE-TN) NCT02475681	Previously untreated CLL	535	<ul style="list-style-type: none"> Arm A: chlorambucil + obinutuzumab Arm B: <i>Calquence</i> + obinutuzumab Arm C: <i>Calquence</i> 	<ul style="list-style-type: none"> Primary endpoint: PFS (Arm A vs. Arm B) Secondary endpoints: IRC (independent review committee) assessed ORR, OS (Arm A vs. Arm B vs. Arm C) 	<ul style="list-style-type: none"> FPCD: Q2 2015 Data readout: Q2 2019 Primary endpoint met
Phase III ACE-CL-311 NCT03836261	Previously untreated CLL fit	780	<ul style="list-style-type: none"> Arm A: <i>Calquence</i> + venetoclax Arm B: <i>Calquence</i> + venetoclax + obinutuzumab Arm C: FCR or BR 	<ul style="list-style-type: none"> Primary endpoint: IRC PFS (A vs C) Secondary endpoint: IRC PFS (B vs C); INV PFS (A vs C; B vs C) 	<ul style="list-style-type: none"> FPCD: Q1 2019 Data anticipated: 2022+
Phase III ACE-CL-309 (ASCEND) NCT02970318	Relapsed/refractory CLL	306	<ul style="list-style-type: none"> Arm A: <i>Calquence</i> Arm B: rituximab + idelalisib or bendamustine (investigator's choice) 	<ul style="list-style-type: none"> Primary endpoint: IRC assessed PFS (Arm A vs. Arm B) Secondary endpoints: INV-assessed ORR, OS, DoR, PROs 	<ul style="list-style-type: none"> FPCD Q3 2016 Data readout: Q2 2019 Primary endpoint met
Phase III ACE-CL-006 (ELEVATE-RR) NCT02477696	Relapsed/refractory high risk CLL	533	<ul style="list-style-type: none"> Arm A: <i>Calquence</i> Arm B: ibrutinib 	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoints: comparison of incidence of infections, RTs (Richter's Transformation) and atrial fibrillation, OS 	<ul style="list-style-type: none"> FPCD: Q2 2015 Data readout: Q1 2021 Primary endpoint met
Phase III ACE-LY-308 NCT02972840	Previously untreated MCL	546	<ul style="list-style-type: none"> Arm A: <i>Calquence</i> + bendamustine + rituximab Arm B: bendamustine + rituximab 	<ul style="list-style-type: none"> Primary endpoint: PFS by Lugano Classification for NHL Secondary endpoints: IA, PFS, ORR, DoR, time to response, OS 	<ul style="list-style-type: none"> FPCD: Q1 2017 Data anticipated: 2022+
Phase III ESCALADE NCT04529772	DLBCL	600	<i>Calquence</i> + rituximab, cyclophosphamide, doxorubicin, vincristine, and prednisone	<ul style="list-style-type: none"> Primary endpoints: safety, ORR 	<ul style="list-style-type: none"> FPCD: Q2 2020 Data anticipated: 2022+
Phase II 15-H-0016 NCT02337829	Relapsed/refractory and treatment naïve/del17p CLL/SLL	48	<i>Calquence</i> monotherapy <ul style="list-style-type: none"> Arm A: lymph node biopsy Arm B: bone marrow biopsy 	<ul style="list-style-type: none"> Primary endpoint: ORR 	<ul style="list-style-type: none"> FPCD: Q4 2014 Data anticipated: 2022+



Calquence (BTK inhibitor)

Blood cancers

Trial	Population	Patients	Design	Endpoint(s)	Status
Phase I/II ACE-CL-001 NCT02029443	CLL/SLL/Richter's transformation	306	<i>Calquence</i> monotherapy Dose escalation and expansion	<ul style="list-style-type: none"> Primary endpoints: safety, PK, PD 	<ul style="list-style-type: none"> FPCD: Q1 2014 Data anticipated: H2 2021
Phase Ib ACE-LY-106 NCT02717624	MCL	70	<i>Calquence</i> in combination with bendamustine and rituxumab <ul style="list-style-type: none"> Arm A: treatment naïve Arm B: relapsed/refractory Arm C: treatment naïve: <i>Calquence</i> + venetoclax + rituxumab 	<ul style="list-style-type: none"> Primary endpoint: safety 	<ul style="list-style-type: none"> FPCD: Q1 2016 Data anticipated: 2022+
Phase I ACE-LY-003 NCT02180711	Relapsed/refractory follicular lymphoma	80	<ul style="list-style-type: none"> Arm A: <i>Calquence</i> Arm B: <i>Calquence</i> + rituximab Arm C: <i>Calquence</i> + rituximab + lenolidomide 	<ul style="list-style-type: none"> Primary endpoint: safety 	<ul style="list-style-type: none"> FPCD: Q1 2015 Data anticipated: 2022+
Phase I ACE-CL-003 NCT02296918	CLL/SLL/PLL	69	<ul style="list-style-type: none"> <i>Calquence</i> + obinutuzumab Arm A: relapsed/refractory Arm B: treatment naïve <i>Calquence</i> + venetoclax + rituxumab Arm C: relapsed/refractory Arm D: treatment naïve 	<ul style="list-style-type: none"> Primary endpoints: safety, ORR Secondary endpoints: PD, PFS, TTNT, OS 	<ul style="list-style-type: none"> FPCD: Q4 2014 Data anticipated: 2022+



Calquence (BTK inhibitor)

Blood and other cancers

Trial	Population	Patients	Design	Endpoint(s)	Status
Phase III CL-312 (ASSURE) NCT04008706	CLL TN and R/R	600	<ul style="list-style-type: none"> Arm A: treatment naïve Arm B: relapsed/refractory Arm C: prior BTKi therapy Arm D: concomitant vitamin K antagonists 	<ul style="list-style-type: none"> Primary endpoint: safety 	<ul style="list-style-type: none"> Data anticipated: 2022+
Phase I/II D8220C0007 NCT03932331	Chinese adults R/R MCL and R/R CLL	105	Part 1: R/R B-cell Malignancies Part 2: Cohort A: R/R MCL Part 2: Cohort B: R/R CLL	<ul style="list-style-type: none"> Primary endpoints: safety, ORR 	<ul style="list-style-type: none"> FPCD: Q2 2020 Data anticipated: H1 2022
Phase I NCT03198650	Japanese adults with advanced B-cell malignancies	34	Dose confirmation and expansion <ul style="list-style-type: none"> Calquence Dose confirmation <ul style="list-style-type: none"> Calquence + obinutuzumab 	<ul style="list-style-type: none"> Primary endpoints: safety, PK 	<ul style="list-style-type: none"> FPCD: Q2 2017 Data anticipated: 2022+
Phase I D8220C00018 NCT04488016	Healthy volunteers	28	<ul style="list-style-type: none"> Part 1: Rel bioavailability for capsule vs. tablet Part 2: Rel bioavailability for oral solution of tablet 	<ul style="list-style-type: none"> Primary endpoint: safety 	<ul style="list-style-type: none"> FPCD: Q2 2019 Data readout: Q1 2021
Phase I D8223C00013 NCT04768985	Healthy volunteers	66	<ul style="list-style-type: none"> Arm A: Calquence tablet Arm B: Calquence capsule 	<ul style="list-style-type: none"> Primary endpoint: bioequivalence 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated: H2 2021



Koselugo (selumetinib, MEK inhibitor)

Paediatric neurofibromatosis type 1, solid tumours

Trial	Population	Patients	Design	Endpoints	Status
Phase II SPRINT NCT01362803 Partnered	Paediatric NF1	50 (stratum 1) 25 (Stratum 2)	Single arm: <i>Koselugo</i> 25mg/m ² BID with 2 strata: <ul style="list-style-type: none"> Stratum 1: PN related morbidity present at enrolment Stratum 2: no PN related morbidity present at enrolment 	<ul style="list-style-type: none"> Primary endpoint: Complete partial and complete response rate measured by volumetric MRI, Duration of response and functional outcomes/QoL 	<ul style="list-style-type: none"> FPCD: Q3 2015 LPCD: Q4 2016 Data readout: Q1 2019 Primary endpoint met
Phase Ib <i>Koselugo</i> + MK-8353 (ERK inhibitor) NCT03745989 Partnered (Merck Lead trial)	Advanced solid tumours	80 (dose escalation trial)	Open-label trial <ul style="list-style-type: none"> MK-8353 in combination with <i>Koselugo</i> 	<ul style="list-style-type: none"> Primary endpoints: DLTs, AEs, Trial drug discontinuations due to an AE 	<ul style="list-style-type: none"> FPCD: Q1 2019
Phase I Japan PK / Safety study NCT04495127 Partnered	Paediatric inoperable NF1-PN patients	9-12	Open-label trial <ul style="list-style-type: none"> <i>Koselugo</i> in Japanese paediatric NF1-PN patients 	<ul style="list-style-type: none"> Primary endpoint: safety Secondary endpoints: PK, anti-tumour effect 	<ul style="list-style-type: none"> FPCD: Q3 2020 LPCD: Q4 2020
Phase I China PK / Safety / Efficacy study NCT04590235	Pediatric (2-17 years old), adult NF1	32	Single arm trial with 3 phases; Dose confirmation phase (n=6 for 3 cycles), Expansion phase (24mths post LSD) Long term follow up (60mths post LSD)	<ul style="list-style-type: none"> Primary endpoints: safety, tolerability and PK Secondary endpoints: efficacy (ORR, DoR; TTR; PFS) 	<ul style="list-style-type: none"> FPCD: Q4 2020
Phase I <i>Koselugo</i> with a low-fat meal compared to fasted state	Adolescents aged ≥ 12 to < 18 years at trial entry with a clinical diagnosis of NF1 related PN.	20 to be enrolled (to achieve 16 evaluable participants completing T2)	Single-arm, multiple dose, sequential, two or three period trial <ul style="list-style-type: none"> <i>Koselugo</i> 25mg/m² BID given with a low-fat meal versus the same dose given in a fasted state. 	<ul style="list-style-type: none"> Primary endpoints: PK (steady state systemic exposure), safety (especially GI toxicity) 	<ul style="list-style-type: none"> FPCD: Q3 2021



Orpathys (savolitinib, MET inhibitor)

NSCLC and other cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT02897479 Partnered	Lung PSC and other NSCLC	65	Single arm trial • <i>Orpathys</i> QD China	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: PFS, safety parameters 	<ul style="list-style-type: none"> FPCD: Q1 2017 Data readout: Q2 2020
Phase II NCT04606771	EGFRm/MET amplified advanced NSCLC	56	• <i>Tagrisso</i> and <i>Orpathys</i> contribution of components	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: PFS, DoR, OS 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: H2 2022
Phase I NCT01985555 Partnered	Advanced NSCLC (all comers)	85	Dose escalation trial China	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK profile 	<ul style="list-style-type: none"> FPCD: Q2 2013 Data readout: Q3 2019



Capivasertib (AKT inhibitor)

Breast cancer, prostate cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase III CAPItello-290 NCT03997123	Locally advanced or metastatic TNBC	800	Double-blind randomised comparative trial <ul style="list-style-type: none"> Arm 1: capivasertib + paclitaxel Arm 2: placebo + paclitaxel 	<ul style="list-style-type: none"> Primary endpoint: OS 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: 2022+
Phase III CAPItello-291 NCT04305496	2L and beyond in AI resistant locally advanced (Inoperable) or metastatic HR+/HER2- breast cancer	834	Double-blind randomised comparative trial <ul style="list-style-type: none"> Arm 1: capivasertib + <i>Faslodex</i> Arm 2: placebo + <i>Faslodex</i> 	<ul style="list-style-type: none"> Primary endpoint: PFS 	<ul style="list-style-type: none"> FPCD Q2 2020 Data anticipated: 2022+
Phase III CAPItello-281 NCT04493853	De novo PTEN deficient metastatic hormone sensitive prostate cancer	1,000	Double-blind randomised comparative trial <ul style="list-style-type: none"> Arm 1: capivasertib + abiraterone Arm 2: placebo + abiraterone 	<ul style="list-style-type: none"> Primary endpoint: rPFS 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data anticipated: 2022+
Phase III CAPItello-292 NCT04862663	1L triplet in early relapse/endocrine-resistant locally advanced (inoperable) or metastatic HR+/HER2- breast cancer	700	Double-blind randomised comparative trial <ul style="list-style-type: none"> Arm 1: capivasertib + palbociclib + <i>Faslodex</i> Arm 2: placebo + palbociclib + <i>Faslodex</i> 	<ul style="list-style-type: none"> Primary endpoint: PFS 	<ul style="list-style-type: none"> FPCD Q2 2021 Data anticipated 2022+



Monalizumab (NKG2a mAb)

Cancers

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase III INTERLINK-1 NCT04590963	Recurrent or metastatic SCCHN, 2L	600	<ul style="list-style-type: none"> Arm A: monalizumab + cetuximab i.v. Arm B: placebo + cetuximab i.v. <p>Global trial</p>	<ul style="list-style-type: none"> Primary: OS Secondary: PFS, ORR, DoR 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: 2022+
Phase I/II NCT02671435	Advanced solid tumours	381	<p>Escalation phase</p> <ul style="list-style-type: none"> monalizumab + <i>Imfinzi</i> i.v. <p>Expansion phase</p> <ul style="list-style-type: none"> monalizumab + <i>Imfinzi</i> i.v. recommended dose <p>Exploration phase</p> <ul style="list-style-type: none"> monalizumab + <i>Imfinzi</i> i.v. recommended dose + SoC systemic therapy with or without biologic agent and monalizumab in combination with a biologic agent in adult subjects with CRC <p>Global trial</p>	<ul style="list-style-type: none"> Primary endpoints: safety Exploration phase primary endpoint: Objective Response per RECIST Secondary endpoints include: tumour response (OR, DC, DoR, PFS and OS), immunogenicity, pharmacokinetics, pharmacodynamics 	<ul style="list-style-type: none"> FPCD: Q2 2016 Data anticipated: 2022+



Camizestrant (AZD9833, next generation oral SERD)

Breast cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase III SERENA-4 NCT04711252	HR+ HER2- breast cancer	1342	Randomised, double-blind, comparative trial <ul style="list-style-type: none"> Arm A: camizestrant + palbociclib Arm B: anastrozole + palbociclib 	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoint: OS, PFS2 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated: 2022+
Phase III SERENA-6 NCT04964934	HR+ HER2- breast cancer	300	Randomised, double-blind, comparator trial <ul style="list-style-type: none"> Arm A: camizestrant (AZD9833) plus Palbociclib or Abemaciclib Arm B: anastrozole or letrozole plus Palbociclib or Abemaciclib 	<ul style="list-style-type: none"> Primary endpoint: PFS Secondary endpoint: OS, PFS2 	<ul style="list-style-type: none"> Initiating Data anticipated: 2022+
Phase II NCT04214288	HR+ breast cancer	236	Randomised, open-label, parallel-group, multicentre trial <ul style="list-style-type: none"> camizestrant vs. i.m. <i>Faslodex</i> in women with advanced breast cancer. 	<ul style="list-style-type: none"> Primary outcome: mPFS 	<ul style="list-style-type: none"> FPCD: Q2 2020
Phase II NCT04588298	HR+ breast cancer	84	Randomised, open-label, parallel-group, multicentre trial	<ul style="list-style-type: none"> Primary outcome: change in ER expression between pre- and on-treatment tumour biopsies 	<ul style="list-style-type: none"> FPCD: Q4 2020
Phase I NCT04541433	HR+ breast cancer	18	Open-label <ul style="list-style-type: none"> anti-tumour activity of camizestrant in Japanese women with endocrine resistant HR+ HER2- breast cancer that is not amenable to treatment with curative intent. 	<ul style="list-style-type: none"> Primary outcomes: safety and tolerability Secondary outcome: PK 	<ul style="list-style-type: none"> FPCD: Q4 2020
Phase I NCT03616587	HR+ breast cancer	304	Escalation phase - open label multicentre trial <ul style="list-style-type: none"> camizestrant camizestrant +Palbociclib, everolimus or abemeciclib. Expansion phase - randomised expansion cohort(s) at potential therapeutic dose(s) <ul style="list-style-type: none"> camizestrant camizestrant +Palbociclib, everolimus or abemeciclib. 	<ul style="list-style-type: none"> Primary outcomes: safety and tolerability Secondary outcomes: PK, antitumour activity 	<ul style="list-style-type: none"> FPCD: Q4 2018
Phase I NCT04546347	Healthy volunteers	32	Randomised, open-label	<ul style="list-style-type: none"> Primary outcome: relative bioavailability of different tablet formulations and the effect of food 	<ul style="list-style-type: none"> FPCD: Q3 2020 LPCD: Q4 2020 Data anticipated: H1 2021
Phase I NCT04818632	HR+ HER2- breast cancer Chinese patients	42	Dose escalation <ul style="list-style-type: none"> camizestrant Dose expansion <ul style="list-style-type: none"> camizestrant camizestrant + palbociclib camizestrant + everolimus 	<ul style="list-style-type: none"> Primary outcomes: safety and tolerability, PK Secondary outcome: antitumour activity 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated: 2022+



Datopotamab deruxtecan (TROP2 ADC)

NSCLC

Trial	Population	Patients	Design	Endpoints	Status
Phase III TROPION-Lung01 NCT04656652 Partnered	Previously treated advanced or metastatic NSCLC without actionable genomic alterations	590	Randomised, open label, parallel assignment <ul style="list-style-type: none"> • datopotamab deruxtecan • docetaxel Global trial	<ul style="list-style-type: none"> • Primary endpoints: PFS, OS • Secondary endpoints: ORR, DoR, TTR, DCR, PK, ADA 	<ul style="list-style-type: none"> • FPCD: Q1 2021 • Data anticipated: 2022+
Phase II TROPION-Lung05 NCT04484142 Partnered	Advanced or metastatic NSCLC with actionable genomic alterations and progressed on or after kinase inhibitor therapy and platinum-based chemotherapy	150	Single-arm, open label <ul style="list-style-type: none"> • datopotamab deruxtecan Global trial	<ul style="list-style-type: none"> • Primary endpoint: ORR • Secondary endpoint: DOR, PFS, OS, safety, PK, ADA 	<ul style="list-style-type: none"> • FPCD: Q1 2021 • Data anticipated: 2022+
Phase I TROPION-Lung02 NCT04526691 Partnered	Advanced or metastatic NSCLC	120	Open label, two-part (dose escalation, dose expansion), sequential assignment <ul style="list-style-type: none"> • datopotamab deruxtecan + pembrolizumab +/- platinum chemotherapy US, Japan	<ul style="list-style-type: none"> • Primary endpoint: DLT, safety • Secondary endpoint: ORR, DOR, PFS, OS, PK, ADA 	<ul style="list-style-type: none"> • FPCD: Q4 2020 • Data anticipated: 2022+
Phase I TROPION-Lung04 NCT04612751 Partnered	Advanced or metastatic NSCLC	120	Open label, two-part (dose escalation, dose expansion), sequential assignment <ul style="list-style-type: none"> • datopotamab deruxtecan + <i>Imfinzi</i> +/- platinum chemotherapy US, Japan	<ul style="list-style-type: none"> • Primary endpoint: DLT, safety • Secondary endpoint: ORR, DOR, PFS, OS, PK, ADA 	<ul style="list-style-type: none"> • FPCD: Q1 2021 • Data anticipated: 2022+



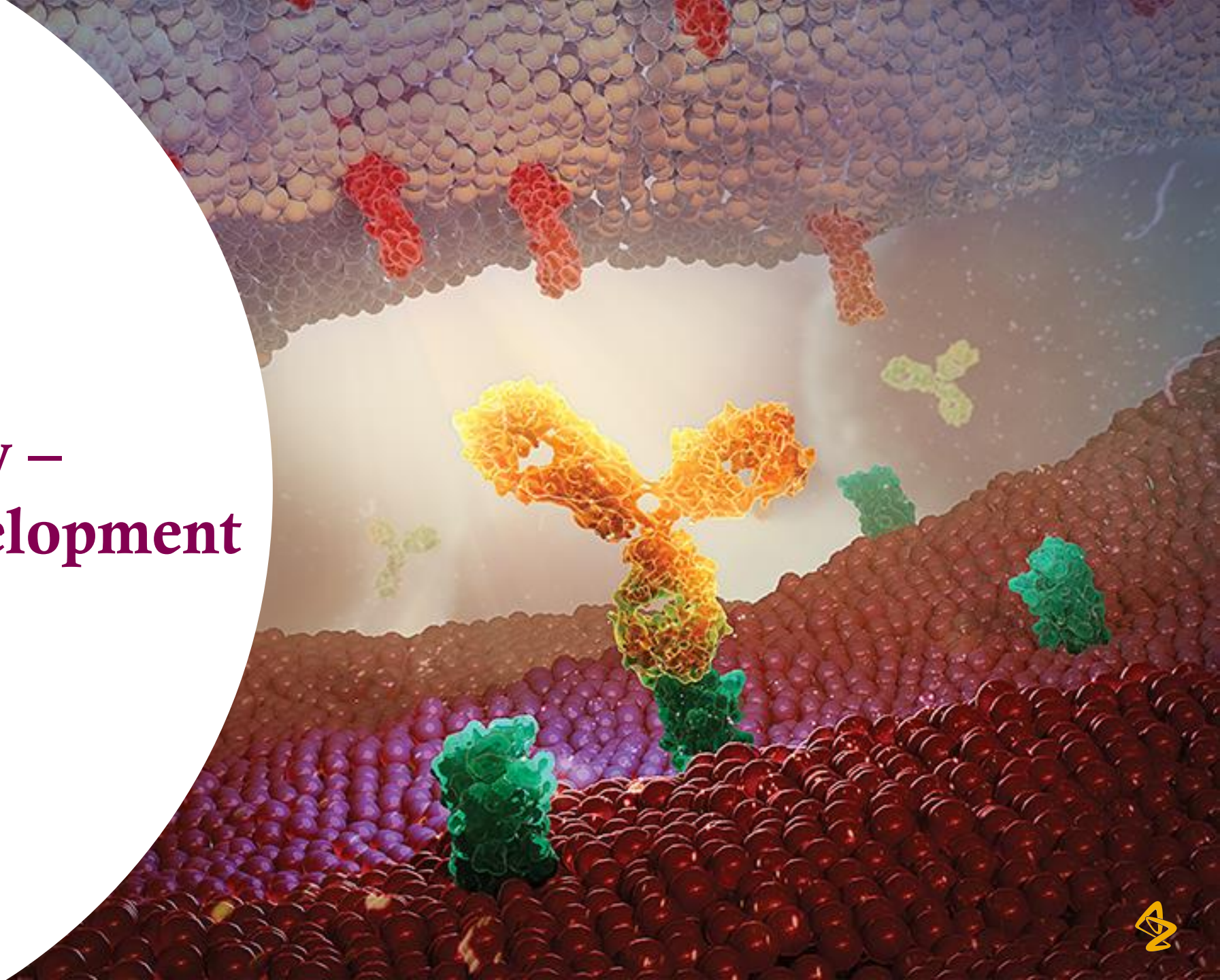
Datopotamab deruxtecan (TROP2 ADC)

NSCLC and other cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase I TROPION-PanTumor01 NCT03401385 Partnered	Subjects with advanced solid tumours NSCLC TNBC HR+ BC	770	Open label, two-part (dose escalation, dose expansion), sequential assignment • datopotamab deruxtecan Japan, US	<ul style="list-style-type: none"> Primary endpoint: DLT, safety Secondary endpoints: PK, anti-tumour activity, ADA 	<ul style="list-style-type: none"> FPCD: Q1 2018 Data anticipated: H1 2022



**Oncology –
early-stage development**



MEDI0457 (DNA HPV Vaccine) + *Imfinzi* (PD-L1 mAb)

Head and neck squamous cell carcinoma (HNSCC)

Trial	Population	Patients	Design	Endpoints	Status
Phase Ib/IIa NCT03162224	HPV associated recurrent/metastatic head and neck cancer	50	Multicentre, open label • MEDI0457 and <i>Imfinzi</i>	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability, ORR Secondary endpoints: PK, ADA, DCR, OS, PFS 	<ul style="list-style-type: none"> FPCD: Q3 2017 Data anticipated: H2 2021



AZD0466 (Bcl2/xL inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04214093	Advanced haematologic malignancies	9	Monotherapy dose escalation, consisting of two arms: <ul style="list-style-type: none"> • Arm A: patients with low risk for tumour lysis syndrome (solid tumours, lymphomas, myelomas) • Arm B: patients with high risk for tumour lysis syndrome (relapsed/refractory haem malignancies) 	<ul style="list-style-type: none"> • Primary endpoint: safety • Secondary endpoints: PK, anti-tumour activity 	<ul style="list-style-type: none"> • FPCD: Q4 2019 • Data anticipated: H2 2021
Phase I/II NCT04865419	Advanced haematologic malignancies	64	Module 1 Part A: Dose escalation <ul style="list-style-type: none"> • AZD0466 Part B: Dose expansion <ul style="list-style-type: none"> • AZD0466 Module 2 - DDI study <ul style="list-style-type: none"> • AZD0466 with voriconazole 	<ul style="list-style-type: none"> • Primary endpoint: safety • Secondary endpoints: PK, anti-tumour activity 	<ul style="list-style-type: none"> • FPCD: Q2 2021 • Data anticipated: 2022+



MEDI1191 (IL12 modRNA)

Cancer

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03946800	Advanced solid tumours	87	Open-label, dose-escalation and expansion trial of MEDI1191 administered intratumourally as monotherapy and in combination with <i>Imfinzi</i>	<ul style="list-style-type: none">Primary endpoints: safety and tolerabilitySecondary endpoints: PK, immunogenicity and efficacy	<ul style="list-style-type: none">FPCD: Q2 2019Data anticipated: H2 2022



AZD1390 (ATM inhibitor)

Cancer

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Subjects	Design	Endpoints	Status
Phase I NCT03423628	Recurrent glioblastoma eligible for re-irradiation, brain metastases and leptomeningeal disease, newly-diagnosed glioblastoma patients	186	Open label trial <ul style="list-style-type: none">Arm A: recurrent GBM, AZD1390 +RT in dose escalation cohortsArm B: brain metastases, AZD1390 +RT in dose escalation cohortsArm C: primary GBM, AZD1390 +RT in dose escalation cohorts	<ul style="list-style-type: none">Primary endpoints: safety, tolerability, MTDSecondary endpoint: EFS	<ul style="list-style-type: none">FPCD: Q2 2018Data anticipated: 2022+



Adavosertib (WEE-1 inhibitor)

Ovarian cancer, uterine serous cancer, solid tumours

Trial	Population	Patients	Design	Endpoints	Status
Phase II D6010C00004 NCT02272790	Platinum-resistant (PR) ovarian cancer	95	<ul style="list-style-type: none"> Arm B: paclitaxel + adavosertib Arm C: carboplatin + adavosertib Global trial	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: DoR, PFS, OS, DCR, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2015 LPCD: Q2 2018 Data readout: Q3 2019
Phase II D601HC00002 NCT04590248	Uterine serous carcinoma	120	<ul style="list-style-type: none"> adavosertib monotherapy Phase IIb, open-label, single-arm, multicentre study Global trial	<ul style="list-style-type: none"> Primary endpoint: ORR Secondary endpoints: DoR, depth of response, PFS 	<ul style="list-style-type: none"> FPCD: Q4 2020
Phase I D6015C00002 NCT02617277	Advanced solid tumours	56	Dose escalation trial <ul style="list-style-type: none"> adavosertib + <i>Imfinzi</i> US	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability, MTD 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2018 Data readout: Q4 2019



AZD2811 (nanoparticle, Aurora B kinase inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT04745689	Extensive-stage small cell lung cancer	100	Experimental: <ul style="list-style-type: none"> AZD2811 + <i>Imfinzi</i> Induction: <i>Imfinzi</i> + platinum chemotherapy (carboplatin or cisplatin & etoposide) Maintenance: <ul style="list-style-type: none"> AZD2811 + <i>Imfinzi</i> 	<ul style="list-style-type: none"> Primary endpoints: APF12 per RECIST 1.1, efficacy 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated 2022+
Phase I NCT02579226	Solid tumours	72	<ul style="list-style-type: none"> Arm 1: AZD2811 (NP) dose escalation Arm 2: AZD2811 (NP) dose expansion SCLC 	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability, PK and efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2015 Data readout: Q2 2021



AZD4573 (CDK9 inhibitor)

Blood cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase I/II NCT04630756	R/R haematologic malignancies	78	Open label, non-randomised trial Module 1 Part A: Dose setting <ul style="list-style-type: none"> AZD4573 + <i>Calquence</i> (100mg twice daily) combination in DLBCL, all comers; ramp-up across 3 dose levels Module 1 Part B: Dose expansion <ul style="list-style-type: none"> AZD4573 + <i>Calquence</i> (100mg twice daily) combination in GCB and non-GCB DLBCL i.v. route of administration 10 countries across North America, EU, ROW	<ul style="list-style-type: none"> Primary endpoint Part A: safety Primary endpoint Part B: ORR Secondary endpoint Part A: safety, anti-tumour activity, PK 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated: 2022+
Phase I NCT03263637	R/R haematologic malignancies	45	<ul style="list-style-type: none"> Arm 1: dose escalation in haematological malignancies excluding AML/ALL/high-risk MDS/CMML/CLL Arm 2: dose escalation in relapsed or refractory AML, ALL, high-risk MDS, CMML, CLL and Richter's syndrome i.v. route of administration The Netherlands, UK, Germany	<ul style="list-style-type: none"> Primary endpoints: safety, PK Secondary endpoint: efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2017 Data anticipated: H2 2021



Imaradenant (AZD4635, A_{2A}R inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT04089553	Prostate cancer	60	<ul style="list-style-type: none"> Arm 1: imaradenant + <i>Imfinzi</i> Arm 2: imaradenant + oleclumab US	<ul style="list-style-type: none"> Primary outcome: efficacy (ORR and PSA response) Secondary outcomes: efficacy, PK, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: 2022+
Phase II NCT04495179	Prostate cancer	80	<ul style="list-style-type: none"> Arm A: imaradenant + <i>Imfinzi</i> Arm B: imaradenant+ <i>Imfinzi</i> + cabazitaxel US, Europe, UK and Korea	<ul style="list-style-type: none"> Primary outcomes: efficacy (rPFS) Secondary outcomes: efficacy (OS, PSA response, ORR, DoR) 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data anticipated: 2022+
Phase I NCT02740985	Phase Ia: patients with advanced solid tumours Phase Ib: Post-IO NSCLC Other post-IO solid tumours Immune checkpoint-naïve mCRPC, CRC and other solid tumours	313	Phase Ia – solid tumours or mCRPC: <ul style="list-style-type: none"> imaradenant monotherapy imaradenant + <i>Imfinzi</i> imaradenant + abiraterone imaradenant + enzalutamide imaradenant + <i>Imfinzi</i> + oleclumab imaradenant + docetaxel Phase Ib: dose expansions in NSCLC, mCRPC, CRC and other post-immunotherapy and immune checkpoint-naïve solid tumours <ul style="list-style-type: none"> imaradenant monotherapy imaradenant + <i>Imfinzi</i> US	<ul style="list-style-type: none"> Primary outcomes: safety and tolerability Secondary outcomes: preliminary assessment of anti-tumour activity 	<ul style="list-style-type: none"> FPCD: Q2 2016 Data anticipated: H2 2021



AZD5305 (selective PARP1 inhibitor)

Solid tumours

Trial	Population	Patients	Design	Endpoints	Status
Phase I/IIa NCT04644068	Advanced, metastatic HER2- breast cancer (BRCAm, PALB2m or RAD51C/Dm) Advanced, metastatic TNBC PSR ovarian cancer (BRCAm, PALB2m or RAD51C/Dm) PSR ovarian cancer (HRD+) Prostate (mCRPC, BRCAm) Prostate (mCRPC, HRRm)	612	A modular, open-label, multicentre trial dose escalation <ul style="list-style-type: none"> Module 1: AZD5305 Module 2: AZD5305 + paclitaxel Module 3: AZD5305 + carboplatin +/- paclitaxel 	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability, PK Secondary endpoints: efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: 2022+



MEDI5395 (rNDV GMCSF)

Cancer

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03889275	Select advanced solid tumours	188	Open-label dose escalation and dose expansion trial <ul style="list-style-type: none">MEDI5395 + <i>Imfinzi</i>	<ul style="list-style-type: none">Primary endpoints: safety and tolerabilitySecondary endpoints: PK, PD, immunogenicity and efficacy	<ul style="list-style-type: none">FPCD: Q4 2019Data anticipated: 2022+



MEDI5752 (PD-1/CTLA-4 bispecific mAb)

Cancer

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I/IIa NCT03530397	Advanced solid tumours	261	Open-label, dose-escalation and dose-expansion trial Dose escalation: MEDI5752 i.v. Dose expansion: MEDI5752 i.v. as monotherapy and in combination with chemotherapy <ul style="list-style-type: none"> Arm A: MEDI5752 i.v. Arm B: MEDI5752 i.v., pemetrexed and carboplatin Arm C: pembrolizumab, pemetrexed and carboplatin 	<ul style="list-style-type: none"> Dose escalation primary endpoints: safety, MTD Dose expansion primary endpoint: antitumour activity based on OR Secondary endpoints: PK, ADA, tumoural baseline PD-L1, antitumour activity (OR, DoR, DCR, PFS, OS) 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: 2022+
Phase Ib NCT04522323	Advanced renal cell carcinoma	77	Open-label, dose-escalation and dose-expansion trial <ul style="list-style-type: none"> MEDI5752 and axitinib 	<ul style="list-style-type: none"> Dose escalation primary endpoints: safety & tolerability Secondary endpoints: PK, ADA and antitumour activity (PFS, OR, DoR, DCR, TTR, OS) 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data anticipated: 2022+



AZD5991 (MCL1 inhibitor)

Approved medicines

Late-stage development

Early development

Oncology

Blood cancers

Trial	Population	Patients	Design	Endpoints	Status
Phase I/Ib/IIa NCT03218683	Relapsed/refractory haematologic malignancies	144	<ul style="list-style-type: none">• Arm 1: AZD5991 dose escalation and expansion in R/R haematological malignancies• Arm 2: AZD5991 + venetoclax combination dose escalation in R/R AML/MDS i.v. route of administration US only	<ul style="list-style-type: none">• Primary endpoint: safety• Secondary endpoints: PK, efficacy	<ul style="list-style-type: none">• FPCD: Q3 2017• Data anticipated: 2022+

CVRM

R&I

Other

COVID-19



Ceralasertib (AZD6738, ATR inhibitor)

Cancer

Trial	Population	Patients	Design	Endpoints	Status
Phase II PLANETTE NCT04564027	Solid tumours mCRPC	52	<ul style="list-style-type: none"> Cohort A: ceralasertib; ATM-altered AST Cohort B: ceralasertib; ATM-altered mCRPC 	<ul style="list-style-type: none"> Cohort A primary endpoint: ORR Cohort B primary endpoint: Composite RR 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated: 2022+
Phase I NCT02264678	Solid tumours	250	<ul style="list-style-type: none"> Arm 1: ceralasertib + carboplatin Arm 2: ceralasertib dose escalation, ceralasertib + <i>Lynparza</i> Arm 3: ceralasertib + <i>Imfinzi</i> North America, Europe and South Korea	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability, PK and efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2014 Data anticipated: 2022+
Phase I NCT03022409	HNSCC	44	Window of opportunity <ul style="list-style-type: none"> Arm 1: ceralasertib Arm 2: <i>Lynparza</i> US, France, Taiwan and the UK	<ul style="list-style-type: none"> Primary endpoint: Biomarker change 	<ul style="list-style-type: none"> FPCD: Q4 2017 Data anticipated: H2 2021



AZD7648 (selective DNA-PK inhibitor)

Advanced solid tumours

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03907969	Advanced malignancies	234	Modular dose escalation and dose expansion trial <ul style="list-style-type: none"> • Arm 1: AZD7648 monotherapy • Arm 2: AZD7648 + pegylated liposomal doxorubicin • Arm 3: AZD7648 + <i>Lynparza</i> US, UK	<ul style="list-style-type: none"> • Primary outcomes: safety and tolerability • Secondary outcomes: PK, Cytochromes P450, preliminary anti-tumour activity 	<ul style="list-style-type: none"> • FPCD: Q4 2019 • Data anticipated: 2022+



AZD8701 (FOXP3 antisense oligonucleotide)

Solid tumours

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I/Ib NCT04504669	Advanced solid tumours	123	Dose escalation and dose expansion trial <ul style="list-style-type: none">• Arm 1: AZD8701 monotherapy• Arm 2: AZD8701 & <i>Imfinzi</i> combination therapy i.v. route of administration US, CA, FR, ES	<ul style="list-style-type: none">• Primary endpoints: safety & tolerability• Secondary endpoints: PK, PD, preliminary anti-tumour activity	<ul style="list-style-type: none">• FPCD: Q3 2020• Data anticipated: 2022+



MEDI9253 (rNDV-IL12)

Solid tumours

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04613492	Advanced solid tumours	86	Open-label, dose-escalation and expansion trial <ul style="list-style-type: none">MEDI9253 + <i>Imfinzi</i>	<ul style="list-style-type: none">Primary endpoint: safety and tolerabilitySecondary endpoints: PK, PD, immunogenicity and efficacy	<ul style="list-style-type: none">FPCD: Q4 2020Data anticipated: 2022+



Oleclumab (CD73 mAb)

Cancer

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase Ib/II NCT03611556	Pancreatic 1L and 2L with prior gemcitabine-based chemotherapy	339	<ul style="list-style-type: none"> Arm A1: gemcitabine and nab paclitaxel i.v. Arm A2: gemcitabine and nab paclitaxel i.v. + oleclumab i.v. Arm A3: gemcitabine and nab paclitaxel i.v. + oleclumab i.v. + Imfinzi i.v. Arm B1: mFOLFOX (oxaliplatin, leucovorin, 5-FU) i.v. Arm B2: mFOLFOX (oxaliplatin, leucovorin, 5-FU) i.v. + oleclumab i.v. Arm B3: mFOLFOX (oxaliplatin, leucovorin, 5-FU) i.v. + oleclumab i.v. + <i>Imfinzi</i> i.v. US, Norway, Spain and Australian trial centres	<ul style="list-style-type: none"> Primary endpoints: safety and anti-tumour activity Secondary endpoints include: PFS, PK, immunogenicity, safety and anti-tumour activity 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: H2 2021



IPH5201 (CD39 mAb)

Solid tumours

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04261075 Partnered	Advanced solid tumours	204	Open-label, dose-escalation trial to determine MTD of IPH5201 as monotherapy, or in combination with <i>Imfinzi</i> +/- oleclumab. <ul style="list-style-type: none">Part 1: IPH5201 monotherapy dose escalation to MTDPart 2: IPH5201 + <i>Imfinzi</i> dose escalation to MTDPart 3: IPH5201 + <i>Imfinzi</i> + oleclumab dose escalation to MTD Route of administration: i.v. 4 countries - US and 3 in EU.	<ul style="list-style-type: none">Primary endpoints: AE, SAE, DLTSecondary endpoints: OR, DC, PK, ADA	<ul style="list-style-type: none">FPCD: Q1 2020Data anticipated: 2022+



**BioPharmaceuticals –
approved medicines and
late-stage pipeline**



Farxiga (SGLT2 inhibitor)

Heart failure and chronic kidney disease

Trial	Population	Patients	Design	Endpoints	Status
Phase III DAPA-CKD NCT03036150	Patients with CKD	4304	<ul style="list-style-type: none"> Arm 1: <i>Farxiga</i> 10mg or 5 mg QD Arm 2: placebo Global trial - 21 countries	<ul style="list-style-type: none"> Primary endpoint: time to the first occurrence of any of the components of the composite: $\geq 50\%$ sustained decline in eGFR or reaching ESRD or CV death or renal death 	<ul style="list-style-type: none"> FPCD: Q1 2017 LPCD: Q1 2020 Data readout: Q2 2020 Primary endpoint met
Phase III DELIVER NCT03619213	CHF patients with HFpEF	6100	<ul style="list-style-type: none"> Arm 1: <i>Farxiga</i> 10mg QD Arm 2: placebo Global trial - 21 countries	<ul style="list-style-type: none"> Primary endpoint: time to the first occurrence of any of the components of the composite: CV death or hospitalisation for HF or an urgent HF visit 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q4 2020 Data anticipated: H1 2022
Phase III DAPA-MI NCT04564742	Patients with myocardial infarction	6400	<ul style="list-style-type: none"> Arm 1: <i>Farxiga</i> 10mg QD Arm 2: placebo Global trial - 2 countries	<ul style="list-style-type: none"> Primary endpoint: time to the first occurrence of any of the components of the composite: hospitalisation for HF or CV death 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: 2022+
Phase I NCT04856007	Healthy Chinese volunteers	80	<ul style="list-style-type: none"> Arm 1: <i>Farxiga</i> 5 mg + metformin 500 mg XR Arm 2: <i>Farxiga</i>/metformin XR FDC 5/500 mg Arm 3: <i>Farxiga</i> 10 mg + metformin 1000 mg XR Arm 4: <i>Farxiga</i>/metformin XR FDC 10/1000 mg China only	<ul style="list-style-type: none"> Primary endpoint: Plasma AUCinf, AUClast and Cmax of <i>Farxiga</i> and metformin respectively. 	<ul style="list-style-type: none"> FPCD: Q2 2021 LPCD: Q2 2021 Data anticipated: H2 2021



Brilinta (P2Y12 receptor antagonist)

Cardiovascular risk reduction

Trial	Population	Patients	Design	Endpoints (primary)	Status
Phase III THALES NCT03354429	Patients with acute ischaemic stroke or transient ischaemic attack	11000	<ul style="list-style-type: none"> Arm 1: <i>Brilinta</i> 90mg BID Arm 2: placebo BID On a background of acetylsalicylic acid if not contra-indicated or not tolerated Global trial – 28 countries	<ul style="list-style-type: none"> Primary endpoint: prevention of the composite of subsequent stroke and death at 30 days Secondary endpoints include: prevention of subsequent ischaemic stroke at 30 days; reduction of overall disability at 30 days 	<ul style="list-style-type: none"> FPCD: Q1 2018 LPCD: Q4 2019 Data readout: Q1 2020 Primary endpoint met



Lokelma (sodium zirconium cyclosilicate)

Hyperkalaemia

Trial	Population	Patients	Design	Endpoints	Status
Phase IIIb DIALIZE China NCT04217590	Patients with ESRD with hyperkalemia and on stable haemodialysis	134	<ul style="list-style-type: none"> Arm 1: <i>Lokelma</i> 5g QD for 8 weeks on non-dialysis days. Option to uptitrate to 10 and 15g QD. Arm 2: placebo QD for 8 weeks on non-dialysis days China	<ul style="list-style-type: none"> Primary endpoint: proportion of patients who maintain a pre-dialysis serum K between 4.0-5.0 mmol/L on 3 out of 4 dialysis treatments following the long interdialytic interval 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data readout: H1 2022
Phase III HARMONIZE Asia NCT03528681	Hyperkalaemia	250	Open-label <i>Lokelma</i> 10g TID for 48 hours followed by: <ul style="list-style-type: none"> Arm 1: <i>Lokelma</i> 5g QD for 28 days Arm 2: <i>Lokelma</i> 10g QD for 28 days Arm 3: placebo QD for 28 days China, India	<ul style="list-style-type: none"> Primary endpoint: maintenance of normokalaemia 	<ul style="list-style-type: none"> FPCD: Q2 2021 Data readout: H2 2022
Phase III DIALIZE-Outcomes NCT04847232	Patients with recurrent hyperkalaemia on chronic haemodialysis	2300	<ul style="list-style-type: none"> Arm 1: <i>Lokelma</i> 5g-15g QD for 4 weeks on non-dialysis days, thereafter adjusted monthly Arm 2: placebo QD Global trial – 22 countries	<ul style="list-style-type: none"> Primary endpoint: Time to first occurrence of SCD, stroke, or hospitalisation/intervention/ED visit due to arrhythmias 	<ul style="list-style-type: none"> FPCD: Q3 2021 Data readout: 2022+



Roxadustat (HIF-PH inhibitor)

Anaemia

Trial	Population	Patients	Design	Endpoints	Status
Phase III ANDES NCT01750190 Partnered	Anaemia in CKD in patients not receiving dialysis	922	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: placebo Global trial	<ul style="list-style-type: none"> Primary endpoint: haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q4 2012 LPCD: Q3 2018 Data readout: Q4 2018 Primary endpoint met Sponsored by FibroGen
Phase III ALPS NCT01887600 Partnered	Anaemia in CKD in patients not receiving dialysis	597	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: placebo Global trial	<ul style="list-style-type: none"> Primary endpoint: haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q2 2013 LPCD: Q4 2017 Data readout: Q3 2018 Primary endpoint met Sponsored by Astellas
Phase III DOLOMITES NCT02021318 Partnered	Anaemia in CKD in patients not receiving dialysis	616	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: darbepoetin alfa Global trial	<ul style="list-style-type: none"> Primary endpoint: haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q1 2014 LPCD: Q4 2019 Data readout: Q1 2020 Primary endpoint met Sponsored by Astellas
Phase III OLYMPUS NCT02174627	Anaemia in CKD in patients not receiving dialysis	2781	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: placebo Global trial	<ul style="list-style-type: none"> Primary efficacy endpoint: haemoglobin response Primary safety objective: contribute CV safety data to pooled safety analyses across the Phase III program 	<ul style="list-style-type: none"> FPCD: Q3 2014 LPCD: Q4 2018 Data readout: Q4 2018 Primary endpoint met Sponsored by AstraZeneca
Phase III ROCKIES NCT02174731	Anaemia in CKD in patients receiving dialysis	2133	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: epoetin alfa Global trial	<ul style="list-style-type: none"> Primary efficacy endpoint: haemoglobin response Primary safety objective: contribute CV safety data to pooled safety analyses across the Phase III programme 	<ul style="list-style-type: none"> FPCD: Q3 2014 LPCD: Q3 2018 Data readout: Q4 2018 Primary endpoint met Sponsored by AstraZeneca



Roxadustat (HIF-PH inhibitor)

Anaemia

Trial	Population	Patients	Design	Endpoints	Status
Phase III SIERRAS NCT02273726 Partnered	Anaemia in CKD in patients receiving dialysis	741	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: epoetin alfa Global trial	<ul style="list-style-type: none"> Primary endpoint: haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q4 2014 LPCD: Q3 2018 Data readout: Q4 2018 Primary endpoint met Sponsored by FibroGen
Phase III PYRENEES NCT02278341 Partnered	Anaemia in CKD in patients receiving dialysis	838	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: epoetin alfa or darbepoetin alfa Global trial	<ul style="list-style-type: none"> Primary endpoint: haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q4 2014 LPCD: Q3 2018 Data readout: Q3 2018 Primary endpoint met Sponsored by Astellas
Phase III HIMALAYAS NCT02052310 Partnered	Anaemia in newly initiated dialysis patients	1,043	<ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: epoetin alfa Global trial	<ul style="list-style-type: none"> Primary endpoint: haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q4 2013 LPCD: Q3 2018 Data readout: Q4 2018 Primary endpoint met Sponsored by FibroGen
Phase III NCT03263091 Partnered	Anaemia in lower risk MDS patients	184	Open label roxadustat lead-in <ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: placebo US/global trial	<ul style="list-style-type: none"> Primary endpoint: proportion of patients achieving transfusion independence 	<ul style="list-style-type: none"> FPCD: Q3 2017 Data anticipated: H1 2022 Sponsored by FibroGen
Phase II/III NCT03303066 Partnered	Anaemia in lower risk MDS patients	175	Open label roxadustat lead-in <ul style="list-style-type: none"> Arm 1: roxadustat Arm 2: placebo China	<ul style="list-style-type: none"> Primary endpoint: haemoglobin response 	<ul style="list-style-type: none"> FPCD: Q2 2018 Data anticipated: 2022+ Sponsored by FibroGen
Phase II NCT04076943 Partnered	Anaemia in patients receiving chemotherapy treatment for non-myeloid malignancies	100	Open label trial <ul style="list-style-type: none"> roxadustat 3x week 16 weeks US	<ul style="list-style-type: none"> Primary endpoint: maximum change in haemoglobin within 16 weeks from baseline without RBC transfusion 	<ul style="list-style-type: none"> FPCD: Q3 2019 LPCD: Q3 2020 Data anticipated: H2 2021 Sponsored by FibroGen



Eklira/ Tudorza (LAMA, DPI)

COPD

Approved medicines
Late-stage development
Early development

Trial	Population	Number of patients	Design	Endpoints	Status
Phase I NCT03276052	Healthy Chinese volunteers	20	Open-label trial in healthy Chinese male and female participants. • aclidinium bromide 400 µg DPI - single and multiple twice daily doses China	• Primary endpoints: safety and tolerability, PK	• Initiating • Data anticipated: 2022+

Oncology

CVRM

R&I

Other

COVID-19



Duaklir Genuair (LAMA/LABA, DPI)

COPD

Trial	Population	Patients	Design	Endpoints	Status
Phase III AVANT NCT03022097	Patients with stable COPD	1060	<ul style="list-style-type: none"> Arm 1: <i>Duaklir Genuair</i> 400/12 µg DPI Arm 2: aclidinium bromide 400 µg DPI Arm 3: formoterol fumarate 12 µg DPI Arm 4: tiotropium 18 µg DPI Global trial – five countries	<ul style="list-style-type: none"> Primary endpoints: Change from baseline in one hour morning post-dose dose FEV1 <i>Duaklir Genuair</i> 400/12 µg compared to Acclidinium bromide at Week 24 Change from baseline in morning pre-dose (trough) FEV1 of <i>Duaklir Genuair</i> 400/12 µg compared to Formoterol fumarate at Week 24 Change from baseline in trough FEV1 of Acclidinium bromide 400 µg compared to placebo at Week 24 	<ul style="list-style-type: none"> FPCD: Q1 2017 Data anticipated: 2022+



Breztri, Trixeo (PT010, LAMA/LABA/ICS, pMDI)

Asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III KALOS NCT04609878	Severe asthma	2800	Randomised, double-blind, double dummy, parallel group and multicentre Treatments (24 to 52 week variable length) <ul style="list-style-type: none"> BGF MDI 320/28.8/9.6µg BID pMDI BGF MDI 320/14.4/9.6µg BID pMDI BFF MDI 320/9.6µg BID pMDI Symbicort 320/9µg BID pMDI Multi-country	<ul style="list-style-type: none"> Primary endpoint: Change from baseline in forced expiratory volume in 1 second (FEV1) area under the curve 0 to 3 hours (AUC0-3) at Week 24 Primary endpoint of pooled trials D5982C00007 and D5982C00008: Rate of severe asthma exacerbations Secondary endpoint: Change from baseline in morning pre-dose trough FEV1 at Week 24 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated: 2022+
Phase III LOGOS NCT04609904	Severe asthma	2800	Randomised, double-blind, double dummy, parallel group and multicentre Treatments (24 to 52 week variable length) <ul style="list-style-type: none"> BGF MDI 320/28.8/9.6µg BID pMDI BGF MDI 320/14.4/9.6µg BID pMDI BFF MDI 320/9.6µg BID pMDI Symbicort 320/9µg BID pMDI Multi-country	<ul style="list-style-type: none"> Primary endpoint: Change from baseline in forced expiratory volume in 1 second (FEV1) area under the curve 0 to 3 hours (AUC0-3) at Week 24 Primary endpoint of pooled trials D5982C00007 and D5982C00008: Rate of severe asthma exacerbations Secondary endpoint: Change from baseline in morning pre-dose trough FEV1 at Week 24 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated: 2022+



Daliresp/ Daxas (PDE4 inhibitor, oral)

COPD

Trial	Population	Patients	Design	Endpoints	Status
Post Launch PASS NCT03381573	COPD	124,080	A retrospective cohort trial comparing COPD patients aged 40 years and older with new exposure to roflumilast with up to 5 unexposed (i.e., not roflumilast-exposed) COPD controls matched by propensity score (PS), age, sex, and year of cohort entry. The trial is using electronic healthcare databases in the US (Military Health System database), Germany (German Pharmacoepidemiological Research Database), and Sweden (national databases including healthcare, death, and demographics data) and Norway.	<ul style="list-style-type: none">Primary endpoint: all-cause mortality (up to five years)	<ul style="list-style-type: none">Data anticipated: 2022+



Fasenra (IL5R mAb)

Severe, uncontrolled asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III MELTEMI NCT02808819	A multicentre, open-label, safety extension trial with <i>Fasenra</i> for asthmatic adults on ICS plus LABA2 Agonist Age 18-75 years	447	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q4W s.c. Arm 2: <i>Fasenra</i> 30mg Q8W s.c. Global trial - 15 countries	<ul style="list-style-type: none"> Primary endpoint: safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q2 2016 LPCD: Q3 2019 Data readout: Q3 2020 Primary endpoint met
Phase IIIb PONENTE NCT03557307	Severe eosinophilic asthmatics receiving HD ICS + LABA and chronic OCS with or without additional asthma controller(s). Age 18 Years and older	598	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q8W s.c. 38-week trial Global trial – 16 countries	<ul style="list-style-type: none"> Primary endpoint: reduction of oral corticosteroid dose 	<ul style="list-style-type: none"> FPCD: Q3 2018 LPCD: Q3 2019 Data readout: Q4 2020 Primary endpoint met
Phase III D3250C00036 China ICS/LABA Trial (MIRACLE) NCT03186209	Severe, uncontrolled asthma, despite background controller medication, MD & HD ICS + LABA ± chronic OCS Age 12-75 years	666	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q8W s.c. Arm 2: placebo s.c. 56-week trial Global trial – 4 countries	<ul style="list-style-type: none"> Primary endpoint: annual asthma exacerbation rate Secondary endpoints: assess pulmonary function, asthma symptoms, other asthma control metrics 	<ul style="list-style-type: none"> FPCD: Q4 2017 Data readout: 2022+



Fasenra (IL5R mAb)

Severe, uncontrolled asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III BORA NCT02258542	Severe asthma, inadequately controlled despite background controller medication, MD & HD ICS/LABA ± chronic OCS Age 12-75 years	2133	Randomised double-blind safety extension trial <ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q4W s.c. Arm 2: <i>Fasenra</i> 30mg Q8W s.c. 56-week (adults) 108-week (adolescents) Global trial – 24 countries	<ul style="list-style-type: none"> Primary endpoint: safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q4 2014 Data readout: Q3 2018 Primary endpoint met
Phase III GREGALE NCT02417961	Severe asthma, inadequately controlled despite background controller medication, MD & HD ICS + LABA ± chronic OCS Age 18-75 years	162	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q4W s.c. 28-week (adults) Global trial – two countries	<ul style="list-style-type: none"> Primary endpoint: functionality, reliability, and performance of a pre-filled syringe with <i>Fasenra</i> administered at home 	<ul style="list-style-type: none"> FPCD: Q2 2015 Data readout: Q2 2016 Primary endpoint met
Phase III ARIA NCT02821416	Allergen-induced inflammation in mild, atopic asthma Age 18-65 years	46	A double-blind, randomised, parallel group, placebo-controlled multicentre trial to evaluate the effect of <i>Fasenra</i> on allergen-induced inflammation in mild, atopic asthma patients <ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q4W s.c. Arm 2: placebo Q4W s.c. 37-week trial	<ul style="list-style-type: none"> Primary endpoint: safety and tolerability Primary endpoint: the effect of <i>Fasenra</i> on allergen induced eosinophil changes in sputum and allergen-induced late asthmatic response 	<ul style="list-style-type: none"> FPCD Q4 2016 LPCD: Q2 2019 Data readout: Q4 2020 Primary endpoint met
Phase III ALIZE NCT02814643	Adolescent and young adult patients with severe asthma receiving a seasonal influenza vaccine Ages 12-21 years	103	A multicentre, randomised, double-blind, parallel group, placebo-controlled trial <ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q4W s.c. with one dose of seasonal influenza virus vaccine i.m. Arm 2: placebo Q4W s.c. with one dose of seasonal influenza virus vaccine i.m. 12-week trial	<ul style="list-style-type: none"> Primary endpoints: Post-dose strain-specific HAI) antibody GMFRs Post-dose strain-specific serum HAI antibody GMTs Proportion of patients who experience a strain-specific post-dose antibody response with antibody response defined as a ≥4-fold rise in HAI antibody titre 	<ul style="list-style-type: none"> FPCD: Q3 2016 Data readout: Q3 2017 Primary endpoint met



Fasenra (IL5R mAb)

Severe, uncontrolled asthma, COPD and other eosinophilic diseases

Trial	Population	Patients	Design	Endpoints	Status
Phase III GRECO NCT02918071	Severe asthma on ICS/LABA Age 18-75 years	121	Open label • <i>Fasenra</i> 30mg Q4W 28-week trial Global trial - two countries	<ul style="list-style-type: none"> Primary endpoint: percentage of patients/caregivers who successfully self administer at home 	<ul style="list-style-type: none"> FPCD: Q4 2016 Data readout: Q4 2017 Primary endpoint met
Phase IIIb ANDHI NCT03170271	Patients with severe asthma uncontrolled on SoC treatment. Age 18-75	659	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q8W s.c. Arm 2: placebo Q8W s.c. 24-week trial Global trial – 15 countries	<ul style="list-style-type: none"> Primary endpoint: rate of asthma exacerbations Secondary outcome measures: Saint George Respiratory Questionnaire (SGRQ) 	<ul style="list-style-type: none"> FPCD: Q3 2017 LPCD: Q1 2019 Data readout: Q4 2019 Primary endpoint met
Phase III RESOLUTE NCT04053634	Patients with moderate to very severe COPD with a history of frequent exacerbations on a background triple therapy (ICS/LABA/LAMA) Age 40-85 years	868	Double-blind, placebo controlled <ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 100mg Q8W s.c. Arm 2: placebo Q8W s.c. 56-week treatment Global trial – 26 countries	<ul style="list-style-type: none"> Primary endpoint: annualized rate of moderate or severe exacerbations over 56 weeks 	<ul style="list-style-type: none"> FPCD Q4 2019 Data anticipated: 2022+
Phase III MAHALE	Patients With non-cystic fibrosis bronchiectasis (NCFB) with eosinophilic inflammation Age 18 years and older	420	Double blind treatment period and open label extension study <ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q4W s.c. Arm 2: placebo Q4W s.c. 52-week Global trial – 17 countries	<ul style="list-style-type: none"> Primary endpoint: annualised bronchiectasis exacerbation rate at week 52 	<ul style="list-style-type: none"> Initiating Data anticipated: 2022+
Phase I AMES NCT02968914	Healthy volunteers age 18-55 years	180	Open label trial <ul style="list-style-type: none"> <i>Fasenra</i> 30 mg PK administered by APFS device <i>Fasenra</i> 30 mg PK administered by AI device 8-week trial Global trial – two countries	<ul style="list-style-type: none"> Primary endpoint: PK comparability 	<ul style="list-style-type: none"> FPCD: Q1 2017 Data readout: Q3 2017



Fasenra (IL5R mAb)

Nasal polyposis and other eosinophilic diseases

Trial	Population	Patients	Design	Endpoints	Status
Phase III OSTRO NCT03401229	Patients with severe bilateral nasal polyps who are still symptomatic despite standard of care therapy Age 18-75 years	413	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q8W s.c. Arm 2: placebo s.c. 56-week trial Global trial- 8 countries	<ul style="list-style-type: none"> Primary endpoint: effect of <i>Fasenra</i> on nasal polyp burden and on patient reported nasal blockage 	<ul style="list-style-type: none"> FPCD: Q1 2018 LPCD: Q2 2019 Data readout: Q3 2020 Co-primary endpoints met
Phase III ORCHID NCT04157335	Patients with eosinophilic chronic rhinosinusitis with severe nasal polyposis Age 18-75 years	148	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q8W s.c. Arm 2: placebo Q8W s.c. 56-week trial Global trial - 10 countries	<ul style="list-style-type: none"> Primary endpoint: Change in endoscopic total nasal polyp score and change in mean nasal blockage score 	<ul style="list-style-type: none"> FPCD: Q4 2019 Data anticipated: 2022+
Phase III MANDARA NCT04157348	Patients with relapsing or refractory EGPA on corticosteroid therapy with or without stable immunosuppressive therapy Age 18 years and older	140	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q4W s.c. Arm 2: mepolizumab 300mg Q4W s.c. 52-week trial with a minimum 1-year open label extension Global trial- 9 countries	<ul style="list-style-type: none"> Primary endpoint: Proportion of patients achieving remission (BVAS=0 and OCS dose ≤ 4mg/day) at both weeks 36 and 48. 	<ul style="list-style-type: none"> FPCD: Q4 2019 Data anticipated: 2022+
Phase III NATRON NCT04191304	Patients with HES (history of persistent eosinophilia >1500 cells/μL with evidence of end organ manifestations attributable to eosinophilia) and signs or symptoms of HES worsening/flare at visit 1 Age 12 years and older	120	<ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> 30mg Q4W s.c. Arm 2: placebo Q4W s.c. 24-week trial with a minimum 1-year open label extension Global trial- 9-12 countries	<ul style="list-style-type: none"> Primary endpoint: Time to first HES worsening/flare 	<ul style="list-style-type: none"> FPCD :Q3 2020 Data anticipated: H2 2022



Fasenra (IL5R mAb)

Gastrointestinal diseases

Trial	Population	Patients	Design	Endpoints	Status
Phase III MESSINA NCT04543409	Documented diagnosis of EoE Age 12 to 65 years	170	Double blind treatment period and open label period(s) • Arm 1: <i>Fasenra</i> 30mg Q4W s.c. • Arm 2: placebo Q4W s.c. 24-week Global trial – 12 countries	<ul style="list-style-type: none"> Primary endpoints: Histologic response at week 24, change from baseline in DSQ score at week 24 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: H2 2022
Phase III HUDSON	Patients with eosinophilic gastritis and/or gastroenteritis. Age >=12yrs	220	Double blind treatment period and open label extension • Arm 1: <i>Fasenra</i> s.c. • Arm 2: placebo s.c. 24-week Global trial	<ul style="list-style-type: none"> Dual primary endpoints at week 24: Proportion of patients achieving a histological response in the stomach and/or in the duodenum Absolute change in symptoms of EG/EGE 	<ul style="list-style-type: none"> Initiating Data anticipated: 2022+



Fasenra (IL5R mAb)

Dermatology

Trial	Population	Patients	Design	Endpoints	Status
Phase III FJORD NCT04612790	Patients with symptomatic (newly diagnosed or relapsing) bullous pemphigoid	120	Double blind treatment period and open label period <ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> Arm 2: placebo 36-week Global trial	<ul style="list-style-type: none"> Primary endpoint: Proportion of patients with complete sustained (≥ 2 months) remission off OCS at 36 weeks 	<ul style="list-style-type: none"> FPCD: Q2 2021 Data anticipated: 2022+
Phase II ARROYO NCT04612725	Patients with moderate/severe chronic spontaneous urticaria, and resistant to H1 treatment	160	Double blind treatment period and open label period <ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> regimen 1 Arm 2: <i>Fasenra</i> regimen 2 Arm 3: placebo 24-week Global trial	<ul style="list-style-type: none"> Primary endpoint: Change from baseline in ISS7 at week 12 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: H1 2022
Phase II HILLIER NCT04605094	Patients with moderate to severe atopic dermatitis despite treatment with topical medications	160-200	Double blind treatment period and open label periods <ul style="list-style-type: none"> Arm 1: <i>Fasenra</i> Arm 2: placebo 16-week Global trial	<ul style="list-style-type: none"> Primary endpoint: Proportion of patients with an IGA 0/1 and a decrease in IGA of ≥ 2 points at week 16 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: 2022+



Tezepelumab (TSLP mAb)

Severe, uncontrolled asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III NAVIGATOR NCT03347279 Partnered	Severe asthma Age 12-80 years	1,061	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. 52 week trial Global trial – 18 countries	<ul style="list-style-type: none"> Primary endpoint: Annual asthma exacerbation rate Secondary endpoints: Change from baseline in pre-BD FEV1, asthma related QoL (AQLQ(S)+12), asthma control (ACQ-6) 	<ul style="list-style-type: none"> FPCD: Q1 2018 LPCD: Q3 2019 Data readout: Q4 2020 Primary endpoint met
Phase III SOURCE NCT03406078 Partnered	Severe asthma Age 18-80 years	150	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. 48 week trial Global trial – seven countries	<ul style="list-style-type: none"> Primary endpoint: Reduction from baseline in daily OCS dose while not losing asthma control Secondary endpoint: Annual asthma exacerbation rate 	<ul style="list-style-type: none"> FPCD: Q2 2018 LPCD: Q4 2019 Data readout: Q4 2020 Primary endpoint not met
Phase III DESTINATION NCT03706079 Partnered	Severe asthma Age 12-80 years	951	Extension trial to NAVIGATOR and SOURCE <ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. 52 week trial (subjects from NAVIGATOR); 56 week trial (subjects from SOURCE) Global trial – 18 countries	<ul style="list-style-type: none"> Primary endpoint: Exposure adjusted rates of AEs/SAEs Secondary endpoints: Annual asthma exacerbation rate 	<ul style="list-style-type: none"> FPCD: Q1 2019 LPCD: Q4 2020 Data anticipated: H2 2022
Phase III PATH-HOME NCT03968978 Partnered	Severe asthma Age 12-80 years	216	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. via AI Arm 2: tezepelumab s.c. via APFS 24 week trial Global trial – 4 countries	<ul style="list-style-type: none"> Primary endpoint: Proportion of health care professionals and patients /caregivers who successfully administered tezepelumab in clinic and at home with an APFS or an AI, respectively 	<ul style="list-style-type: none"> FPCD: Q2 2019 LPCD: Q3 2019 Data readout: Q4 2020 Primary endpoint met



Tezepelumab (TSLP mAb)

Severe, uncontrolled asthma, COPD & CRSwNP

Trial	Population	Patients	Design	Endpoints	Status
Phase III WAYPOINT NCT04851964 Partnered	Severe chronic rhinosinusitis with nasal polyps (CRSwNP) Age 18+	400	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. 52 week trial Global trial – 11 countries	<ul style="list-style-type: none"> Co-primary endpoint: Nasal Polyp Score and Participant Reported Nasal Congestion 	<ul style="list-style-type: none"> FPCD: Q2 2021 Data anticipated: 2022+
Phase III DIRECTION NCT03927157 Partnered	Severe asthma Age 18-80 years	396	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. 52 week trial Regional Asia trial – three countries	<ul style="list-style-type: none"> Primary endpoint: Annual asthma exacerbation rate Secondary endpoints: Change from baseline in pre-BD FEV1, asthma related QoL (AQLQ(S)+12), asthma control (ACQ-6) 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: 2022+
Phase III NOZOMI NCT04048343 Partnered	Severe asthma 12-80 years	65	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. 52 week trial Local trial - Japan	<ul style="list-style-type: none"> Primary endpoint: Number of patients with adverse events 	<ul style="list-style-type: none"> FPCD: Q2 2019 LPCD: Q4 2019 Data readout: Q2 2021
Phase II CASCADE NCT03688074 Partnered	Severe asthma Age 18-75 years	116	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. 28 week trial Global trial – five countries	<ul style="list-style-type: none"> Primary endpoint: number of airway submucosal inflammatory cells/mm² of bronchoscopic biopsies 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q4 2019 Data readout: Q2 2021 Primary endpoint met
Phase IIa COURSE NCT04039113 Partnered	Moderate to very severe COPD Age 40-80	338	<ul style="list-style-type: none"> Arm 1: tezepelumab s.c. Arm 2: placebo s.c. 52 week trial Global trial – 10 countries	<ul style="list-style-type: none"> Primary endpoint: Rate of moderate or severe COPD exacerbations 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: 2022+



PT027 (SABA/ICS, pMDI)

Asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase III MANDALA NCT03769090 Managed by Avillion	Moderate to severe asthma	3100	Randomised, double-blind, multicentre, parallel group Treatments (minimum 24-week treatment period) <ul style="list-style-type: none"> BDA (budesonide albuterol) MDI 80/180 µg prn BDA MDI 160/180 µg prn AS (albuterol sulphate) MDI 180 µg prn Multi-country	<ul style="list-style-type: none"> Primary endpoint: Time to first severe asthma exacerbation Secondary endpoints: Severe exacerbation rate (annualised); total corticosteroid exposure over the treatment period; asthma Control Questionnaire -5 change from baseline and responder analysis at Week 24; asthma quality of life questionnaire for 12 years and older/paediatric asthma quality of life questionnaire change from baseline and responder analysis at week 24 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: H2 2021
Phase III DENALI NCT03847896 Managed by Avillion	Mild to moderate asthma	1000	Randomised, double-blind, multicentre and parallel-group Treatments (12 week treatment period) <ul style="list-style-type: none"> BDA MDI 80/180 µg QID BDA MDI 160/180 µg QID BD MDI 160 µg QID AS MDI 180 µg QID placebo MDI QID Multi-country	<ul style="list-style-type: none"> Dual primary endpoints: Change from baseline in FEV1 AUC0-6 hours over 12 weeks; change from baseline in trough FEV1 at week 12 	<ul style="list-style-type: none"> FPCD: Q2 2019 LPCD: Q2 2021 Data anticipated: H2 2021
Phase III TYREE NCT04234464 Managed by Avillion	Asthma with exercise induced bronchoconstriction	60	Randomised, double-blind, multicentre crossover Treatments (single dose) <ul style="list-style-type: none"> BDA MDI 160/180 µg placebo MDI QID US	<ul style="list-style-type: none"> Primary endpoint: The maximum percentage fall from post-dose, pre-exercise baseline in forced expiratory volume in 1 second (FEV1) observed up to 60 minutes post-exercise challenge 	<ul style="list-style-type: none"> FPCD: Q1 2020 LPCD: Q3 2020 Data readout: Q4 2020 Primary endpoint met



Anifrolumab (type I interferon receptor mAb)

Lupus (SLE / LN)

Trial	Population	Patients	Design	Endpoints	Status
Phase III TULIP-1 SLE NCT02446912	Moderate to severe SLE	450	<ul style="list-style-type: none"> Arm 1: 300mg i.v. anifrolumab Q4W for 48 weeks Arm 2: 150mg i.v. anifrolumab Q4W for 48 weeks Arm 3: placebo i.v. Q4W for 48 weeks 	<ul style="list-style-type: none"> Primary endpoint: response in SLE responder index at week 52 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2017 Data readout: Q3 2018 Primary endpoint not met
Phase III TULIP-2 SLE NCT02446899	Moderate to severe SLE	360	<ul style="list-style-type: none"> Arm 1: 300mg i.v. anifrolumab Q4W for 48 weeks Arm 2: placebo i.v. Q4W for 48 weeks 	<ul style="list-style-type: none"> Primary endpoint: response in SLE responder index at week 52 BICLA at week 52 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2017 Data readout: Q3 2019 Primary endpoint met
Phase III TULIP LTE NCT02794285	Moderate to severe SLE	630	<ul style="list-style-type: none"> Arm 1: 300mg i.v. anifrolumab Q4W for 152 weeks Arm 2: placebo i.v. Q4W for 152 weeks 	<ul style="list-style-type: none"> Primary endpoint: extension to evaluate long-term safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q2 2016 LPCD: Q4 2018 Data anticipated: H1 2022
Phase II NCT01438489	Moderate to severe SLE patients	307	<ul style="list-style-type: none"> Arm 1: 300mg i.v. anifrolumab Q4W for 48 weeks Arm 2: 1000mg i.v. anifrolumab Q4W for 48 weeks Arm 3: placebo i.v. Q4W for 48 weeks 	<ul style="list-style-type: none"> Primary endpoint: response in SLE responder index at 6 months 	<ul style="list-style-type: none"> FPCD: Q1 2012 LPCD: Q1 2015 Data readout: Q3 2014
Phase II NCT01753193	Moderate to severe SLE patients	218	<ul style="list-style-type: none"> Arm 1: anifrolumab, i.v. Q4W for 104 weeks 	<ul style="list-style-type: none"> Primary endpoint: open-label extension to evaluate long-term safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2013 Data readout: Q4 2018
Phase II NCT02962960	Moderate to severe SLE patients	32	<ul style="list-style-type: none"> Arm 1: 150mg s.c. every other week Arm 2: 300mg s.c. every other week Arm 3: placebo s.c. every other week 	<ul style="list-style-type: none"> PK/PD, safety, tolerability, primary analysis at week 12, secondary analysis at week 52 	<ul style="list-style-type: none"> FPCD: Q1 2017 LPCD: Q4 2017 Data readout: Q1 2018
Phase II TULIP-LN1 NCT02547922	Active proliferative LN	150	<ul style="list-style-type: none"> Arm 1: 900 mg i.v. Q4W for 12 weeks then 300mg i.v. anifrolumab Q4W for 36 weeks Arm 2: 300 mg i.v. anifrolumab Q4W for 48 weeks Arm 3: placebo i.v. Q4W for 48 weeks 	<ul style="list-style-type: none"> Response in proteinuria at week 52 	<ul style="list-style-type: none"> FPCD: Q4 2015 LPCD: Q4 2018 Primary endpoint not met



Anifrolumab (type I interferon receptor mAb)

Lupus (SLE / LN)

Approved medicines

Late-stage development

Early development

Trial	Population	Patients	Design	Endpoints	Status
Phase III TULIP-SC NCT04877691	Moderate to severe SLE patients	360	<ul style="list-style-type: none">Arm 1: 120 mg SC QW, 52 wk in aPFSArm 2: placebo comparator injection in aPFS	<ul style="list-style-type: none">Primary endpoint: reduction in overall disease activity, as measured by BICLA at week 52	<ul style="list-style-type: none">InitiatingData anticipated: 2022+
Phase III AZALEA-SLE NCT04931563	Moderate to severe SLE patients	328	<ul style="list-style-type: none">Arm 1: 300 mg anifrolumab i.v. Q4WArm 2: 300 mg placebo i.v.Q4W Asia	<ul style="list-style-type: none">Primary endpoint: BICLA response at week 52	<ul style="list-style-type: none">FPCD: Q3 2021Data anticipated: 2022+

Oncology

CVRM

R&I

Other

COVID-19



Brazikumab (IL23 inhibitor)

Inflammatory bowel disease (Crohn's disease, ulcerative colitis)

Trial	Population	Patients	Design	Endpoints	Status
Phase IIb / III INTREPID NCT03759288	Crohn's disease	928	<p>Stage 1</p> <ul style="list-style-type: none"> Arm 1: brazikumab high i.v. dose on day 1, 29 and 57 + s.c. brazikumab on day 85 and every 4 weeks through week 48 Arm 2: brazikumab low i.v. dose on day 1, 29 and 57 s.c. brazikumab on day 85 and every 4 weeks through week 48 Arm 3: placebo <p>Stage 2</p> <ul style="list-style-type: none"> Arm 1: brazikumab high i.v. dose on day 1, 29 and 57 + s.c. brazikumab on day 85 and every 4 weeks through week 48 Arm 2: brazikumab low i.v. dose on day 1, 29 and 57 s.c. brazikumab on day 85 and every 4 weeks through week 48 Arm 3: adalimumab s.c. on day 1, 15, 29 and every 2 weeks through week 50 	<ul style="list-style-type: none"> Stage 1 primary endpoints: percentage of patients with CDAI remission at week 12 Stage 1 secondary endpoints: Percentage of patients with endoscopic response at week 12, Percentage of patients with clinical remission at week 12 Stage 2 Primary endpoints: Percentage of patients with endoscopic response at week 52; Percentage of patients with clinical remission at week 52 Stage 2 Secondary endpoints: percentage of patients with endoscopic response at both Week 12 and Week 52; percentage of patients with clinical remission at both Week 12 and Week 52 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data anticipated: 2022+
Phase III NCT03961815	Crohn's Disease	161	Open label extension	<ul style="list-style-type: none"> Primary endpoint: safety of long-term treatment with brazikumab 	<ul style="list-style-type: none"> FPCD: Q2 2019 Data anticipated: 2022+
Phase II EXPEDITION NCT03616821	Ulcerative Colitis	375	<ul style="list-style-type: none"> Arm 1: brazikumab dose 1 i.v. on day 1, 15 and 43 + s.c. brazikumab from day 71 and every 4 weeks Arm 2: brazikumab dose 2 i.v. on day 1, 15 and 43 + s.c. brazikumab from day 71 and every 4 weeks Arm 3: brazikumab dose 3 i.v. on day 1, 15 and 43 + s.c. brazikumab from day 71 and every 4 weeks Arm 4: vedolizumab 300 mg i.v. on day 1, 15 and 43 + i.v. vedolizumab from day 99 and every 8 weeks Arm 5: placebo 	<ul style="list-style-type: none"> Primary endpoint: clinical remission at week 10 Secondary endpoint: sustained clinical remission at week 10 and 54 	<ul style="list-style-type: none"> FPCD: Q3 2018 Data anticipated: 2022+
Phase II NCT04277546	Ulcerative Colitis	150	Open label extension	<ul style="list-style-type: none"> Clinically significant adverse events 	<ul style="list-style-type: none"> FPCD: Q1 2020 Data anticipated: 2022+



Nirsevimab (Respiratory syncytial virus mAb-YTE)

Infection

Trial	Population	Patients	Design	Endpoints	Status
Phase III MELODY NCT03979313	Healthy infants (born 35 weeks 0 days or greater GA)	3000 (total) 1500 (efficacy cohort) 1500 (safety cohort)	Randomised, Double-blind, placebo-controlled Arm 1: nirsevimab i.m. Arm 2: placebo i.m. Global trial – 31 countries	<ul style="list-style-type: none"> Primary endpoints: efficacy Secondary endpoints: safety, PK, ADA 	<ul style="list-style-type: none"> FPCD: Q3 2019 (efficacy cohort) LPCD: Q3 2020 (efficacy cohort) Data readout: Q1 2021 (efficacy cohort) Primary endpoint met FPCD: Q2 2021 (safety cohort) Data anticipated: H2 2022 (safety cohort)
Phase II/III MEDLEY NCT03959488	High risk preterm (born 35 weeks 0 day or less GA), CHD and CLD infants eligible to receive palivizumab	925	Randomised, Double-blind, palivizumab-controlled Arm 1: nirsevimab i.m. Arm 2: <i>Synagis</i> i.m. Global trial – 32 countries	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK, ADA and descriptive efficacy 	<ul style="list-style-type: none"> FPCD: Q3 2019 LPCD: Q4 2020 Data readout: Q2 2021 Safety objective met
Phase IIb NCT02878330	29-35 WK GA (Gestational age) infants	1453	Randomised, double-blind, placebo-controlled trial Arm 1: nirsevimab 50mg i.m. Arm 2: placebo i.m.	<ul style="list-style-type: none"> Primary endpoints: safety and efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2016 LPCD: Q4 2017 Data readout: Q4 2018 Primary endpoint met
Phase II Global IC NCT04484935	Immunocompromised children who are ≤ 24 months of age at the time of dose administration	100	Open-label, Uncontrolled, single-dose Study • nirsevimab i.m. Route of administration: i.m.	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK, ADA, efficacy 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data anticipated 2022+
Phase I China NCT04840849	Healthy Chinese adults, 18-45 years of age	24	Randomised, Double-blind, placebo-controlled Arm 1: nirsevimab 50mg i.m. Arm 2: placebo i.m. Route of administration: i.m. China only	<ul style="list-style-type: none"> Primary endpoint: PK Secondary endpoints: ADA, safety 	<ul style="list-style-type: none"> FPCD: Q2 2021 LPCD: Q2 2021 Data anticipated H1 2022



AZD1222/AZD2816 (SARS-CoV-2)

Prevention of COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase II/III COV002 (UK) NCT04400838 Partnered	Main efficacy trial: healthy adults aged ≥18 years Healthy adults 56 - <70 years Healthy adults ≥70 years Healthy children 5 – 12 years	10812	Single-blinded, randomised, controlled, multicentre trial with sequential age escalation/de-escalation immunogenicity sub-studies that include prime boost • AZD1222 • Control vaccine: MenACWY UK	<ul style="list-style-type: none"> Primary endpoint: efficacy and safety Secondary endpoints: safety, tolerability, reactogenicity, and immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2020 LPCD: Q4 2020
Phase III D8110C00001 (US, global) NCT04516746	Healthy adults Aged 18-65 years	32429	Adaptive, double-blinded, randomised placebo-controlled trial • AZD1222 • placebo US, Peru, Chile	<ul style="list-style-type: none"> Primary endpoints: efficacy, safety, tolerability, and reactogenicity Secondary endpoints: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q3 2020 LPCD: Q1 2021 Data readout: Q1 2021
Phase III COV003 (Brazil) NCT04536051 Partnered	Health professionals and adults with high potential for exposure to SARS-CoV-2 Age 18-55 years	10416	Single-blinded, randomised, controlled multicentre trial • AZD1222 • Control vaccine: MenACWY Brazil	<ul style="list-style-type: none"> Primary endpoint: efficacy Secondary endpoints: safety, tolerability, reactogenicity, and immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2020 LPCD: Q4 2020
Phase III D8111C00001 NCT04540393	Healthy adults Age ≥18 years	100	Open-label, non-comparative trial Russia	<ul style="list-style-type: none"> Primary endpoints: safety, tolerability Secondary endpoints: immunogenicity 	<ul style="list-style-type: none"> Paused
Phase I/II COV001 (UK) NCT04324606 Partnered	Healthy adults Age 18-55 years	1077	Single-blinded, randomised, controlled, multicentre trial • AZD1222 • Control vaccine: MenACWY UK	<ul style="list-style-type: none"> Primary endpoint: efficacy and safety Secondary endpoints: safety, tolerability, reactogenicity, and immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2020 LPCD: Q2 2020
Phase I/II COV005 (SA) NCT04444674 Partnered	Healthy adults Age 18-65 years HIV+ subgroup	2130	Adaptive, double-blinded, randomised placebo-controlled trial • AZD1222 • placebo South Africa	<ul style="list-style-type: none"> Primary endpoint: efficacy, safety, and immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2020 LPCD: Q4 2020



AZD1222/AZD2816 (SARS-CoV-2)

Prevention of COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase II/III AZD2816 (UK, Brazil, South Africa, Poland) D7220C00001	Healthy adults Aged > 18 years	2590	Partially double-blinded, randomized, active-controlled trial in unvaccinated or previously vaccinated participants <ul style="list-style-type: none"> AZD2816 AZD1222 	<ul style="list-style-type: none"> Primary endpoint: safety, tolerability Secondary endpoint: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2021 LPCD: Q3 2021
Phase I/II D8111C00002 NCT04568031	Healthy adults Age ≥18 years	256	Double-blinded, randomised, placebo-controlled multicentre trial <ul style="list-style-type: none"> AZD1222 placebo Japan	<ul style="list-style-type: none"> Primary endpoints: safety, tolerability, reactogenicity, immunogenicity Secondary endpoints: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q3 2020 LPCD: Q4 2020 Data readout: Q4 2020
Phase I/II COV004 (Kenya)	Healthy adults	400	Double-blinded, randomised, placebo-controlled multicentre trial <ul style="list-style-type: none"> AZD1222 Control vaccine: rabies Kenya	<ul style="list-style-type: none"> Primary endpoints: safety, tolerability, reactogenicity, immunogenicity Secondary endpoints: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q4 2020
Phase II COV006 (UK) ISRCTN15638344 Partnered	Healthy children and adolescents age 6-17 years	261	Single-blinded, randomized multi-centre trial <ul style="list-style-type: none"> AZD1222 Meningococcal Group B vaccine UK	<ul style="list-style-type: none"> Primary endpoints: safety, tolerability, reactogenicity Secondary endpoints: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q1 2021
Phase I COV008 (UK) NCT04816019 Partnered	Healthy adults Age 18-55	54	Open label, randomized, dose-escalation trial of AZD1222 administered intranasally <ul style="list-style-type: none"> AZD1222 UK	<ul style="list-style-type: none"> Primary endpoint: safety, tolerability Secondary endpoint: immunogenicity 	<ul style="list-style-type: none"> FPCD: Q1 2021 LPCD: Q4 2020



AZD7442 (LAAB combination of AZD8895 & AZD1061)

Prevention and treatment of COVID-19

Trial	Population	Patients/Subjects	Design	Endpoints	Status
Phase III PROVENT D8850C00002 NCT04625725	Adults having increased risk for inadequate response to active immunisation or having increased risk for SARS-CoV-2 infection	5,000	Double-blinded, randomised, placebo controlled, multi centre study to determine safety and efficacy in pre-exposure prophylaxis <ul style="list-style-type: none"> • Arm 1: AZD7442 • Arm 2: placebo AZD7442/placebo (2:1) USA, UK, Belgium, France, Spain	<ul style="list-style-type: none"> • Primary endpoint: positive symptomatic illness post –dose • Secondary endpoints: Incidence of nucleocapsid antibodies, incidence of emergency visits, incidence of PCR positive, incidence of ADA to AZD7442 in serum and AZD7442 serum concentrations 	<ul style="list-style-type: none"> • FPCD: Q4 2020 • LPCD: Q1 2021 • Data anticipated: H2 2021
Phase III STORM CHASER D8850C00003 NCT04625972	Adults with potential exposure to an identified individual with confirmed SARS-COV2 infection and at risk of developing COVID-19	1,125	Double-blinded, randomised, placebo controlled, multi centre study to determine safety and efficacy in post-exposure prophylaxis <ul style="list-style-type: none"> • Arm 1: AZD7442 • Arm 2: placebo AZD7442/placebo (2:1) USA and UK	<ul style="list-style-type: none"> • Primary endpoint: positive symptomatic illness post –dose • Secondary endpoints: Incidence of nucleocapsid antibodies, incidence of COVID-19 related death, incidence of all cause mortality, incidence of ADA to AZD7442 in serum and ZD7442 serum concentrations 	<ul style="list-style-type: none"> • FPCD: Q4 2020 • LPCD: Q1 2021 • Data readout: Q2 2021 • Primary endpoint not met
PHASE III TACKLE NCT04723394	Adults with confirmed mild to moderate SARS-COV2 infection. Symptomatic patients with documented positive SARS-Cov-2 molecular test	1,700	Double-blinded, randomised, placebo controlled, multi centre study to determine safety and efficacy for treatment of Covid-19 in non-hospitalised patients <ul style="list-style-type: none"> • Arm 1: AZD7442 • Arm 2: placebo AZD7442/placebo (1:1) UK, Germany, Spain, Italy, Hungary, Russia, US, Mexico, Japan, Poland, Czech Republic, Peru, Argentina, Brazil, Bulgaria and Ukraine	<ul style="list-style-type: none"> • Primary endpoint: efficacy in the prevention of the composite endpoint of either severe COVID-19 or death from any cause through study day 29 	<ul style="list-style-type: none"> • FPCD: Q1 2021 • Data anticipated: H2 2021
Phase I NCT04507256	Healthy adults Aged 18-55 years	60	Double-blinded, randomised, placebo controlled, single ascending dose study <ul style="list-style-type: none"> • Arm 1: AZD7442 • Arm 2: placebo AZD7442/placebo (10:2) UK	<ul style="list-style-type: none"> • Primary endpoint: safety, tolerability and PK • Secondary endpoint: immunogenicity 	<ul style="list-style-type: none"> • FPCD: Q3 2020 • LPCD: Q3 2020



Other COVID-19 trials

Treatment of COVID-19

Trial	Population	Patients/Subjects	Design	Endpoints	Status
Phase III DARE-19 NCT04350593	COVID-19	1250	<ul style="list-style-type: none"> Current SoC or current SoC + <i>Farxiga</i> 	<ul style="list-style-type: none"> Primary outcome measures: time to first occurrence of either death from any cause or new/worsened organ dysfunction through 30 days of follow up or improving clinical recovery. 	<ul style="list-style-type: none"> FPCD: Q2 2020 LPCD: Q1 2021 Data readout: Q1 2021 Primary endpoint not met
Phase IIIa TACTIC-COVID NCT04355637	COVID-19	300	<ul style="list-style-type: none"> Current SoC or SoC + <i>Pulmicort</i> 	<ul style="list-style-type: none"> Primary outcome measures: proportion of patients in both arms fulfilling the criteria for treatment failure 	<ul style="list-style-type: none"> FPCD: Q2 2020 Data anticipated: Q2 2021
Phase IIIa STOIC NCT04416399	COVID-19	478	<ul style="list-style-type: none"> Current SoC or SoC + <i>Pulmicort</i> 	<ul style="list-style-type: none"> Primary outcome measures: emergency department attendance of hospitalisation related to COVID-19 	<ul style="list-style-type: none"> FPCD: Q2 2020 Data readout: Q1 2021
Phase IIIa INHASCO NCT04331054	COVID-19	436	<ul style="list-style-type: none"> Current SoC or SoC + <i>Symbicort</i> 	<ul style="list-style-type: none"> Primary outcome measures: time (in days) to clinical improvement within 30 days after randomisation 	<ul style="list-style-type: none"> FPCD: Q2 2020 Data anticipated: Q2 2021
Phase II TACTIC-E NCT04393246	COVID-19	1407	<ul style="list-style-type: none"> Current SoC or current SoC + <i>Farxiga</i> + ambrisentan 	<ul style="list-style-type: none"> Primary outcome measures: time to incidence of the composite endpoint of: death, mechanical ventilation, extracorporeal membrane oxygenation, cardiovascular organ support, or renal failure 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: H1 2022
Phase II ACCORD	COVID-19	180	<ul style="list-style-type: none"> Current SoC or current SoC + MEDI3506 	<ul style="list-style-type: none"> Primary endpoints: time to a 2-point improvement on a 9-point category ordinal scale, discharge from hospital, or considered fit for discharge whichever comes first by Day 29 	<ul style="list-style-type: none"> FPCD: Q2 2020 Data anticipated: H2 2021



BioPharmaceuticals – early-stage development



Cotadutide (GLP-1-glucagon agonist)

Diabetes/CKD, NASH

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT03555994	Adults with type-2 diabetes	44	<ul style="list-style-type: none"> Part A: cotadutide or placebo s.c. Part B: cotadutide s.c. or placebo s.c. or liraglutide s.c. Sweden, Netherlands, UK	<ul style="list-style-type: none"> Primary endpoint: change in hepatic glycogen concentration postprandially, adjusted by liver volume Secondary endpoints: safety, tolerability, immunogenicity 	<ul style="list-style-type: none"> FPCD: Q2 2018 Part A LPCD: Q4 2018 Data readout: Q1 2019 Part B FPCD: Q1 2020 LPCD: Q1 2021 Data readout: Q2 2021
Phase IIb NCT03596177	Overweight and obese patients with type-2 diabetes	27	<ul style="list-style-type: none"> Cotadutide or placebo s.c. UK	<ul style="list-style-type: none"> Primary endpoints: efficacy body weight loss Secondary endpoint: change in total energy intake; change in total energy expenditure, active energy expenditure, resting energy expenditure; safety 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q4 2019 Data readout: Q4 2020
Phase IIb NCT04019561	Obese patients with non-alcoholic fatty liver disease (NAFLD)/non-alcoholic steatohepatitis (NASH)	74	<ul style="list-style-type: none"> Arm 1: cotadutide high dose s.c. Arm 2: placebo high dose s.c. Arm 3: cotadutide low dose s.c. Arm 4: placebo low dose s.c. US	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: change in hepatic fat fraction; change in liver fat volume; change in visceral adipose tissue 	<ul style="list-style-type: none"> FPCD: Q4 2019 Data anticipated: H2 2021
Phase II NCT04515849	Chronic kidney disease with type 2 diabetes mellitus	225	<ul style="list-style-type: none"> Arm 1: cotadutide 100 micrograms Arm 2: cotadutide 300 micrograms Arm 3: cotadutide 600 micrograms Arm 4: semaglutide Arm 5: placebo 	<ul style="list-style-type: none"> Primary endpoint: efficacy change in UACR Secondary endpoints: Change in HbA1c; change in glucose measured by CGM; effects on body weight; safety, tolerability, Immunogenicity 	<ul style="list-style-type: none"> FPCD: Q4 2020 LPCD: H2 2021 Data anticipated: H2 2022
Phase I NCT04091373	Healthy adult patients	36		<ul style="list-style-type: none"> Primary endpoint: exposure following a single s.c. of cotadutide at each of 3 different sites of injection Secondary endpoints: immunogenicity; safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q4 2019 LPCD: Q1 2020 Data readout: Q4 2020



Verinurad (URAT1 inhibitor)

CKD, HFpEF

Trial	Population	Patients	Design	Endpoints	Status
Phase II SAPPHIRE NCT03990363	Patients with: <ul style="list-style-type: none"> sUA \geq6.0 mg/dL eGFR \geq25 mL/min/1.73 m² Chronic Kidney Disease Epidemiology Collaboration (CKD-EPI formula) Mean UACR between 30 mg/g and 5000 mg/g 	861	<ul style="list-style-type: none"> Arm A: verinurad 12 mg + allopurinol 300 mg Arm B: verinurad 7.5 mg + allopurinol 300 mg Arm C: verinurad 3 mg to 24 mg + allopurinol 300 mg Arm D: verinurad placebo + allopurinol 300 mg Arm E: verinurad placebo + allopurinol placebo USA, China, Czech Republic, France, Hungary, Israel, Italy, Mexico, Poland, Romania, Slovakia, South Africa, Spain	<ul style="list-style-type: none"> Primary endpoints: ratio of urinary albumin to urinary creatinine Secondary endpoints: changes in eGFR, Cystatin C, and uric acid 	<ul style="list-style-type: none"> FPCD: Q3 2019 Data anticipated: H1 2022
Phase II AMETHYST NCT04327024	Patients with heart failure with preserved ejection fraction	435	<ul style="list-style-type: none"> Arm A: verinurad 24 mg + allopurinol 300 mg Arm B: verinurad 12 mg + allopurinol 300 mg Arm C: verinurad placebo + allopurinol 300 mg Arm D: verinurad placebo + allopurinol placebo Argentina, Australia, Austria, Bulgaria, Canada, Germany, Mexico, Poland, Russia, Slovakia South Korea, USA	<ul style="list-style-type: none"> Primary endpoint: peak V02 Change from baseline at Week 28 in exercise capacity Secondary endpoint: change from baseline at Week 28 in Kansas-City Cardiomyopathy Questionnaire-Total Symptom Score (KCCQ-TSS) 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data readout: 2022+
Phase I NCT03118739	Healthy volunteers	24	Single-centre, randomised, placebo-controlled, double-blind, 3-period, cross-over trial <ul style="list-style-type: none"> Arm A: verinurad 24 mg ER8 formulation + 300 mg allopurinol Arm B: verinurad 40 mg IR formulation + 300 mg allopurinol Arm C: matched placebos for both verinurad and allopurinol Germany	<ul style="list-style-type: none"> Primary endpoint: ratio of urinary albumin to urinary creatinine Secondary endpoints: sUA, eGFR, serum creatinine, serum cystatin C 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data readout: H2 2020



Verinurad (URAT1 inhibitor)

CKD, HFpEF

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04532918	Healthy volunteers	14	<p>Single-centre, randomised, open-label, 3-period, fixed sequence, trial</p> <ul style="list-style-type: none"> Arm A: verinurad 7.5 mg ER8 formulation + 300 mg allopurinol under fasted conditions Arm B: verinurad 7.5 mg IR formulation + 300 mg allopurinol + cyclosporin 600 mg under fasted conditions Arm C: verinurad 7.5 mg IR formulation + 300 mg allopurinol + rifampicin 600 mg under fasted conditions <p>Germany</p>	<ul style="list-style-type: none"> Primary endpoint: PK 	<ul style="list-style-type: none"> FPCD: Q3 2020 LPCD: Q4 2020 Data readout: H1 2021
Phase I NCT04550234	Healthy volunteers	25	<ul style="list-style-type: none"> Arm A: verinurad prolonged release HPMC capsule and allopurinol tablet, fasted state Treatment B: verinurad/allopurinol FDC capsule, fasted state Arm C: verinurad/allopurinol FDC capsule, fed state Treatment D: verinurad prolonged release HPMC capsule and allopurinol tablet, fed state Arm E: verinurad prolonged release gelatin capsule, fasted state <p>The trial is a single centre, randomised, open-label, single-dose, 5-period, 5-treatment, crossover study conducted in Germany.</p>	<ul style="list-style-type: none"> Primary endpoint: bioavailability 	<ul style="list-style-type: none"> FPCD: Q2 2021 Data anticipated: H2 2021



AZD2373

Chronic kidney disease

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04269031	Healthy volunteers	48	SAD. Dose escalation in 6 cohorts with 6 volunteers receiving AZD2373 and 2 volunteers receiving placebo in each cohort <ul style="list-style-type: none">• Arm 1: AZD2373• Arm 2: placebo US	<ul style="list-style-type: none">• Primary endpoints: safety and tolerability• Secondary endpoint: PK parameters	<ul style="list-style-type: none">• FPCD: Q1 2020



AZD2693 (antisense oligonucleotide)

Approved medicines

Late-stage development

Early development

Oncology

NASH

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04142424	Healthy volunteers	62	SAD. 6 cohorts with 6 volunteers receiving AZD2693 and 2 volunteers receiving placebo in each cohort • Arm 1: AZD2693 s.c. • Arm 2: placebo s.c. US	<ul style="list-style-type: none">Primary endpoints: safety and tolerabilitySecondary endpoint: PK	<ul style="list-style-type: none">FPCD: Q4 2019Data anticipated: H2 2021
Phase I NCT04142424	NASH/NAFLD F0-F3	60	MAD. 3 cohorts receiving AZD2693 and placebo in each cohort • Arm 1: AZD2693 s.c. • Arm 2: placebo s.c. US	<ul style="list-style-type: none">Primary endpoints: safety and tolerabilitySecondary endpoint: PK	<ul style="list-style-type: none">FPCD: Q2 2021Data anticipated: H1 2022

CVRM

R&I

Other

COVID-19



AZD3427 (relaxin)

Heart failure

Approved medicines

Late-stage development

Early development

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04630067	SAD – Healthy volunteers MAD – Heart failure	104	Multicentre single and multiple ascending dose study Part A SAD 6 cohorts <ul style="list-style-type: none"> • Arm 1: AZD3427 • Arm 2: placebo Part B MAD <ul style="list-style-type: none"> • Arm 1: AZD3427 • Arm 2: placebo US	<ul style="list-style-type: none"> • Primary endpoints: safety and tolerability 	<ul style="list-style-type: none"> • FPCD: Q4 2020 • Data anticipated: H1 2022
Phase I NCT04890548	Heart failure	16	Mechanistic study to evaluate the vasodilatory effects of AZD3427 <ul style="list-style-type: none"> • Cohort 1: HFpEF AZD3427 a sequence of 5 IA infusions into the brachial artery • Cohort 2: HFrEF a sequence of 5 IA infusions into the brachial artery UK	<ul style="list-style-type: none"> • Primary endpoint: change from baseline in absolute forearm blood flow • Secondary endpoints: Change from baseline in forearm blood flow ratio in the infused arm and between arms; ADA; safety & tolerability 	<ul style="list-style-type: none"> • FPCD: H2 2021 • Data anticipated: H1 2022

Oncology

CVRM

R&I

Other

COVID-19



AZD3366

Approved medicines

Late-stage development

Early development

Oncology

Cardiovascular disease

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04588727	Healthy volunteers	103	<p>SAD trial</p> <p>Part A</p> <p>7 cohorts with 6 volunteers receiving AZD3366 and 2 volunteers receiving placebo in each cohort; three cohorts with Japanese subjects 5 receiving AZD3366 1 receiving placebo; 1 Chinese cohort of 6 receiving AZD3366 2 receiving placebo</p> <ul style="list-style-type: none">• Arm 1: AZD3366• Arm 2: placebo <p>Part B</p> <p>12 subjects</p> <ul style="list-style-type: none">• Arm 1: AZD3366 + <i>Brilinta</i> + ASA• Arm 2: placebo + <i>Brilinta</i> + ASA	<ul style="list-style-type: none">• Primary endpoints: safety and tolerability• Secondary endpoint: PK parameters	<ul style="list-style-type: none">• FPCD: Q4 2020

CVRM

R&I

Other

COVID-19



MEDI3506 (IL33 ligand mAb)

Diabetic kidney disease

Approved medicines

Late-stage development

Early development

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT04170543	Adult patients with diabetic kidney disease	565	<ul style="list-style-type: none">• Arm A: MEDI3506 dose 1 + <i>Farxiga</i>• Arm B: MEDI3506 dose 2 + <i>Farxiga</i>• Arm C: MEDI3506 dose 3 + <i>Farxiga</i>• Arm D: MEDI3506 dose 4 + <i>Farxiga</i>• Arm E: placebo + <i>Farxiga</i> USA, Canada, Japan and additional countries.	<ul style="list-style-type: none">• Primary endpoints: safety and efficacy	<ul style="list-style-type: none">• FPCD: Q4 2019• Data anticipated: 2022+

Oncology

CVRM

R&I

Other

COVID-19



AZD4831 (MPO inhibitor)

Cardiovascular disease

Trial	Population	Patients	Design	Endpoints	Status
Phase IIa NCT03756285	HFpEF	96	<ul style="list-style-type: none"> Arm 1: AZD4831 Arm 2: placebo Global trial – five countries	<ul style="list-style-type: none"> Primary endpoint: The change from baseline in MPO activity in % 	<ul style="list-style-type: none"> FPCD: Q4 2018 Data readout: Q2 2021
Phase I NCT02712372	Healthy patients	c. 96	SAD trial <ul style="list-style-type: none"> Arm 1: AZD4831 Arm 2: placebo Germany	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoint: PK parameters 	<ul style="list-style-type: none"> FPCD: Q3 2016 LPCD: Q4 2016 Data readout: Q2 2017
Phase I NCT03136991	Healthy patients	c. 40	MAD trial <ul style="list-style-type: none"> Arm 1: AZD4831 Arm 2: placebo USA	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoint: PK parameters 	<ul style="list-style-type: none"> FPCD: Q2 2017 LPCD: Q4 2017 Data readout: Q1 2018
Phase I NCT04232345	Healthy patients	32	MAD trial in Japanese and Chinese patients	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability 	<ul style="list-style-type: none"> FPCD Q1 2020 Data anticipated: H2 2021
Phase I NCT04407091	Healthy patients	6	Open label hADME trial <ul style="list-style-type: none"> a single oral dose of [14C] AZD4831 UK	<ul style="list-style-type: none"> Primary endpoints: mass balance, with routes and rates of elimination of [14C]AZD4831; Metabolite profiling and structural identification; PK and total radioactivity 	<ul style="list-style-type: none"> FPCD: Q2 2020 LPCD: Q3 2020 Data anticipated: H2 2021



AZD5718 (FLAP inhibitor)

Cardiovascular disease & Chronic Kidney Disease

Trial	Population	Patients	Design	Endpoints	Status
Phase IIb NCT04492722	Proteinuric CKD	632	Randomised, double-blind, placebo-controlled, multicentre, dose-ranging trial <ul style="list-style-type: none"> AZD5718 placebo 	<ul style="list-style-type: none"> Primary endpoints: dose-response efficacy, safety, PK 	<ul style="list-style-type: none"> FPCD: Q4 2020 Data anticipated: H2 2022
Phase IIa NCT03317002	CAD	129	<ul style="list-style-type: none"> Arm 1: AZD5718 Dose A Arm 2: AZD5718 Dose B Arm 3: placebo Global trial – three countries in Europe	<ul style="list-style-type: none"> Primary endpoint: PD effect of AZD5718 by assessment of u-LTE4 	<ul style="list-style-type: none"> FPCD: Q4 2017 LPCD: Q4 2019 Data readout: Q1 2021
Phase I NCT03948451	Healthy patients	6	Open label hADME trial <ul style="list-style-type: none"> a single oral dose of ¹⁴C-AZD5718 UK	<ul style="list-style-type: none"> Primary endpoint: Mass balance, with routes and rates of elimination of ¹⁴C-AZD5718; Metabolite profiling and structural identification; PK and total radioactivity 	<ul style="list-style-type: none"> FPCD: Q2 2019 LPCD: Q2 2019
Phase I NCT04087187	Healthy patients	14	BA trial. Open-label, randomised, 3-period, 3-treatment, crossover design <ul style="list-style-type: none"> AZD5718 	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability, PK 	<ul style="list-style-type: none"> FPCD: Q4 2019 LPCD: Q4 2019
Phase I NCT04210388	Healthy patients	12	BA trial. Open-label, randomised, single-dose, combined 2x2 dose and 3x3 dose crossover design in fixed sequence. <ul style="list-style-type: none"> AZD5718 	<ul style="list-style-type: none"> Primary endpoints: bioavailability, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2020 LPCD: Q1 2020
Phase I NCT0473275	Healthy patients	16	BA trial. Open-label, randomised, single-dose, 3-period, single dose, crossover design <ul style="list-style-type: none"> AZD5718 	<ul style="list-style-type: none"> Primary endpoints: bioavailability, safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2021 LPCD: Q1 2021 Data anticipated: H2 2021



AZD8233 (PCSK9 inhibitor, subcutaneous)

Dyslipidaemia

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT04641299	Dyslipidaemia	108	<ul style="list-style-type: none"> Arm 1: High AZD8233 dose Arm 2: Medium AZD8233 dose Arm 3: Low AZD8233 dose Arm 4: placebo 12 weeks 3 countries (US, Slovakia and Denmark)	<ul style="list-style-type: none"> Primary endpoint: efficacy 	<ul style="list-style-type: none"> FPCD: Q4 2020 LPCD: Q1 2021 Data anticipated: H2 2021
Phase II NCT04823611	Dyslipidaemia	91	Part A: 11 subjects randomized in an 8:3 ratio <ul style="list-style-type: none"> Arm 1: High AZD8233 dose Arm 2: placebo Part B: 80 subjects randomized across four different treatment arms in a 1:1:1:1 ratio <ul style="list-style-type: none"> Arm 1: High AZD8233 dose Arm 2: Medium AZD8233 dose Arm 3: Low AZD8233 dose Arm 4: placebo 12 weeks Japan	<ul style="list-style-type: none"> Part A primary endpoints: safety and tolerability Part B primary endpoint: efficacy 	<ul style="list-style-type: none"> FPCD: Q1 2021 Data anticipated: H2 2022
Phase I NCT03593785	Healthy subjects	72	SAD trial 7 cohorts <ul style="list-style-type: none"> Arm 1: AZD8233 Arm 2: placebo US	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK and PD parameters 	<ul style="list-style-type: none"> FPCD: Q3 2018 LPCD: Q3 2019 Data anticipated: H2 2021
Phase I NCT04155645	Dyslipidaemia	33	MAD trial 3 cohorts <ul style="list-style-type: none"> Arm 1: AZD8233 Arm 2: placebo US	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK and PD parameters 	<ul style="list-style-type: none"> FPCD: Q1 2020 LPCD: Q4 2020 Data anticipated: H2 2021



MEDI8367

Chronic kidney disease

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04365218	Healthy volunteers CKD	70	SAD trial 6 cohorts <ul style="list-style-type: none">• Arm 1: MEDI8367 s.c.• Arm 2: placebo s.c. US	<ul style="list-style-type: none">• Primary endpoints: safety and tolerability• Secondary endpoints: PK parameters, ADA	<ul style="list-style-type: none">• FPCD: Q3 2020



AZD8601 (VEGF-A modified RNA)

Cardiovascular disease

Trial	Population	Patients	Design	Endpoints	Status
Phase IIa NCT03370887	HF	Up to 33	<ul style="list-style-type: none"> • Arm 1: AZD8601 Dose A • Arm 2: AZD 8601 Dose B • Arm 3: placebo Finland, Germany	<ul style="list-style-type: none"> • Primary endpoints: safety and tolerability 	<ul style="list-style-type: none"> • FPCD: Q1 2018 • Data anticipated: H2 2021
Phase I NCT02935712	Type 2 diabetic patients	c. 60	SAD trial <ul style="list-style-type: none"> • Arm 1: AZD8601 • Arm 2: placebo Germany	<ul style="list-style-type: none"> • Primary endpoints: safety and tolerability 	<ul style="list-style-type: none"> • FPCD: Q1 2017 • LPCD: Q3 2017 • Data readout: Q1 2018



AZD9977 (MCR modulator)

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Heart failure

Trial	Population	Patients	Design	Endpoints	Status
Phase IIb NCT04595370	Heart failure with chronic kidney disease	540	Randomised, stratified according to T2DM and eGFR (≥ 20 to < 30 mL/min / ≥ 30 to < 45 mL/min / ≥ 45 mL/min) for 12 weeks: <ul style="list-style-type: none"> Arm 1: AZD9977 15 mg + <i>Farxiga</i> 10 mg Arm 2: AZD9977 50 mg + <i>Farxiga</i> 10 mg Arm 3: AZD9977 150 mg + <i>Farxiga</i> 10 mg Arm 4: AZD9977 150 mg Arm 5: <i>Farxiga</i> 10 mg Arm 6: placebo 12 weeks Trial conducted in 20 countries globally	<ul style="list-style-type: none"> Primary endpoint: percent change from baseline in UACR at 12 weeks Secondary endpoints: percent change from baseline in UACR at 12 weeks to assess dose-response relationship; dose-response relationship of placebo, AZD9977 alone <i>Farxiga</i> and 3 doses of AZD9977 combined with <i>Farxiga</i> on UACR; safety, tolerability and serum potassium values; eGFR 	<ul style="list-style-type: none"> FPCD: Q2 2021 Data anticipated: H2 2022
Phase I NCT03435276	Healthy volunteers	27	MAD trial 3 cohorts <ul style="list-style-type: none"> Arm 1: AZD9977 Arm 2: placebo UK.	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK parameters 	<ul style="list-style-type: none"> FPCD: Q1 2018 LPCD: Q2 2018 Data readout: Q3 2018
Phase I NCT03450759	Healthy volunteers	12	BA trial of four different oral formulations of AZD9977 and influence of food UK.	<ul style="list-style-type: none"> Primary endpoints: relative bioavailability vs. oral suspension (reference) Secondary endpoints: PK parameters 	<ul style="list-style-type: none"> FPCD: Q2 2018 LPCD: Q2 2018 Data readout: Q3 2018
Phase I NCT03682497	HF	60	<ul style="list-style-type: none"> Arm 1: AZD9977 Arm 2: spironolactone 	<ul style="list-style-type: none"> Primary endpoint: serum potassium 	<ul style="list-style-type: none"> FPCD: Q4 2018 LPCD: Q1 2019
Phase I NCT03843060	Healthy volunteers	14	DDI trial <ul style="list-style-type: none"> Arm 1: AZD9977 Arm 2: AZD9977 + itraconazole US	<ul style="list-style-type: none"> Primary endpoints: PK parameters Secondary endpoints: safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2019 LPCD: Q1 2019 Data readout: Q3 2019



AZD9977 (MCR modulator)

Heart failure

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03801967	Healthy volunteers	45	JSMAD trial Single and multiple-ascending dose administration in Japanese healthy volunteers. UK	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK parameters 	<ul style="list-style-type: none"> FPCD: Q1 2019 LPCD: Q2 2019 Data readout: Q3 2019
Phase I NCT03804645	Healthy volunteers	12	BA trial Investigation of four different oral formulations of AZD9977 and influence of food. UK	<ul style="list-style-type: none"> Primary endpoints: relative bioavailability vs. capsule formulation (reference); PK parameters 	<ul style="list-style-type: none"> FPCD: Q1 2019 LPCD: Q2 2019 Data readout: Q3 2019
Phase I NCT04469907	Renal impairment	32	<ul style="list-style-type: none"> AZD9977 US	<ul style="list-style-type: none"> Primary endpoints: PK parameters Secondary endpoints: safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data anticipated: H2 2021
Phase I NCT04686591	Healthy volunteers	8	hADME trial <ul style="list-style-type: none"> single oral dose ¹⁴C-AZD9977 UK	<ul style="list-style-type: none"> Primary endpoints: absolute bioavailability, the mass balance, rates and routes of elimination Secondary endpoints: safety and tolerability 	<ul style="list-style-type: none"> FPCD: Q1 2021 LPCD: Q1 2021 Data anticipated: H2 2021



Zibotentan (endothelin receptor antagonist)

Chronic kidney disease

Trial	Population	Patients	Design	Endpoints	Status
Phase IIb NCT04724837	CKD	660	<p>Part A: 132 participants equally randomised across 4 arms:</p> <ul style="list-style-type: none"> • Arm 1: zibotentan dose A + <i>Farxiga</i> 10 mg once daily • Arm 2: zibotentan dose A once daily • Arm 3: <i>Farxiga</i> 10 mg once daily • Arm 4: placebo once daily <p>Part B: 528 participants equally randomised across 6 arms:</p> <ul style="list-style-type: none"> • Arm 1: zibotentan dose C + <i>Farxiga</i> 10 mg once daily • Arm 2: zibotentan dose B + <i>Farxiga</i> 10 mg once daily • Arm 3: zibotentan dose A + <i>Farxiga</i> 10 mg once daily • Arm 4: zibotentan dose A once daily • Arm 5: <i>Farxiga</i> 10 mg once daily • Arm 6: placebo once daily <p>Global</p>	<ul style="list-style-type: none"> • Primary endpoint: change in log-transformed UACR from baseline to week 12. • Secondary endpoints: change in log-transformed UACR from baseline to week 12; change in blood pressure from baseline (Visit 2) to week 12; least squares mean change of UACR at week 12 from the 3 Zibo/Dapa dose groups and the <i>Farxiga</i> monotherapy group; change in eGFR from baseline to week 1, week 12 and week 14; change in eGFR from week 1 to week 12. 	<ul style="list-style-type: none"> • FPCD: Q2 2021 • Data anticipated: H2 2022



MEDI5884 (cholesterol modulation)

Cardiovascular disease

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Trial	Population	Patients	Design	Endpoints	Status
Phase IIa NCT03351738	Adults with stable CHD	133	5 dose cohorts <ul style="list-style-type: none">• Arm 1: MEDI5884• Arm 2: placebo	<ul style="list-style-type: none">• Primary endpoints: safety profile in terms of AEs, vital signs, ECG, lab variables; Changes in HDL-C over time, PK, immunogenicity, and Apolipoprotein B	<ul style="list-style-type: none">• FPCD: Q4 2017• Data readout: Q4 2018



MEDI6570

Approved medicines

Late-stage development

Early development

Oncology

CVRM

R&I

Other

COVID-19

Cardiovascular & metabolic diseases

Trial	Population	Patients	Design	Endpoints	Status
Phase IIb NCT04610892	Post MI	792	Evaluation of anti-inflammatory potential and effect on surrogates for atherosclerotic and heart failure (HF) events <ul style="list-style-type: none">• Arm 1: High MEDI6570 dose• Arm 2: Medium MEDI6570 dose• Arm 3: Low MEDI6570 dose• Arm 4: placebo US, Canada, Hungary, Japan, Czech Republic, Italy, Spain, Netherlands, Poland, UK, Australia, Russia	<ul style="list-style-type: none">• Primary endpoints: efficacy and safety	<ul style="list-style-type: none">• FPCD: Q4 2020• Data anticipated: 2022+
Phase I NCT03654313	Atherosclerotic cardiovascular disease	88	SAD followed by MAD trial <ul style="list-style-type: none">• MEDI6570	<ul style="list-style-type: none">• Primary endpoints: safety and tolerability	<ul style="list-style-type: none">• FPCD: Q4 2018• LPCD: Q3 2020• Data anticipated: H2 2021



AZD0449 (inhaled JAK-1 inhibitor)

Asthma

Approved medicines

Late-stage development

Early development

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03766399	Healthy subjects and patients with mild asthma	130	SAD/MAD/Bridge trial Part 1 SAD <ul style="list-style-type: none">• Arm 1: AZD0449 i.v.• Arm 2: placebo i.v. Part 2 MAD <ul style="list-style-type: none">• Arm 1: Inhaled AZD0449• Arm 2: Inhaled placebo Part 3 bridge <ul style="list-style-type: none">• Arm 1: AZD0449 (DPI formulation)• Arm 2: placebo UK and NZ	<ul style="list-style-type: none">• Primary endpoints: safety and tolerability• Secondary endpoints: PK parameters, FENO	<ul style="list-style-type: none">• FPCD: Q4 2018• Data anticipated: H2 2021

Oncology

CVRM

R&I

Other

COVID-19



AZD1402 (IL4 receptor alpha antagonist)

Asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase IIa NCT04643158 Partnered	Patients with asthma on medium dose inhaled corticosteroids	405	Randomised, placebo-controlled, double-blinded, multicentre, 2-part study. Part 1 population with asthma controlled on medium dose ICS-LABA Part 1a <ul style="list-style-type: none"> • Arm 1: AZD1402 Dose 1 (low) (DPI) • Arm 2: AZD1402 Dose 2 (DPI) • Arm 3: placebo Part 1b <ul style="list-style-type: none"> • Arm 1: AZD1402 Dose 3 (high) (DPI) • Arm 2: placebo Part 2 population uncontrolled on medium dose ICS-LABA <ul style="list-style-type: none"> • Arm 1: AZD1402 Dose 1 (DPI) • Arm 2: AZD1402 Dose 2 (DPI) • Arm 3: AZD1402 Dose 3 (DPI) • Arm 4: placebo Ukraine, Australia, Germany	<ul style="list-style-type: none"> • Part 1 primary endpoints: safety and tolerability, PK • Part 2 primary endpoint: change in FEV1 	<ul style="list-style-type: none"> • FPCD: Q2 2021 • Data anticipated: H2 2022
Phase Ib NCT03574805 Partnered	Patients with mild asthma	84	PoM trial. A dose-escalating, single blind trial of multiple doses of PRS-060 administered by oral Inhalation in subjects with mild asthma Australia	<ul style="list-style-type: none"> • Primary endpoints: safety and tolerability • Secondary endpoints: PK parameters, potential immunogenicity, change in FENO 	<ul style="list-style-type: none"> • FPCD: Q3 2018 • LPCD: Q3 2020



MEDI3506 (IL33 ligand mAb)

COPD, atopic dermatitis, asthma

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT04212169	Adult subjects with atopic dermatitis	152	Randomised, blinded, placebo-controlled trial <ul style="list-style-type: none"> • Arm 1: MEDI3506 s.c. • Arm 2: MEDI3506 s.c. • Arm 3: MEDI3506 s.c. • Arm 4: placebo s.c. US, Australia, Germany, Poland, UK & Spain	<ul style="list-style-type: none"> • Primary endpoint: change from baseline at week 16 in Eczema Area and Severity Index (EASI) score • Secondary endpoints: safety and other efficacy measures 	<ul style="list-style-type: none"> • FPCD: Q4 2019 • Data anticipated: H1 2022
Phase II NCT04570657	Adult participants with uncontrolled moderate to severe asthma	278	Randomised, double-blind, placebo-controlled trial <ul style="list-style-type: none"> • Arm 1: MEDI3506 Dose 1 s.c. • Arm 2: MEDI3506 Dose 2 s.c. • ARM 2: placebo s.c. US, Argentina, Germany, Hungary, Poland and South Africa	<ul style="list-style-type: none"> • Primary endpoint: change from baseline at week 16 in FEV1 • Secondary endpoints: safety and other efficacy measures 	<ul style="list-style-type: none"> • FPCD: Q4 2020 • Data anticipated: H2 2022
Phase II NCT04631016	Adult subjects COPD and chronic bronchitis	322	Randomised, double-blind, placebo-controlled, parallel group, proof of concept trial <ul style="list-style-type: none"> • Arm 1: MEDI3506 s.c. • ARM 2: placebo s.c. US, Australia, Canada, Czech Republic, Denmark, Germany, Hungary, Israel, Netherlands, New Zealand, Poland, South Africa, Spain & UK	<ul style="list-style-type: none"> • Primary endpoint: change from baseline at week 12 in FEV1 • Secondary endpoints: safety and other efficacy measures 	<ul style="list-style-type: none"> • FPCD: Q1 2021 • Data anticipated: 2022+



AZD9567 (SGRM, oral)

Immunology

Approved medicines

Late-stage development

Early development

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT04556760	Patients with type 2 diabetes mellitus	42	<ul style="list-style-type: none">• Arm 1: 72mg AZD9567 + 40mg prednisolone• Arm 2: 40mg AZD9567 + 20mg prednisolone• Arm 3: placebo + 5mg prednisolone	<ul style="list-style-type: none">• Primary endpoint: change in glucose AUC (0-4) versus baseline compared to prednisolone following a standardised MMTT	<ul style="list-style-type: none">• FPCD: Q4 2020• LPCD: Q2 2021• Data readout: H2 2021

Oncology

CVRM

R&I

Other

COVID-19



MEDI0618 (PAR2 antagonist mAb)

Osteoarthritis pain

Approved medicines

Late-stage development

Early development

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT02508155	Painful osteoarthritis of the knee	64 (healthy volunteers)	SAD trial <ul style="list-style-type: none">• Arm 1: MEDI0618 i.v.• Arm 2: placebo i.v.• Arm 3: MEDI0618 s.c• Arm 4: placebo s.c Europe only	<ul style="list-style-type: none">• Primary endpoints: safety and tolerability• Secondary endpoint: PK	<ul style="list-style-type: none">• FPCD: Q4 2019• Data anticipated: H2 2021

Oncology

CVRM

R&I

Other

COVID-19



MEDI1341 (alpha-synuclein mAb)

Parkinson's disease

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT03272165	Healthy volunteers	48	SAD trial <ul style="list-style-type: none"> Arm 1: MEDI1341 i.v. Arm 2: placebo i.v. US only	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK, PD 	<ul style="list-style-type: none"> FPCD: Q4 2017 LPCD: Q4 2020 Data anticipated: H2 2021
Phase I NCT04449484	Parkinson's Disease	36	MAD trial <ul style="list-style-type: none"> Arm 1: MEDI1341 i.v. Arm 2: placebo i.v. US only	<ul style="list-style-type: none"> Primary endpoints: safety and tolerability Secondary endpoints: PK, PD 	<ul style="list-style-type: none"> FPCD: Q3 2020 Data anticipated: 2022+



AZD4041 (orexin 1 receptor antagonist)

Opioid use disorder

Approved medicines

Late-stage development

Early development

Trial	Population	Patients	Design	Endpoints	Status
Phase I NCT04076540 Partnered with Eolas Therapeutics Inc and NIH	Healthy volunteers	48	Randomised, double blind, SAD trial <ul style="list-style-type: none">• Arm 1: AZD4041• Arm 2: placebo US only	<ul style="list-style-type: none">• Primary endpoints: safety and tolerability• Secondary endpoints: PK, PD	<ul style="list-style-type: none">• FPCD: Q4 2019• Data anticipated: H2 2021

Oncology

CVRM

R&I

Other

COVID-19



MEDI7352 (NGF TNF bispecific mAb)

Osteoarthritis pain

Trial	Population	Patients	Design	Endpoints	Status
Phase IIb NCT04675034	Painful osteoarthritis of the knee	300	MAD trial <ul style="list-style-type: none"> • Arm 1: MEDI7352 s.c • Arm 2: placebo s.c Global (8 countries)	<ul style="list-style-type: none"> • Primary endpoint: dose response • Secondary endpoints: safety, tolerability, PK, PD, ADA 	<ul style="list-style-type: none"> • FPCD: Q1 2021 • Data anticipated: H2 2022
Phase II NCT03755934	Painful diabetic neuropathy	271	MAD trial <ul style="list-style-type: none"> • Arm 1: MEDI7352 i.v. • Arm 2: placebo i.v. Europe only	<ul style="list-style-type: none"> • Primary endpoint: dose response • Secondary endpoints: safety, tolerability, PK, PD 	<ul style="list-style-type: none"> • FPCD: Q4 2018 • Data anticipated: H2 2022
Phase I NCT02508155	Painful osteoarthritis of the knee	160	SAD & MAD trial <ul style="list-style-type: none"> • Arm 1: MEDI7352 i.v. • Arm 2: placebo i.v. • Arm 3: MEDI7352 s.c • Arm 4: placebo s.c Europe only	<ul style="list-style-type: none"> • Primary endpoints: safety and tolerability • Secondary endpoints: PK, PD 	<ul style="list-style-type: none"> • FPCD: Q1 2016 • LPCD: Q4 2020 • Data readout: Q2 2021
Phase I NCT04770428	Healthy volunteers Japanese and Caucasian	20	MAD trial <ul style="list-style-type: none"> • Arm 1: MEDI7352 s.c • Arm 2: placebo s.c Europe only	<ul style="list-style-type: none"> • Primary endpoints: safety and tolerability • Secondary endpoints: PK, PD, ADA 	<ul style="list-style-type: none"> • FPCD: Q2 2021 • Data anticipated: H2 2021



MEDI3902 (Anti-Pseudomonas A mAb)

Infections

Trial	Population	Patients	Design	Endpoints	Status
Phase II NCT02696902	Intubated ICU	195	SAD trial <ul style="list-style-type: none">• Arm 1: MEDI3902 i.v.• Arm 2: placebo i.v.	<ul style="list-style-type: none">• Primary endpoints: safety and efficacy	<ul style="list-style-type: none">• FPCD: Q2 2016• Data readout: Q4 2020



List of abbreviations

14C	Radioactive isotope of carbon, Carbon 14
1L, 2L, 3L	1st, 2nd or 3rd line
5-FU	5-fluorouracil
A2AR	Adenosine A2A receptor
ACQ	Asthma control questionnaire
ACR	American college of rheumatology response scoring system
ADA	Anti-drug antibodies
ADC	Antibody-drug conjugate
ADP	Adenosine diphosphate
AE	Adverse Event
AI	Auto-injector
AKT	Protein kinase B
ALK	Anaplastic large-cell lymphoma kinase
APFS	Accessorised pre-filled syringe
AQLQ	Asthma quality of life questionnaire
AS	Albuterol sulphate
ATM	Ataxia-telangiectasia mutated kinase
ATR	Ataxia telangiectasia and rad3-related protein
AUC	Area under curve
B7RP	B7-related protein-1
BA	Bioavailability
BAFF	B-cell activating factor
BCG	Bacillus Calmette–Guérin
BCMA	B-cell maturation antigen
BDA	Budesonide albuterol
BFF	Budesonide and formoterol fumarate
BGF	Budesonide, glycopyrronium and formoterol fumarate
BICR	Blinded independent central review
BID	Bis in die (twice per day)
BIG	Big ten cancer research consortium
BMD	Bone mineral density
BMI	Body mass index
BRCAwt	Breast cancer wild-type gene
BRD4	Bromodomain-containing protein 4
BTC	Biliary tract carcinoma
BTK	Bruton's tyrosine kinase
CA-125	Cancer antigen 125
CAD	Coronary artery disease
CBR	Clinical benefit rate
CCL20	Chemokine (C-C motif) ligand 20
CD	Cluster of differentiation
CDK	Cyclin-dependent kinase
CE	Clinically evaluable
CHD	Coronary heart disease
Chemo	Chemotherapy

CHF	Chronic heart failure
CKD	Chronic kidney disease
CLL	Chronic lymphocytic leukaemia
CMAX	Maximum observed plasma concentration
C-MET	Tyrosine-protein kinase Met
CNS	Central nervous system
COPD	Chronic obstructive pulmonary disease
CR	Complete response
CRC	Colorectal cancer
CrCl	Creatinine clearance
CRR	Complete response rate
CTC	Circulating tumour cell
CTLA-4	Cytotoxic T-lymphocyte-associated antigen 4
CV	Cardiovascular
CVOT	Cardiovascular outcomes trial
CVRM	Cardiovascular renal and metabolism
CXCR2	C-X-C Motif chemokine receptor 2
DB	Double blind
DC	Disease control
DCR	Disease control rate
DDI	Drug-drug Interaction
dECG	Differentiated electrocardiogram
DFS	Disease free survival
DLBCL	Diffuse large B-cell lymphoma
DLT	Dose-limiting toxicity
DMARDs	Disease-modifying antirheumatic drugs
DNA	Deoxyribonucleic acid
DoCR	Durability of complete response
DoR	Duration of response
DPI	Dry powder inhaler
DXA	Dual energy X-ray absorptiometry
EBRT	External beam radiation therapy
ECG	Electrocardiogram
EFS	Event-free survival
eGFR	Estimated glomerular filtration rate
EGFR	Epidermal growth factor receptor
ER	Oestrogen receptor
ERK	Extracellular signal-regulated kinase
ESR	Externally sponsored trial
ESR1	Oestrogen receptor 1
ESSC	Esophageal squamous cell carcinoma
ET	Endocrine therapy
FDC	Fixed-dose combination
FeNO	Fractional nitric oxide concentration in exhaled breath
FEV	Forced-expiratory volume

FGFR	Fibroblast growth factor receptor
FLAP	5-lipoxygenase-activating protein
FPDC	First patient commenced dosing
FPG	Fasting plasma glucose
GA	Gestational age
GBM	Glioblastoma
gBRCAm or tBRCAm	Germline or tumour (somatic) BRCA mutation
GEJ	Gastric/gastro-oesophageal junction
GFF	Glycopyrronium and formoterol fumarate
GLP-1	Glucagon-like peptide-1
GMFRs	Geometric mean fold rises
GMTs	Geometric mean titers
hADME	Human mass balance
HAI	Haemagglutination-inhibition
HbA1c	Haemoglobin A1c
HCC	Hepatocellular carcinoma
HD	High dose
HDL-C	High-density lipoprotein cholesterol
HER2	Human epidermal growth factor receptor 2
HF	Heart failure
HFpEF	Heart failure with preserved ejection fraction
HFrEF	Heart failure with reduced ejection fraction
HGFR	Met/hepatocyte growth factor receptor
HGSC	High grade serous carcinoma
hHF	Hospitalisation for heart failure
HIF-PHI	Hypoxia inducible factor - prolyl hydroxylase inhibitor
HNSCC	Head and neck squamous-cell carcinoma
HPV	Human papillomavirus
HRD	Homologous recombination deficiency
HRRm	Homologous recombination repair mutation
i	inhibitor
IA	Investigator-assessed
ICS	Inhaled corticosteroid
ICU	Intensive care unit
IDFS	Invasive disease-free survival
IL	Interleukin
i.m.	Intramuscular
IRC	Independent review committee
ISS	Investigator-sponsored studies
i.v.	Intravenous
J-SD	Japanese single dose
Ki67	Protein that is encoded by the MKI67 gene in human
LAAB	Long acting antibody



List of abbreviations

LABA	Long -acting beta agonist
LAMA	Long-acting muscarinic agonist
LCAT	Lecithin-cholesterol acyltransferase
LCM	Lifecycle management
LN	Lupus nephritis
LOCS III	Lens opacities classification system III
LPCD	Last patient commenced dosing
LV	Left ventricle
m	Mutation
mAb	Monoclonal antibody
MABA	Muscarinic antagonist-beta2 agonist
MACE	Major adverse cardiac events
MAD	Multiple ascending dose
MCC	Mucociliary clearance
MCL	Mantle cell lymphoma
MCL1	Myeloid leukemia cell differentiation protein 1
mCRPC	Metastatic castrate-resistant prostate cancer
MD	Medium dose
MDI	Metered-dose inhaler
MDS	Myelodysplastic syndrome
MEK	Mitogen-activated protein kinase
MET	Tyrosine-protein kinase Met
MI	Myocardial infarction
MMT	Mixed meal test
MPO	Myeloperoxidase
mPR	Major pathological response
MRI	Magnetic resonance imaging
MTD	Maximum tolerated dose
NaC	Sodium channel
NCI	National cancer institute (US)
NCPV	Noncalcified plaque volume
NF1	Neurofibromatosis type 1
NGF	Nerve growth factor
NHL	Non-Hodgkin's lymphoma
NIH	National Institute of Health (US)
NKG2a	Natural killer cell C-type lectin receptor G2A
NME	New molecular entity
NRG	National clinical trials network in oncology (US)
NSCLC	Non-small cell lung cancer
OCS	Oral corticosteroid
OD	Once daily
OGTT	Oral glucose tolerance test
OR	Objective response
ORR	Objective response rate
OS	Overall survival
PARP	Poly ADP ribose polymerase
PASI	Psoriasis area severity index
PBD	Pyrrrolbenzodiazepine
pCR	Pathological complete response
PD	Pharmacodynamics
PD-1	Programmed cell death protein 1
PDAC	Pancreatic ductal adenocarcinoma
PDE4	Phosphodiesterase type 4
PD-L1	Programmed death-ligand 1
PET	Positron-emission tomography
PFS	Progression free survival
PgR	Progesterone receptor
PI3K	Phosphoinositide 3-kinase
PIK3CA	Phosphatidylinositol 3 kinase catalytic alpha gene
PK	Pharmacokinetics
PLL	Prolymphocytic leukaemia
pMDI	Pressurised metered dose inhaler
PN	Plexiform neurofibromas
POC	Proof of concept
POM	Proof of mechanism
pPCI	Primary percutaneous coronary intervention
PR	Partial response
pre-BD	Pre-bronchodilator
PRO	Patient reported outcome
PRR	Recurrent platinum resistant
PS	Propensity score
PSA	Prostate-specific antigen
PSC	Pulmonary sarcomatoid carcinoma
PSMA	Prostate-specific membrane antigen
PTEN	Phosphatase and tensin homolog gene
Q2,3,4,8W	Quaque (every) two, three... weeks
QD	Quaque in die (once a day)
QID	Quarter in die (four times a day)
QOD	Quaque altera die (every other day)
QoL	Quality of Life
QTcF	Corrected QT interval by Fredericia
RA	Rheumatoid Arthritis
RAAS	Renin-angiotensin-aldosterone system
RECIST	Response evaluation criteria in solid tumours
RFS	Relapse-free survival
rhLCAT	Recombinant human Lecithin-cholesterol acyltransferase
RORγ	Related orphan receptor gamma
r/r	Relapsed/refractory
RT	Radiation therapy
SABA	Short-acting beta2-agonist
SAD	Single ascending dose
SAE	Serious adverse event
SBRT	Stereotactic body radiation therapy
s.c.	Subcutaneous
SCLC	Small cell lung cancer
SD	Stable disease
SERD	Selective oestrogen receptor degrader
SGLT2	Sodium-glucose transport protein 2
SGRM	Selective glucocorticoid receptor modulator
SGRQ	Saint George respiratory questionnaire
SJC	Swollen joint count
SLE	Systemic lupus erythematosus
SLL	Small lymphocytic lymphoma
SMAD	Single and multiple ascending dose trial
SoC	Standard of care
sPGA	Static physicians global assessment score
STAT3	Signal transducer and activator of transcription 3
sUA	Serum uric acid
T2DM	Type 2 Diabetes Mellitus
T790M	Threonine 790 substitution with methionine
TACE	Transarterial Chemoembolization
TEAEs	Treatment-emergent adverse events
TID	Ter in die (three times a day)
TJC	Tender joint count
TKI	Tyrosine kinase Inhibitor
TLR	Toll-like receptor 9
TNBC	Triple negative breast cancer
TNF	Tumour necrosis factor
TSLP	Thymic stromal lymphopoietin
TTF	Time to treatment failure
TTNT	Time to next therapy
TTP	Time to tumour progression
UACR	Urine albumin creatinine ratio
UMEC	Umeclidinium
URAT1	Uric Acid Transporter 1
VEGF	Vascular endothelial growth factor
YTE	Triple-amino-acid (M252Y/S254T/T256E [YTE]) substitution





Clinical trials appendix

Q1 2021 results update

